

A nonlinear Lyapunov-Schmidt reduction and multiple solutions for some semilinear elliptic equation

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Abstract

We present a finite dimensional reduction for perturbed variational functionals and discuss some nonlinear elliptic PDE with Sobolev critical growth in bounded domains.

1 Introduction

We will present in this talk a general variational principle for perturbative problems in presence of a manifold of "quasi critical points" for the unperturbed energy functional. A model problem is the following:

$$(P) \quad \begin{cases} -\Delta u = u^p + f(\delta, x, u) & \text{in } \Omega \subset \mathbb{R}^N, \quad N \geq 3 \\ u = 0 & \text{on } \partial\Omega \\ u > 0 & \text{in } \Omega \end{cases}$$

where $p = \frac{N+2}{N-2}$ is the limiting Sobolev exponent for the immersion of $H_0^1(\Omega)$ in $L^q(\Omega)$, $q \geq 1$. Here $f(\delta, x, u)$ is a perturbation term, small if δ is small, satisfying the growth condition

$$\exists c > 0 : |f(\delta, x, u)| \leq c(1 + |u|^p).$$

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For $f(\delta, x, u) = \delta u$ and $0 < \delta < \lambda_1(\Omega)$, precise existence results for (P) were established in [9]; existence of multiple solutions and asymptotic behaviour for $\delta \rightarrow 0^+$ were discussed in [16], [17]. Problem (P) can be seen as the stationary equation for some variational functional $E_\delta(u) := E(u) - G(\delta, u)$, where, for δ small, $G(\delta, u)$ is a small perturbation of the unperturbed energy $E(u)$. Problem (P) fits into a general framework which we will describe in the next section (see [14] and [15] for some details).

2 A finite dimensional reduction

We consider a functional $E_\delta(u) = E(u) - G(\delta, u)$, $u \in H$ on some Hilbert space H . We assume for $E(u)$ the existence of a smooth manifold $Z \subset H$ in the form

$$Z = \{z(\epsilon, y) : \epsilon > 0, y \in U \subset \mathbf{R}^N\},$$

z a smooth parametrization, such that

$$(A1) \quad \|\nabla E(z(\epsilon, y))\| \rightarrow_{\epsilon \rightarrow 0} 0 \text{ uniformly on compact subsets of } U.$$

We will refer to Z as "an almost critical manifold" for $E(u)$.

We require for Z some nondegeneracy property. Denoted by T_z the tangent space to Z at $z \in Z$, let $\pi_z : H \rightarrow T_z$ and $\pi_z^\perp := Id - \pi_z$ be orthogonal projections, and set $L_z := \pi_z^\perp E''(z) |_{T_z^\perp}$. We assume

$$(A2) \quad L_z \in Iso(T_z^\perp, T_z^\perp) \quad \text{and} \quad \sup_{z \in Z} \|L_z^{-1}\| < \infty.$$

We will also need a smallness assumption on $G(\delta, u)$

$$(A3) \quad G(\delta, u) \rightarrow_{\delta \rightarrow 0} 0 \text{ in } C_{loc}^2.$$

While looking for critical points of E_δ close to Z , one can perform a nonlinear Lyapunov-Schmidt reduction: given $U_0 \subset\subset U$, there exist $\bar{\epsilon} > 0$, $\bar{\delta} > 0$ and a smooth map $(\delta, z) \rightarrow w(\delta, z)$, $z \in Z_0 := \{z(\epsilon, y) : (\epsilon, y) \in (0, \bar{\epsilon}) \times U_0\}$, $\delta \leq \bar{\delta}$, such that

$$(i) \quad w(\delta, z) \in T_z^\perp \quad \forall \delta, z \quad \text{and} \quad \|w\| = O(\|\nabla E_\delta(z)\|)$$

$$(ii) \quad \pi_z^\perp \nabla E_\delta(z + w(\delta, z)) = 0, \quad \forall \delta, z.$$

It remains to solve the "bifurcation equation"

$$(b) \quad \pi_z \nabla E_\delta(z + w(\delta, z)) = 0, \quad z \in Z_0.$$

If Z is linear, equation (b) is known to have a variational structure: it is equivalent to

$$(b)' \quad \frac{\partial}{\partial z} E_\delta(z + w(\delta, z)) = 0 \quad z \in Z_0.$$

This is because $\frac{\partial w}{\partial z}(\delta, h) \in Z^\perp \forall h \in Z$, in this case. Actually, to have equivalence between (b) and (b)' it is enough that $\|\pi_z \frac{\partial w}{\partial z}\| = O(\|w\|)$. The argument goes as follows. Let $z(t)$ be a smooth curve on Z_0 , with $z(0) = z_0$ and $\dot{z}(0) = \pi_{z_0} \nabla E_\delta(z_0 + w(\delta, z_0))$. By assumption,

$$0 = \frac{d}{dt} E_\delta(z(t) + w(\delta, z(t)))|_{t=0} = \langle \nabla E_\delta(z_0 + w(\delta, z_0)), \dot{z}(0) \rangle + \frac{\partial w}{\partial z}(\delta, z_0) \dot{z}(0) \cdot.$$

Since $\pi_{z_0}^\perp \nabla E_\delta(z_0 + w(\delta, z_0)) = 0$, using the estimate for $\|w\|$ we get

$$\|\dot{z}(0)\|^2 \leq \|\dot{z}(0)\|^2 \|\pi_{z_0} \frac{\partial w}{\partial z}(\delta, z_0)\| \leq c \|w(\delta, z_0)\| \|\dot{z}(0)\|^2 \leq \tilde{c} \|\dot{z}(0)\|^2 \|\nabla E_\delta(z_0)\|$$

and hence $\dot{z}(0) = 0$ because $\|\nabla E_\delta(z)\| \ll 1$ if ϵ, δ are small, by (A1)-(A3).

In turn, the estimate for $\|\pi_z \frac{\partial w}{\partial z}\|$ involves the variation of T_z , and in fact it holds true assuming:

$$(A4) \quad \exists c > 0 : \quad \|\pi_z \frac{\partial}{\partial z}(\pi_z^\perp v)\| \leq c \|\pi_z^\perp v\|, \quad \forall v \in H.$$

Let us derive from (A4) the estimate for $\|\pi_z \frac{\partial w}{\partial z}\|$. Let $\bar{w} = w(\delta, \bar{z})$ for some $\bar{z} \in Z_0$, δ fixed.

From $\pi_z w(\delta, z) \equiv 0$ it follows $\pi_z \frac{\partial w}{\partial z} = -\frac{\partial}{\partial z}(\pi_z \bar{w})$ at $z = \bar{z}$. Since $-\frac{\partial}{\partial z}(\pi_z \bar{w}) = \frac{\partial}{\partial z}(\pi_z^\perp \bar{w})$, we have, by (A4),

$$\|\pi_z \frac{\partial w}{\partial z}(\delta, \bar{z})\| \leq c \|\pi_z^\perp \bar{w}\|.$$

This gives the desired estimate, because $\pi_z^\perp \bar{w} = \bar{w}$

Relevant informations can be derived by the variational structure of (b). After a Taylor expansion, (b)' rewrites as

$$\text{stat}_z [E(z(\epsilon, y)) - G(\delta, z(\epsilon, y)) + O(\|\nabla E_\delta(z)\|^2)]$$

and this leads to look for "stable" critical points of the "Melnikov function"

$$(\epsilon, y) \rightarrow E(z(\epsilon, y)) - G(\delta, z(\epsilon, y)).$$

Of course, estimates for the "error term" $O(\|\nabla E_\delta(z)\|^2)$, either in L^∞ or in C^1 , are crucial.

Remark 2.1 1. Similar (somehow different) procedure has been used by Rey, and then by many others for (P) in the perturbative case (see, to quote a few, [1], [8].....). 2. Similar principle, but in case Z is a critical manifold (i.e. $\nabla E(z) = 0$ for any $z \in Z$), goes back to Ambrosetti, Coti Zelati and Ekeland in [4] (for compact Z) and to Ambrosetti and Badiale in [3] (for non compact Z : problems with lack of compactness). Recent advances in much more complicated situations are due to Ambrosetti, Malchiodi and Ni in [5] (solutions concentrating on codimension-1 manifolds for singularly perturbed problems). See also [6].

3 Applications to problem (P)

We consider the functional $E_\delta(u) = E(u) - G(\delta, u)$, $u \in H_0^1(\Omega)$, associated to problem (P), where

$$E(u) = \frac{1}{2} \int_{\Omega} |\nabla u|^2 - \frac{1}{p+1} \int_{\Omega} |u|^{p+1}.$$

Here, the manifold Z is given by

$$Z = \{PU_{\epsilon,y} : \epsilon > 0, y \in \Omega\},$$

where $P : H^1(\Omega) \rightarrow H_0^1(\Omega)$ is the orthogonal projection and

$$U_{\epsilon,y}(x) = \epsilon^{-\frac{N-2}{2}} U\left(\frac{x-y}{\epsilon}\right) \quad U(x) = \frac{c_N}{(1+|x|^2)^{\frac{N-2}{2}}}$$

$c_N = [N(N-2)]^{\frac{N-2}{4}}$, are the extremal functions for the Sobolev inequality on \mathbf{R}^N .

Assumptions (A1)-(A2)-(A3) are satisfied (see [17] and [15] for detailed proofs). We will consider two distinguished problems of type (P):

$$(NHD) \quad \begin{cases} -\Delta u = u^p & \text{in } \Omega \\ u = \delta\varphi & \text{on } \partial\Omega \end{cases} \quad \varphi \in C^1(\partial\Omega) \text{ positive somewhere}$$

$$(PSCE) \quad \begin{cases} -\Delta u = (1 + \delta a(x))u^p & \text{in } \Omega \\ u = 0 & \text{on } \partial\Omega \\ u > 0 & \text{in } \Omega. \end{cases}$$

For problem (NHD), the existence of a small solution ($u_\delta \rightarrow 0$ in $H^1(\Omega)$ as $\delta \rightarrow 0$) holds in much greater generality (see [13]). The existence of a "large" solution for $\delta < \delta^*$, δ^* some positive number, is due, among other things, to Caffarelli and Spruck [10]. Some multiplicity results for problem (NHD) with δ small have been obtained by Rey [18].

We present here some improvements (a detailed proof is in [15]). Let $h(x)$ be the harmonic extension of $\varphi(x)$ and let $\psi(x, y)$ be the regular part of the Green function of Ω . Set

$$K(x) = \frac{h^2(x)}{H(x)} , \quad H(x) = \psi(x, x).$$

Due to different estimates for the error term in dimension $N = 3$ and $N > 3$, we have the following

Theorem 3.1 (i) *Let $N \geq 3$. Let C be a compact subset of Ω with $h(x) > 0$ on C and $\max_{\partial C} K < \max_C K$. Then, for $\delta_j \rightarrow 0$ there exist u_j solutions and $x \in C$ such that*

$$|\nabla u_j|^2 \rightarrow S^{\frac{N}{2}} \delta_x \quad (S := \text{best Sobolev constant})$$

(ii) *Let $N \geq 4$. Then the number of "large" solutions is greater (or equal) than the number of non degenerate critical points of K with $h > 0$.*

According to (i), highly oscillating boundary datas should give rise to a large number of solutions:

Example 3.1 *If $\varphi = \sum_{j=1}^m \frac{1}{|x-x_j|^{N-2}}, x \in \Omega = B_1$ with $\{x_1, \dots, x_m\} \subset \partial B_1$ and $1 < t < t(N, x_1, \dots, x_m)$, problem (NHD) with boundary data $\delta\varphi$ admits for δ small at least m solutions.*

As for (PSCE), we recall that, if $\Omega = \mathbf{R}^N$, it is the prescribed scalar curvature problem for S^N . Known results, (see [11], [12]), recently improved by Ambrosetti, Azorero and Peral [2], are variations of the following basic one: assume that $a \in C^2$ has only a finite number of non degenerate critical points x_j and

$$(c.c.) \quad \Delta a(x_j) \neq 0 \quad \forall j, \quad \sum_{\Delta a(x_j) > 0} i(\nabla a, x_j) \neq 0$$

$$(*) \quad \langle \nabla a(x), x \rangle < 0 \text{ for } |x| \gg 1.$$

Then (PSCE) is solvable. We recall that the "counting condition" (c.c.) was first introduced by Bahri-Coron in [7].

If Ω is a bounded domain in \mathbf{R}^N , different kind of results can be derived (see, e.g. [15]).

Theorem 3.2 *Let $N > 6$. Then, for δ small, the number of solutions for (PSCE) is greater (or equal) than the number of isolated critical points of a with positive laplacian and non zero topological index.*

Remark 3.3 (i) *If $\langle \nabla a(x), x \rangle \leq 0$ for any $x \in \Omega$ and Ω is starshaped, no solution can exist.*
(ii) *There are no "ground state" solutions: all the solutions we find have Morse index greater or equal than 2.*

The above results are obtained by an analysis of the corresponding "Melnikov functions". For the unperturbed energy along the manifold Z , we have that, for $y \in K \subset \Omega$ compact:

$$E(PU_{\epsilon,y}) = \frac{S^{\frac{N}{2}}}{N} + \alpha_N H(y) \epsilon^{N-2} + O(\epsilon^{N-1}), \quad \alpha_N = \frac{c_N^{p+1}}{2} \int_{\mathbf{R}^N} \frac{dx}{(1+|x|^2)^{\frac{N+2}{2}}}.$$

We first consider (NHD) , for which the functional $G(\delta, u)$ turns out to be equal to

$$G(\delta, u) = \frac{1}{p+1} \int_{\Omega} |u + \delta h|^{p+1} - |u|^{p+1}.$$

By Taylor formula, and for every $N \geq 3$, we have

$$\begin{aligned} E_{\delta}(PU_{\epsilon,y} + w) &= \frac{1}{N} S^{\frac{N}{2}} + \alpha_N H(y) \epsilon^{N-2} - \frac{2\alpha_N}{c_N} h(y) \delta \epsilon^{\frac{N-2}{2}} + \\ &+ O(\epsilon^{N-1} + \delta \epsilon^{\frac{N}{2}} + \delta^2 \epsilon + \delta^{p+1}). \end{aligned}$$

After a change of variables $\epsilon = (\theta \delta)^{\frac{2}{N-2}}$, we are lead to look for critical points of

$$M_{\delta}(\theta, y) = c_N \theta^2 H(y) - 2\theta h(y) + \delta^{\frac{2}{N-2}} O(1 + \theta^{\frac{2(N-1)}{N-2}})$$

As for part i) in Theorem 3.1, we just remark that the above L^{∞} estimate implies stability of strict local minima of $M(\theta, y) := c_N \theta^2 H(y) - 2\theta h(y)$; on the other hand

$$\max_{\partial C} \frac{h^2}{H} < \max_C \frac{h^2}{H} \Rightarrow \min_{[\theta^-, \theta^+] \times C} M < \min_{\partial([\theta^-, \theta^+] \times C)} M$$

where $0 < \theta^- < \min_C \frac{h}{c_N H}$, $\theta^+ > \max_C \frac{h}{c_N H}$.

We now prove this claim. First, $\theta(y) := \frac{h(y)}{c_n H(y)} \in (\theta^-, \theta^+)$ is the absolute minimizer of $\theta \rightarrow M(\theta, y)$, $y \in C$. Also,

$$M(\theta(y), y) = -\frac{1}{c_N} K(y) \quad \forall y \in \Omega.$$

So, by assumption, $\exists \gamma > 0$:

$$\min_{\partial C} M(\theta(y), y) \geq \gamma + \min_C M(\theta(y), y) \geq \gamma + \min_{[\theta^-, \theta^+] \times C} M.$$

Hence, $\min_{[\theta^-, \theta^+] \times \partial C} M(\theta, y) \geq \gamma + \min_{[\theta^-, \theta^+] \times C} M$.

Finally, set $\min_C M(\theta^-, y) = M(\theta^-, \bar{y})$; since $M(\theta^-, \bar{y}) > M(\theta(\bar{y}), \bar{y}) \geq \min_{[\theta^-, \theta^+] \times C} M$, and similarly for θ^+ , the claim is proved.

Part ii) in Theorem 3.1, deals with critical points of M_δ other than minima, so we also need C^1 estimates on the error terms. The following estimates hold true. Let $N \geq 4$. Then

$$\begin{aligned} \frac{\partial}{\partial y_i} E_\delta(PU_{\epsilon, y} + w) &= 2\alpha_N \frac{\partial H}{\partial y_i}(y) \epsilon^{N-2} - \frac{2\alpha_N}{c_N} \frac{\partial h}{\partial y_i}(y) \delta \epsilon^{\frac{N-2}{2}} + \\ &\quad + O(\epsilon^{N-1} \log \frac{1}{\epsilon} + \delta \epsilon^{\frac{N}{2}} \log \frac{1}{\epsilon} + \delta^2 \epsilon \log \frac{1}{\epsilon} + \frac{\delta^{2p}}{\epsilon}) \\ \frac{\partial}{\partial \epsilon} E_\delta(PU_{\epsilon, y} + w) &= (N-2)\alpha_N H(y) \epsilon^{N-3} - (N-2) \frac{\alpha_N}{c_N} h(y) \delta \epsilon^{\frac{N-4}{2}} + \\ &\quad + O(\epsilon^{N-2} + \delta \epsilon^{\frac{N-2}{2}} + \delta^2 \epsilon \log \frac{1}{\epsilon} + \delta^p \epsilon^{\frac{N-4}{2}} + \frac{\delta^{2p}}{\epsilon}). \end{aligned}$$

Part ii) in Theorem 3.1 follows from

$$\nabla K(y_0) = 0, \quad D^2 K(y_0) \in Gl_n(\mathbf{R}), \quad \mathbf{h}(y_0) > \mathbf{0} \Rightarrow$$

$$\nabla M(\theta(y_0), y_0) = 0 \quad \text{and} \quad D^2 M(\theta(y_0), y_0) \in Gl_{n+1}(\mathbf{R}).$$

Let us prove this fact. As noticed above, $\frac{\partial M}{\partial \theta}(\theta(y), y) = 0 \forall y \in C$, while $\frac{\partial M}{\partial y}(\theta(y), y) = -\frac{1}{c_n} \nabla K(y)$. Thus $(\theta(y_0), y_0)$ is a critical point of M because $\nabla K(y_0) = 0$. We just have to check that it is nondegenerate. Using $\frac{\partial H}{\partial y_j}(y_0) = 2 \frac{H(y_0)}{h(y_0)} \frac{\partial h}{\partial y_j}(y_0)$ and the above relations, we find

$$D_y^2 M(\theta(y_0), y_0) = \frac{2}{c_n H(y_0)} \nabla h^t(y_0) \nabla h(y_0) - \frac{1}{c_n} D^2 K(y_0), \quad \text{and hence}$$

$$D^2 M(\theta(y_0), y_0) = \begin{pmatrix} 2c_n H(y_0) & 2\nabla h(y_0) \\ 2\nabla h^t(y_0) & \frac{2}{c_n H(y_0)} \nabla h^t(y_0) \nabla h(y_0) - \frac{1}{c_n} D^2 K(y_0) \end{pmatrix}.$$

Thus $0 = D^2 M(\theta_0, y_0)(\tau, \eta)$, $\tau \in \mathbf{R}$, $\eta \in \mathbf{R}^n$ implies

$$2\tau c_n H(y_0) + 2 \langle \nabla h(y_0), \eta \rangle = 0$$

$$2\tau \nabla h(y_0) + \frac{2}{c_n H(y_0)} \nabla h(y_0) \langle \nabla h(y_0), \eta \rangle - \frac{1}{c_n} D^2 K(y_0) \eta = 0.$$

Inserting the first relation in the second one, we find

$$H(y_0) D^2 K(y_0) \eta = \nabla h(y_0) [2c_n H(y_0) \tau + 2 \langle \nabla h(y_0), \eta \rangle] = 0$$

and hence $\eta = 0$ because $D^2K(y_0)$ is invertible. This also implies $\tau = 0$, i.e. $D^2M(\theta_0, y_0)$ is invertible.

Similarly, for problem (PSCE), we have that

$$G(\delta, u) = \frac{\delta}{p+1} \int_{\Omega} a(x)|u|^{p+1}$$

$$G(\delta, PU_{\epsilon, y}) = \frac{S^{\frac{N}{2}}}{p+1} a(y)\delta + \frac{S^{\frac{N}{2}}}{4N} \Delta a(y)\delta\epsilon^2 + h.o.t.$$

and the corresponding "Melnikov function" is

$$(\epsilon, y) \rightarrow \frac{S^{\frac{N}{2}}}{N} + \alpha_N H(y)\epsilon^{N-2} - \frac{S^{\frac{N}{2}}}{p+1} a(y)\delta - \frac{S^{\frac{N}{2}}}{4N} \Delta a(y)\delta\epsilon^2 + h.o.t..$$

As above, we can define $\theta := \frac{\epsilon}{\delta^{\frac{1}{N-4}}}$ and, after a change of variable, we look for "stable" critical points of

$$(\theta, y) \rightarrow -2(N-2)S^{\frac{N}{2}} a(y) + \delta^{\frac{2}{N-4}} [4N\alpha_N H(y)\theta^{N-2} - S^{\frac{N}{2}} \Delta a(y)\theta^2]$$

(since the error term is of order $O(\delta)$, the assumption $N > 6$ is needed to ensure that it is a fortiori of order $o(\delta^{\frac{2}{N-4}})$). Equivalently, we may look for zeros of the vector field

$$\frac{\partial M_{\delta}}{\partial \theta} = -\frac{\delta^{\frac{2}{N-4}}}{4N} [4N(N-2)\alpha_N H(y)\theta^{N-3} - 2S^{\frac{N}{2}} \Delta a(y)\theta + o(1)]$$

$$\frac{\partial M_{\delta}}{\partial y} = \frac{S^{\frac{N}{2}}}{p+1} \nabla a(y) - \frac{\delta^{\frac{2}{N-4}}}{4N} [4N\alpha_N \nabla H(y)\theta^{N-2} - S^{\frac{N}{2}} \nabla \Delta a(y)\theta^2 + o(1)].$$

We want to show that, if y_0 is an isolated critical point of a with positive laplacian and non zero topological index, then, for δ small, ∇M_{δ} has a zero close to (θ_0, y_0)

$$\theta_0 := \theta(y_0) = \left(\frac{S^{\frac{N}{2}} \Delta a(y_0)}{N(N-2)DH(y_0)} \right)^{\frac{1}{N-4}}. \text{ To see this, we just consider the homothopy } \Phi = (\Phi_1, \Phi_2),$$

where

$$\Phi_1(t; \theta, y) := -t \frac{\delta^{\frac{2}{N-4}}}{4N} [4N(N-2)\alpha_N H(y_0)\theta^{N-3} - 2S^{\frac{N}{2}} \Delta a(y_0)\theta] + (1-t) \frac{\partial M_{\delta}}{\partial \theta}$$

$$\Phi_2(t; \theta, y) := \frac{S^{\frac{N}{2}}}{p+1} \nabla a(y) - (1-t) \frac{\delta^{\frac{2}{N-4}}}{4N} [4N\alpha_N \nabla H(y)\theta^{N-2} - S^{\frac{N}{2}} \nabla \Delta a(y)\theta^2 + o(1)].$$

Easily, Φ does not vanish, for δ small, on $\partial([\underline{\theta}, \bar{\theta}] \times U_{y_0})$ for suitable $0 < \underline{\theta} < \theta_0 < \bar{\theta}$, U_{y_0} being a neighborhood of y_0 with $\|\nabla a\| \geq c > 0$ on ∂U_{y_0} . Thus we conclude that

$$\deg(\nabla M_{\delta}, (\underline{\theta}, \bar{\theta}) \times U_{y_0}, 0) = \deg(\Phi(1; \theta, y), (\underline{\theta}, \bar{\theta}) \times U_{y_0}, 0) = -\deg(\nabla a, U_{y_0}, 0).$$

Remark 3.4 If $\Omega = B_R$, the finite dimensional reduction requires the bound $\delta \ll \frac{1}{R^{N-2}}$; hence, we cannot obtain solutions for (PSCE) on \mathbf{R}^N sending R to infinity: one needs uniform size of the perturbation as $R \rightarrow \infty$.

It is possible to prove that

Theorem 3.5 Let $N > 6$, $a \in C_b^3(\mathbf{R}^N)$ and assume (c.c.) and (*). Then (PSCE) has on B_R , for $R \geq \bar{R}$, $\delta \leq \bar{\delta}$, at least one solution.

The result follows from the fact that, for $\delta \leq \bar{\delta}$, $r \ll R$,

$$\deg(-\nabla E_\delta(\epsilon, y), \{\epsilon > \epsilon_\delta\} \times \{|y| < r\}, 0) = - \sum_{\nabla a(x)=0, \Delta a(x)>0} i(\nabla a, x) \neq 0$$

for some $\epsilon_\delta \rightarrow 0$ as $\delta \rightarrow 0$. The right hand side is the degree for δ small, whose computation relies on the local analysis of ∇M_δ around its critical points. Assumption * provides the a priori bounds required to perform a continuation argument: property (c.c.) plays the role of an obstruction to the complete collapse of the bifurcating solutions given by Theorem 3.2

Remark 3.2 Assuming instead of (*) the opposite condition $\langle \nabla a(x), x \rangle > 0$ for $|x| \gg 1$ and

$$l := \sum_{\nabla a(x)=0, \Delta a(x)<0} i(\nabla a, x) \neq 0,$$

we get the same result, but with different argument: for $\delta \leq \bar{\delta}$ and $\delta \gg \frac{1}{R^{N-2}}$, we get that

$$\deg(-\nabla E_\delta(\epsilon, y), \{\epsilon > \epsilon_\delta\} \times \{|y| < r\}, 0) = l \neq 0.$$

Notice that, for $\delta \ll \frac{1}{R^{N-2}}$, it still holds true that

$$\deg(-\nabla E_\delta(\epsilon, y), \{\epsilon > \epsilon_\delta\} \times \{|y| < r\}, 0) = - \sum_{\nabla a(x)=0, \Delta a(x)>0} i(\nabla a, x)$$

and this quantity is different from l ; in particular, no a priori bounds are available in this case.

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