# ON A QUASILINEAR MEAN FIELD EQUATION WITH AN EXPONENTIAL NONLINEARITY

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ABSTRACT. The mean field equation involving the N-Laplace operator and an exponential nonlinearity is considered in dimension  $N \ge 2$  on bounded domains with homogenoeus Dirichlet boundary condition. By a detailed asymptotic analysis we derive a quantization property in the non-compact case, yielding to the compactness of the solutions set in the so-called non-resonant regime. In such a regime, an existence result is then provided by a variational approach.

## 1. INTRODUCTION

We are concerned with the following quasilinear mean field equation

$$\begin{cases} -\Delta_N u = \lambda \frac{V e^u}{\int_{\Omega} V e^u dx} & \text{in } \Omega\\ u = 0 & \text{on } \partial\Omega \end{cases}$$
(1.1)

on a smooth bounded domain  $\Omega \subset \mathbb{R}^N$ ,  $N \ge 2$ , where  $\Delta_N u = \operatorname{div}(|\nabla u|^{N-2}\nabla u)$  denotes the *N*-Laplace operator, *V* is a smooth nonnegative function and  $\lambda \in \mathbb{R}$ . In the sequel, (1.1) will be referred to as the *N*-mean field equation.

In terms of  $\lambda$  or  $\rho = \frac{\lambda}{\int Ve^u}$ , the planar case N = 2 on Euclidean domains or on closed Riemannian surfaces has strongly attracted the mathematical interest, as it arises in conformal geometry [18, 19, 44], in statistical mechanics [16, 17, 20, 46], in the study of turbulent Euler flows [29, 64] and in connection with self-dual condensates for some Chern-Simons-Higgs model [25, 28, 32, 37, 51, 52, 58].

For N = 2 Brézis and Merle [15] initiated the study of the asymptotic behavior for solutions of (1.1) by providing a concentration-compactness result in  $\Omega$  without requiring any boundary condition. A quantization property for concentration masses has been later given in [48], and a very refined asymptotic description has been achieved in [23, 47]. A first natural question concerns the validity of a similar asymptotic behavior in the quasilinear case N > 2, where the nonlinearity of the differential operator creates an additional difficulty. The only available result is a concentrationcompactness result [2, 61], which provides a too weak compactness property towards existence issues for (1.1). Since a complete classification for the limiting problem

$$\begin{cases} -\Delta_N U = e^U \text{ in } \mathbb{R}^N\\ \int_{\mathbb{R}^N} e^U < \infty \end{cases}$$
(1.2)

is not available for N > 2 (except for extremals of the corresponding Moser-Trudinger's inequality [43, 50]) as opposite to the case N = 2 [21], the starting point of Li-Shafrir's analysis [48] fails and a general quantization property is completely missing. Under a "mild" control on the boundary values of u, Y.Y.Li and independently Wolanski have proposed for N = 2 an alternative approach based on Pohozaev identities, successfully applied also in other contexts [6, 7, 66]. The typical assumption on V is the following:

$$\frac{1}{C_0} \le V(x) \le C_0 \text{ and } |\nabla V(x)| \le C_0 \qquad \forall x \in \Omega$$
(1.3)

for some  $C_0 > 0$ .

Pushing the analysis of [2, 61] up to the boundary and making use of the above approach, our first main result is the following:

**Theorem 1.1.** Let  $u_k \in C^{1,\alpha}(\overline{\Omega})$ ,  $\alpha \in (0,1)$ , be a sequence of weak solutions to

$$-\Delta_N u_k = V_k e^{u_k} \qquad in \ \Omega, \tag{1.4}$$

where  $V_k$  satisfies (1.3) for all  $k \in \mathbb{N}$ . Assume that

$$\sup_{k \in \mathbb{N}} \int_{\Omega} e^{u_k} < +\infty \tag{1.5}$$

and

$$osc_{\partial\Omega}u_k = \sup_{\partial\Omega} u_k - \inf_{\partial\Omega} u_k \le M$$

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for some  $M \in \mathbb{R}$ . Then, up to a subsequence,  $u_k$  verifies one of the following alternatives: either (i)  $u_k$  is uniformly bounded in  $L^{\infty}_{loc}(\Omega)$ 

or

(ii)  $u_k \to -\infty$  as  $k \to +\infty$  uniformly in  $L^{\infty}_{loc}(\Omega)$ or

(iii) there exists a finite, non-empty set  $S = \{p_1, ..., p_m\} \subset \Omega$  such that  $u_k \to -\infty$  uniformly in  $L^{\infty}_{loc}(\Omega \setminus S)$  and

$$V_k e^{u_k} \rightharpoonup c_N \sum_{i=1}^m \delta_{p_i} \tag{1.6}$$

weakly in the sense of measures in  $\Omega$  as  $k \to +\infty$ , where  $c_N = N\left(\frac{N^2}{N-1}\right)^{N-1}\omega_N$  with  $\omega_N = |B_1(0)|$ . In addition, if  $osc_{\partial\Omega}u_k = 0$  for all k, alternatives (i)-(iii) do hold in  $\overline{\Omega}$ , with  $S \subset \Omega$  in case (iii).

Without an uniform control on the oscillation of  $u_k$  on  $\partial\Omega$ , in general the concentration mass  $\alpha_i$  in (1.6) at each  $p_i$ ,  $i = 1, \ldots, m$ , just satisfies  $\alpha_i \geq N^N \omega_N$ , see [2, 61] for details. Moreover, the assumption  $\operatorname{osc}_{\partial\Omega} u_k = 0$  is used here to rule out boundary blow-up. For strictly convex domains, one could simply use the moving-plane method to exclude maximum points of  $u_k$  near  $\partial \Omega$  as in [61]. For N = 2 this extra assumption can be removed by using the Kelvin transform to take care of non-convex domains, see [54, 60]. Although N-harmonic functions in  $\mathbb{R}^N$  are invariant under Kelvin transform, such a property does not carry over to (1.4) due to the nonlinearity of  $-\Delta_N$ . To overcome such a difficulty, we still make use of the Pohozaev identity near boundary points, to exclude the boundary blow-up as in [56, 62].

Problem (1.2) has a (N+1)-dimensional family of explicit solutions  $U_{\epsilon,p}(x) = U(\frac{x-p}{\epsilon}) - N\log\epsilon, \epsilon > 0$  and  $p \in \mathbb{R}^N$ , where

$$U(x) = \log \frac{F_N}{(1+|x|^{\frac{N}{N-1}})^N}, \quad x \in \mathbb{R}^N,$$
(1.7)

with  $F_N = N\left(\frac{N^2}{N-1}\right)^{N-1}$ . As  $\epsilon \to 0^+$ , a description of the blow-up behavior at p is well illustrated by  $U_{\epsilon,p}$ . Since

$$\int_{\mathbb{R}^N} e^{U_{\epsilon,p}} = c_N,$$

in analogy with Li-Shafrir's result it is expected that the concentration mass  $\alpha_i$  in (1.6) at each  $p_i$ , i = 1, ..., m, should be an integer multiple of  $c_N$ . The additional assumption  $\sup_k \operatorname{osc}_{\partial\Omega} u_k < +\infty$  allows us to prove that all the blow-up points  $p_i$ ,  $i = 1, \ldots, m$ , are "simple" in the sense  $\alpha_i = c_N$ .

Concerning the N-mean field equation (1.1), as a simple consequence of Theorem 1.1 we deduce the following crucial compactness property:

**Corollary 1.2.** Let  $\Lambda \subset [0, +\infty) \setminus c_N \mathbb{N}$  be a compact set. Then, there exists a constant C > 0 such that  $||u||_{\infty} \leq C$ does hold for all  $\lambda \in \Lambda$ , all weak solution  $u \in C^{1,\alpha}(\overline{\Omega})$ ,  $\alpha \in (0,1)$ , of (1.1) and all V satisfying (1.3).

In the sequel, we will refer to the case  $\lambda \neq c_N \mathbb{N}$  as the non-resonant regime. Existence issues can be attacked by variational methods: solutions of (1.1) can be found as critical points of

$$J_{\lambda}(u) = \frac{1}{N} \int_{\Omega} |\nabla u|^{N} - \lambda \log\left(\int_{\Omega} V e^{u}\right), \ u \in W_{0}^{1,N}(\Omega).$$

$$(1.8)$$

The Moser-Trudinger inequality [57] guarantees that the functional  $J_{\lambda}$  is well-defined and C<sup>1</sup>-Fréchet differentiable on  $W_0^{1,N}(\Omega)$  for any  $\lambda \in \mathbb{R}$ . Moreover, if  $\lambda < c_N$  the functional  $J_\lambda$  is coercive and then attains the global minimum. For  $\lambda = c_N J_{\lambda}$  still has a lower bound but is not coercive anymore: in general, in the resonant regime  $\lambda \in c_N \mathbb{N}$  existence issues are very delicate. When  $\lambda > c_N$  the functional  $J_{\lambda}$  is unbounded both from below and from above, and critical points have to be found among saddle points. Moreover, the Palais-Smale condition for  $J_{\lambda}$  is not globally available, see [53], but holds only for bounded sequences in  $W_0^{1,N}(\Omega)$ .

The second main result is the following:

**Theorem 1.3.** Assume that the space of formal barycenters  $\mathfrak{B}_m(\overline{\Omega})$  of  $\overline{\Omega}$  with order  $m \geq 1$  is non contractible. Then equation (1.1) has a solution in  $C^{1,\alpha}(\overline{\Omega})$ ,  $\alpha \in (0,1)$ , for all  $\lambda \in (c_N m, c_N(m+1))$ .

For mean-field equations, such a variational approach has been introduced in [33] and fully exploited later by Djadli and Malchiodi [35] in their study of constant Q-curvature metrics on four manifolds. It has revelead to be very powerful in many contexts, see for example [1, 8, 34, 55] and references therein. Alternative approaches are available: the computation of the corresponding Leray-Schauder degree [23, 24], based on a very refined asymptotic analysis of blow-up solutions; perturbative constructions of Lyapunov-Schimdt in the almost resonant regime [5, 24, 28, 29, 30, 37, 38, 52]. For our problem a refined asymptotic analysis for blow-up solutions is still missing, and perturbation arguments are very difficult due to the nonlinearity of  $\Delta_N$ . A variational approach is the only reasonable way to attack existence issues, and in this way the analytic problem is reduced to a topological one concerning the non-contractibility of a model space, the so-called space of formal barycenters, characterizing the very low sublevels of  $J_{\lambda}$ . We refer to Section 3 for a definition

of  $\mathfrak{B}_m(\overline{\Omega})$ . To have non-contractibility of  $\mathfrak{B}_m(\overline{\Omega})$  for domains  $\Omega$  homotopically equivalent to a finite simplicial complex, a sufficient condition is the non-triviality of the  $\mathbb{Z}$ -homology, see [41]. Let us emphasize that the variational approach produces solutions a.e.  $\lambda \in (c_N m, c_N(m+1)), m \geq 1$ , and Corollary 1.2 is crucial to get the validity of Theorem 1.3 for all  $\lambda$  in such a range.

The paper is organized as follows. In Section 2 we show how to push the concentration-compactness analysis [2, 61] up to the boundary, by discussing boundary blow-up and mass quantization. Section 3 is devoted to Theorem 1.3 and some comments concerning  $\mathfrak{B}_m(\overline{\Omega})$ . In the appendix, we collect some basic results that will be used frequently throughout the paper.

## 2. Concentration-Compactness analysis

Even though representation formulas are not available for  $\Delta_N$ , the Brézis-Merle's inequality [15] can be extended to N > 2 by different means:

**Lemma 2.1.** [2, 61] Let  $u \in C^{1,\alpha}(\overline{\Omega})$  be a weak solution of

$$-\Delta_N u = f \quad in \ \Omega$$

with  $f \in L^1(\Omega)$ . Let  $\varphi$  be a N-harmonic function in  $\Omega$  with  $\varphi = u$  on  $\partial \Omega$ . Then, for every  $\alpha \in (0, \alpha_N)$  there exists a constant  $C = C(\alpha, |\Omega|)$  such that

$$\int_{\Omega} \exp\left[\frac{\alpha |u(x) - \varphi(x)|}{\|f\|_{L^1}^{\frac{1}{N-1}}}\right] \le C,$$
(2.1)

where  $\alpha_N = (N^N d_N \omega_N)^{\frac{1}{N-1}}$  and

$$d_N = \inf_{X \neq Y \in \mathbb{R}^N} \frac{\langle |X|^{N-2} X - |Y|^{N-2} Y, X - Y \rangle}{|X - Y|^N} > 0.$$

In addition, if u = 0 on  $\partial \Omega$  inequality (2.1) does hold with  $\alpha_N = (N^N \omega_N)^{\frac{1}{N-1}}$ .

Under some smallness uniform condition on the nonlinear term, a-priori estimates hold true as follows:

**Lemma 2.2.** Let  $u_k \in C^{1,\alpha}(\overline{\Omega})$ ,  $\alpha \in (0,1)$ , be a sequence of weak solutions to (1.4), where  $V_k$  satisfies (1.3) for all  $k \in \mathbb{N}$ . Assume that

$$\sup_{k} \int_{\Omega \cap B_{4R}} V_k e^{u_k} < N^N d_N \omega_N \tag{2.2}$$

does hold for some R > 0, and  $u_k$  satisfies  $u_k = c_k$  on  $\partial\Omega \cap \overline{B_{4R}}$ ,  $u_k \ge c_k$  in  $\Omega \cap B_{4R}$  for  $c_k \in \mathbb{R}$  if  $\partial\Omega \cap \overline{B_{4R}} \neq \emptyset$ . Then  $\sup \|u_k^+\|_{L^{\infty}(\Omega \cap B_R)} < +\infty.$ (2.3)

*Proof.* Let  $\varphi_k$  be the *N*-harmonic function in  $\Omega \cap B_{4R}$  so that  $\varphi_k = u_k$  on  $\partial(\Omega \cap B_{4R})$ . Choosing

$$\alpha \in \left( (\sup_{k} \int_{\Omega \cap B_{4R}} V_k e^{u_k})^{\frac{1}{N-1}}, \alpha_N \right)$$

in view of (2.2), by Lemma 2.1 we get that  $e^{|u_k - \varphi_k|}$  is uniformly bounded in  $L^q(\Omega \cap B_{4R})$ , for some q > 1. Since  $V_k \ge 0$ , by the weak comparison principle we get that  $c_k \le \varphi_k \le u_k$  in  $\Omega \cap B_{4R}$ . Since  $\varphi_k = c_k$  on  $\partial \Omega \cap \overline{B_{4R}}$  and

$$\sup \|\varphi_k^+\|_{L^N(\Omega \cap B_{4R})} \le \sup \|u_k^+\|_{L^N(\Omega \cap B_{4R})} < +\infty$$
(2.4)

in view of (1.3) and (2.2), by Theorem A.1 we get that  $\varphi_k \leq C_0$  in  $\Omega \cap B_{2R}$  uniformly in k, for some  $C_0$ . Since  $e^{u_k} \leq e^{C_0} e^{|u_k - \varphi_k|}$ , we get that  $e^{u_k}$  is uniformly bounded in  $L^q(\Omega \cap B_{2R})$ . Since q > 1, by Theorem A.1 we deduce the validity of (2.3) in view of (2.4).

We can now prove our first main result:

#### Proof (of Theorem 1.1).

First of all, by (1.3) for  $V_k$  and (1.5) we deduce that  $V_k e^{u_k}$  is uniformly bounded in  $L^1(\Omega)$ . Up to a subsequence, by the Prokhorov Theorem we can assume that  $V_k e^{u_k} \rightharpoonup \mu \in \mathcal{M}^+(\overline{\Omega})$  as  $k \to +\infty$  in the sense of measures in  $\overline{\Omega}$ , i.e.

$$\int_{\Omega} V_k e^{u_k} \varphi \to \int_{\Omega} \varphi d\mu \text{ as } k \to +\infty \qquad \forall \ \varphi \in C(\overline{\Omega}).$$

A point  $p \in \overline{\Omega}$  is said a regular point for  $\mu$  if  $\mu(\{p\}) < N^N \omega_N$ , and let us denote the set of non-regular points as:

$$\Sigma = \{ p \in \overline{\Omega} : \, \mu(\{p\}) \ge N^N \, \omega_N \}$$

Since  $\mu$  is a bounded measure, it follows that  $\Sigma$  is a finite set. We complete the argument through the following five steps.

Step 1 Letting

$$S = \left\{ p \in \overline{\Omega}: \ \limsup_{k \to +\infty} \, \sup_{\Omega \cap B_R(p)} u_k = +\infty \ \forall R > 0 \right\}$$

there holds  $S \cap \Omega = \Sigma \cap \Omega$  ( $S = \Sigma$  if  $osc_{\partial\Omega}u_k = 0$  for all k).

Letting  $p_0 \in S$ , assume that  $p_0 \in \Omega$  or  $u_k = c_k$  on  $\partial\Omega$  for some  $c_k \in \mathbb{R}$ . In the latter case, notice that  $u_k \ge c_k$  in  $\Omega$  in view of the weak comparison principle. Setting

$$\Sigma' = \left\{ p \in \overline{\Omega} : \ \mu(\{p\}) \ge N^N d_N \omega_N \right\},$$

by Lemma 2.2 we know that  $p_0 \in \Sigma'$ . Indeed, if  $p_0 \notin \Sigma'$ , then (2.2) would hold for some R > 0 small, and then by Lemma 2.2 it would follow that  $u_k$  is uniformly bounded from above in  $\Omega \cap B_R(p_0)$ , contradicting  $p_0 \in S$ . To show that  $p_0 \in \Sigma$ , the key point is to recover a good control of  $u_k$  on  $\partial(\Omega \cap B_R(p_0))$ , for some R > 0, in order to drop  $d_N$ . Assume that  $p_0 \notin \Sigma$ , in such a way that

$$\sup_{k} \int_{\Omega \cap B_{2R}(p_0)} V_k e^{u_k} < N^N \omega_N \tag{2.5}$$

for some R > 0 small. Since  $\Sigma'$  is a finite set, up to take R smaller, let us assume that  $\partial (\Omega \cap B_{2R}(p_0)) \cap \Sigma' \subset \{p_0\}$ , and then by compactness we have that

$$u_k \le M \quad \text{in } \partial (\Omega \cap B_{2R}(p_0)) \setminus B_R(p_0) \tag{2.6}$$

in view of  $S \cap \Omega \subset \Sigma' \cap \Omega$  and  $S \subset \Sigma'$  if  $osc_{\partial\Omega}u_k = 0$  for all k. If  $p_0 \in \Omega$ , we can also assume that  $\overline{B_{2R}(p_0)} \subset \Omega$ . If  $p_0 \in \partial\Omega$ ,  $u_k = c_k$  on  $\partial\Omega$  yields to  $c_k \leq M$  in view of (2.6). In both cases, we have shown that (2.6) does hold in the stronger way:

$$u_k \le M \quad \text{in } \partial \big( \Omega \cap B_{2R}(p_0) \big). \tag{2.7}$$

Letting  $w_k \in W_0^{1,N}(\Omega \cap B_{2R}(p_0))$  be the weak solution of

$$\begin{cases} -\Delta_N w_k = V_k e^{u_k} & \text{in } \Omega \cap B_{2R}(p_0) \\ w_k = 0 & \text{on } \partial (\Omega \cap B_{2R}(p_0)), \end{cases}$$

by (2.7) and the weak comparison principle we get that

$$u_k \leq w_k + M$$
 in  $\Omega \cap B_{2R}(p_0)$ 

Applying Lemma 2.1 to  $w_k$  in view of (2.5), it follows that

$$\int_{\Omega \cap B_{2R}(p_0)} e^{qu_k} \le e^{qM} \int_{\Omega \cap B_{2R}(p_0)} e^{qw_k} \le C$$

for all k, for some q > 1 and C > 0. In particular,  $u_k^+$  is uniformly bounded in  $L^N(\Omega \cap B_{2R}(p_0))$  and  $V_k e^{u_k}$  is uniformly bounded in  $L^q(\Omega \cap B_{2R}(p_0))$ . By Theorem A.1 it follows that  $u_k$  is uniformly bounded from above in  $\Omega \cap B_R(p_0)$ , in contradiction with  $p_0 \notin S$ . So, we have shown that  $p_0 \in \Sigma$ , which yields to  $S \cap \Omega \subset \Sigma \cap \Omega$  and  $S \subset \Sigma$  if  $osc_{\partial\Omega}u_k = 0$ for all k.

Conversely, let  $p_0 \in \Sigma$ . If  $p_0 \notin S$ , one could find  $R_0 > 0$  so that  $u_k \leq M$  in  $\Omega \cap B_{R_0}(p_0)$ , for some  $M \in \mathbb{R}$ , yielding to

$$\int_{\Omega \cap B_R(p_0)} V_k e^{u_k} \le C_0 e^M R^N, \ R \le R_0,$$

in view of (1.3). In particular,  $\mu(\{p_0\}) = 0$ , contradicting  $p_0 \in \Sigma$ . Hence  $\Sigma \subset S$ , and the proof of Step 1 is complete.

**Step 2**  $S \cap \Omega = \emptyset$  ( $S = \emptyset$ ) implies the validity of alternative (i) or (ii) in  $\Omega$  (in  $\overline{\Omega}$  if  $osc_{\partial\Omega}u_k = 0$  for all k).

Since  $u_k$  is uniformly bounded from above in  $L^{\infty}_{loc}(\Omega)$ , then either  $u_k$  is uniformly bounded in  $L^{\infty}_{loc}(\Omega)$  or there exists, up to a subsequence, a compact set  $K \subset \Omega$  so that  $\min_K u_k \to -\infty$  as  $k \to +\infty$ . The set  $\Omega_{\delta} = \{x \in \Omega : \operatorname{dist}(x, \partial \Omega) \ge \delta\}$  is a compact connected set so that  $K \subset \Omega_{\delta}$ , for  $\delta > 0$  small. Since  $u_k \le M$  in  $\Omega$  for some M > 0, the function  $s_k = M - u_k$  is a nonnegative weak solution of  $-\Delta_N s_k = -V_k e^{u_k}$  in  $\Omega$ . By the Harnack inequality in Theorem A.2, we have that

$$\max_{\Omega_{\delta}} s_k \le C \big( \min_{\Omega_{\delta}} s_k + 1 \big)$$

in view of

$$\|V_k e^{u_k}\|_{L^{\infty}(\Omega)} \le C_0 e^M.$$

In terms of  $u_k$ , it reads as

$$\max_{\Omega_{\delta}} u_k \le M \left( 1 - \frac{1}{C} \right) + 1 + \frac{1}{C} \min_{K} u_k \to -\infty$$

as  $k \to +\infty$  for all  $\delta > 0$  small, yielding to the validity of alternative (ii) in  $\Omega$ . Assume in addition that  $u_k = c_k$  on  $\partial\Omega$  for some  $c_k \in \mathbb{R}$ . Notice that  $c_k \leq u_k \leq M$  in  $\Omega$  for all k. If alternative (i) does not hold in  $\overline{\Omega}$ , up to a subsequence, we get that  $c_k \to -\infty$ . Since  $V_k e^{u_k}$  is uniformly bounded in  $\Omega$ , we apply Corollary A.3 to  $s_k = u_k - c_k$ , a nonnegative solution of  $-\Delta_N s_k = V_k e^{u_k}$  with  $s_k = 0$  on  $\partial\Omega$ , to get  $s_k \leq M'$  in  $\Omega$  for some  $M' \in \mathbb{R}$ . Hence,  $u_k \leq M' + c_k \to -\infty$  in  $\Omega$  as  $k \to +\infty$ , yielding to the validity of alternative (ii) in  $\overline{\Omega}$ . The proof of Step 2 is complete.

**Step 3**  $S \cap \Omega \neq \emptyset$  implies the validity of alternative (iii) in  $\Omega$  (in  $\overline{\Omega}$  if  $osc_{\partial\Omega}u_k = 0$  for all k) with (1.6) replaced by the property:

$$V_k e^{u_k} \rightharpoonup \sum_{i=1}^m \alpha_i \delta_{p_i} \tag{2.8}$$

weakly in the sense of measures in  $\Omega$  (in  $\overline{\Omega}$ ) as  $k \to +\infty$ , with  $\alpha_i \geq N^N \omega_N$  and  $S \cap \Omega = \{p_1, \ldots, p_m\}$  ( $S = \{p_1, \ldots, p_m\}$ ). Let us first consider the case that  $u_k$  is uniformly bounded in  $L^{\infty}_{loc}(\Omega \setminus S)$ . Fix  $p_0 \in S$  and R > 0 small so that  $\overline{B_R(p_0)} \cap S = \{p_0\}$ . Arguing as in (2.6)-(2.7), we have that  $u_k \geq m$  on  $\partial(\Omega \cap B_R(p_0))$  for some  $m \in \mathbb{R}$ . Since  $u_k$  is uniformly bounded in  $L^{\infty}_{loc}(\Omega \setminus S)$ , by Theorem A.4 it follows that  $u_k$  is uniformly bounded in  $C^{1,\alpha}_{loc}(\overline{\Omega \cap B_R(p_0)} \setminus \{p_0\})$ , for some  $\alpha \in (0, 1)$ , and, up to a subsequence and a diagonal process, we can assume that  $u_k \to u$  in  $C^1_{loc}(\overline{\Omega \cap B_R(p_0)} \setminus \{p_0\})$  as  $k \to +\infty$ . By (1.3) on each  $V_k$ , we can also assume that  $V_k \to V$  uniformly in  $\Omega$  as  $k \to +\infty$ . Hence, there holds

$$V_k e^{u_k} \rightharpoonup \mu = V e^u \, dx + \alpha_0 \delta_{p_0} \tag{2.9}$$

weakly in the sense of measures in  $\overline{\Omega \cap B_R(p_0)}$  as  $k \to +\infty$ , where  $\alpha_0 \ge N^N \omega_N$ . Since

$$\lim_{k \to +\infty} \int_{\Omega \cap B_R(p_0)} V_k e^{u_k} = \int_{\Omega \cap B_R(p_0)} V e^u + \alpha_0 > \alpha_0$$

in view of (2.9), for k large we can find a unique  $0 < r_k < R$  so that

$$\int_{\Omega \cap B_{r_k}(p_0)} V_k e^{u_k} = \alpha_0. \tag{2.10}$$

Notice that  $r_k \to 0$  as  $k \to +\infty$ . Indeed, if  $r_k \ge \delta > 0$  were true along a subsequence, one would reach the contradiction

$$\alpha_0 \ge \int_{\Omega \cap B_{\delta}(p_0)} V_k e^{u_k} \to \int_{\Omega \cap B_{\delta}(p_0)} V e^u + \alpha_0 > \alpha_0$$

as  $k \to +\infty$  in view of (2.9)-(2.10). Denoting by  $\chi_A$  the characteristic function of a set A, we have the following crucial property:

$$\chi_{B_{r_k}(p_0)} V_k e^{u_k} \rightharpoonup \alpha_0 \delta_{p_0}$$

weakly in the sense of measures in  $\overline{\Omega \cap B_R(p_0)}$  as  $k \to +\infty$ , as it easily follows by (2.10) and  $\lim_{k \to +\infty} r_k = 0$ .

We can now specialize the argument to deal with the case  $p_0 \in S \cap \Omega$ . Assume that R is small so that  $\overline{B_R(p_0)} \subset \Omega$ . Letting  $w_k \in W_0^{1,N}(B_R(p_0))$  be the weak solution of

$$\begin{cases} -\Delta_N w_k = \chi_{B_{r_k}(p_0)} V_k e^{u_k} & \text{in } B_R(p_0) \\ w_k = 0 & \text{on } \partial B_R(p_0), \end{cases}$$

by the weak comparison principle there holds  $0 \le w_k \le u_k - m$  in  $B_R(p_0)$  in view of  $0 \le \chi_{B_{r_k}(p_0)}V_ke^{u_k} \le V_ke^{u_k}$ . Arguing as before, up to a subsequence, by Theorem A.4 we can assume that  $w_k \to w$  in  $C^1_{loc}(\overline{B_R(p_0)} \setminus \{p_0\})$  as  $k \to +\infty$ , where  $w \ge 0$  is a N-harmonic and continous function in  $B_R(p_0) \setminus \{p_0\}$  which solves

$$-\Delta_N w = \alpha_0 \delta_{p_0} \quad \text{in } B_R(p_0)$$

in a distributional sense. By Theorem A.5 we deduce that

$$w \ge (N\omega_N)^{-\frac{1}{N-1}} \alpha_0^{\frac{1}{N-1}} \log \frac{1}{|x-p_0|} + C \ge N \log \frac{1}{|x-p_0|} + C \quad \text{in } B_r(p_0)$$
(2.11)

in view of  $\alpha_0 \geq N^N \omega_N$ , for some  $C \in \mathbb{R}$  and  $0 < r \leq \min\{1, R\}$ . Since

$$\int_{B_R(p_0)} e^{w_k} \le e^{-m} \sup_k \int_{\Omega} e^{u_k} < +\infty$$

in view of (1.5), as  $k \to +\infty$  we get that  $\int_{B_R(p_0)} e^w < +\infty$ , in contradiction with (2.11):

$$\int_{B_R(p_0)} e^w \ge e^C \int_{B_r(p_0)} \frac{1}{|x - p_0|^N} = +\infty.$$

Since  $u_k$  is uniformly bounded from above and not from below in  $L^{\infty}_{loc}(\Omega \setminus S)$ , there exists, up to a subsequence, a compact set  $K \subset \Omega \setminus S$  so that  $\min_K u_k \to -\infty$  as  $k \to +\infty$ . Arguing as in Step 2 by simply replacing dist $(\cdot, \partial \Omega)$  with dist $(\cdot, \partial \Omega \cap S)$ , we can show that  $u_k \to -\infty$  in  $L^{\infty}_{loc}(\Omega \setminus S)$  as  $k \to +\infty$ , and (2.8) does hold in  $\Omega$  with  $\{p_1, \ldots, p_m\} = S \cap \Omega$ . If in addition  $u_k = c_k$  on  $\partial \Omega$  for some  $c_k \in \mathbb{R}$ , we can argue as in the end of Step 2 (by using Theorem A.2 instead of Corollary A.3) to get that  $u_k \to -\infty$  in  $L^{\infty}_{loc}(\overline{\Omega} \setminus S)$  as  $k \to +\infty$ , yielding to the validity of (2.8) in  $\overline{\Omega}$  with  $\{p_1, \ldots, p_m\} = S$ . The proof of Step 3 is complete.

To proceed further we make use of Pohozaev identities. Let us emphasize that  $u_k \in C^{1,\alpha}(\overline{\Omega})$ ,  $\alpha \in (0,1)$ , and the classical Pohozaev identities usually require more regularity. In [27] a self-contained proof is provided in the quasilinear case, which reads in our case as:

**Lemma 2.3.** Let  $\Omega \subseteq \mathbb{R}^N$ ,  $N \geq 2$ , be a smooth bounded domain, f be a locally Lipschitz continuous function and  $0 \leq V \in C^1(\overline{\Omega})$ . Then, there holds

$$\int_{\Omega} \left[ N \ V + \langle x - y, \nabla V \rangle \right] F(u) = \int_{\partial \Omega} V \ F(u) \langle x - y, \nu \rangle + |\nabla u|^{N-2} \langle x - y, \nabla u \rangle \partial_{\nu} u - \frac{|\nabla u|^{N}}{N} \langle x - y, \nu \rangle$$

for all weak solution  $u \in C^{1,\alpha}(\overline{\Omega})$ ,  $\alpha \in (0,1)$ , of  $-\Delta_N u = Vf(u)$  in  $\Omega$  and all  $y \in \mathbb{R}^N$ , where  $F(t) = \int_{-\infty}^t f(s) ds$  and  $\nu$  is the unit outward normal vector at  $\partial \Omega$ .

Thanks to Lemma 2.3, in the next two Steps we can now describe the interior blow-up phenomenon and exclude the occurence of boundary blow-up:

**Step 4** If  $osc_{\partial\Omega}u_k \leq M$  for some  $M \in \mathbb{R}$ , then  $\alpha_i = c_N$  for all  $p_i \in S \cap \Omega$ .

Since  $0 \le u_k - \inf_{\partial\Omega} u_k \le M$  on  $\partial\Omega$ , we have that  $s_k = u_k - \inf_{\partial\Omega} u_k \ge 0$  satisfies

$$\begin{cases} -\Delta_N s_k = W_k e^{s_k} & \text{in } \Omega\\ 0 \le s_k \le M & \text{on } \partial\Omega, \end{cases}$$

where  $W_k = V_k e^{\inf_{\partial\Omega} u_k}$ . Letting now  $\varphi_k$  be the *N*-harmonic function in  $\Omega$  with  $\varphi_k = s_k$  on  $\partial\Omega$ , by the weak comparison principle we have that  $0 \leq \varphi_k \leq M$  in  $\Omega$ . Since  $\sup_k \int_{\Omega} W_k e^{s_k} < +\infty$  and  $e^{\gamma s} \geq \delta s^N$  for all  $s \geq 0$ , for some  $\delta > 0$ , by Lemma 2.1 we deduce that  $s_k - \varphi_k$  and then  $s_k$  are uniformly bounded in  $L^N(\Omega)$ . Since  $W_k e^{s_k} = V_k e^{u_k}$  is uniformly bounded in  $L^{\infty}_{loc}(\overline{\Omega} \setminus S)$ , by Theorem A.4 it follows as in Step 3 that, up to a subsequence,  $s_k \to s$  in  $C^1_{loc}(\Omega \setminus S)$ . Fix  $p_0 \in S \cap \Omega$  and take  $R_0 > 0$  small so that  $B = B_{R_0}(p_0) \subset \Omega$  and  $\overline{B} \cap S = \{p_0\}$ . The limiting function  $s \geq 0$  is a *N*-harmonic and continuous function in  $B \setminus \{p_0\}$  which solves

$$-\Delta_N s = \alpha_0 \delta_{p_0} \quad \text{in } B,$$

where  $\alpha_0 \ge N^N \omega_N$ . By Theorem A.5 we have that  $s = \alpha_0^{\frac{1}{N-1}} \Gamma(|x-p_0|) + H$ , where  $H \in L^{\infty}_{loc}(B)$  does satisfy  $\lim_{x \to p_0} |x-p_0| |\nabla H(x)| = 0.$ (2.12)

Applying the Pohozaev identity to  $s_k$  on  $B_R(p_0)$ ,  $0 < R \leq R_0$ , with  $y = p_0$ , we get that

$$\int_{B_R(p_0)} \left[ NW_k + \langle x - p_0, \nabla W_k \rangle \right] e^{s_k} = R \int_{\partial B_R(p_0)} \left[ W_k e^{s_k} + |\nabla s_k|^{N-2} (\partial_\nu s_k)^2 - \frac{|\nabla s_k|^N}{N} \right].$$

Since  $S \cap \Omega \neq \emptyset$  and  $V_k e^{u_k} = W_k e^{s_k}$ , by Step 3 we get that  $\int_{\partial B_R(p_0)} W_k e^{s_k} \to 0$  and

$$\int_{B_R(p_0)} \left[ NW_k + \langle x - p_0, \nabla W_k \rangle \right] e^{s_k} = N \int_{B_R(p_0)} V_k e^{u_k} + O\left( \int_{B_R(p_0)} |x - p_0| V_k e^{u_k} \right) \to N\alpha_0$$

as  $k \to +\infty$ . Letting  $k \to \infty$  we get that

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$$\begin{split} N\alpha_{0} &= R \int_{\partial B_{R}(p_{0})} |\nabla H - (\frac{\alpha_{0}}{N\omega_{N}})^{\frac{1}{N-1}} \frac{x-p_{0}}{|x-p_{0}|^{2}}|^{N-2} [\partial_{\nu}H - (\frac{\alpha_{0}}{N\omega_{N}})^{\frac{1}{N-1}} \frac{1}{|x-p_{0}|}]^{2} \\ &- \frac{R}{N} \int_{\partial B_{R}(p_{0})} |\nabla H - (\frac{\alpha_{0}}{N\omega_{N}})^{\frac{1}{N-1}} \frac{x-p_{0}}{|x-p_{0}|^{2}}|^{N} \\ &= R \frac{N-1}{N} \int_{\partial B_{R}(p_{0})} \left[ (\frac{\alpha_{0}}{N\omega_{N}})^{\frac{2}{N-1}} \frac{1}{|x-p_{0}|^{2}} + O(\frac{1}{|x-p_{0}|} |\nabla H| + |\nabla H|^{2}) \right]^{\frac{N}{2}} \\ &= R \frac{N-1}{N} \int_{\partial B_{R}(p_{0})} (\frac{\alpha_{0}}{N\omega_{N}})^{\frac{N}{N-1}} \frac{1}{|x-p_{0}|^{N}} \left[ 1 + O(|x-p_{0}||\nabla H| + |x-p_{0}|^{2} |\nabla H|^{2}) \right] \end{split}$$

in view of  $s_k \to s = \alpha_0^{\frac{N}{N-1}} \Gamma(|x-p_0|) + H$  in  $C_{loc}^1(\overline{B} \setminus \{p_0\})$  as  $k \to +\infty$ . Letting  $R \to 0$  we get that  $N\alpha_0 = \frac{N-1}{N} (\frac{\alpha_0}{N\omega_N})^{\frac{N}{N-1}} N\omega_N,$ 

in view of (2.12). Therefore, there holds

$$\alpha_0 = N \left(\frac{N^2}{N-1}\right)^{N-1} \omega_N = c_N$$

for all  $p_0 \in S \cap \Omega$ , and the proof of Step 4 is complete.

**Step 5** If  $osc_{\partial\Omega}u_k = 0$  for all k, then  $S \subset \Omega$ .

Assume now that  $u_k = c_k$  on  $\partial \Omega$ . Since by the weak comparison principle  $c_k \leq u_k$  in  $\Omega$  for all k, the function  $s_k = u_k - c_k$  is a nonnegative weak solution of

$$\begin{cases} -\Delta_N s_k = W_k e^{s_k} & \text{in } \Omega\\ s_k = 0 & \text{on } \partial\Omega, \end{cases}$$

where  $W_k = V_k e^{c_k}$ . Since  $W_k e^{s_k} = V_k e^{u_k}$  is uniformly bounded in  $L^1(\Omega)$ , by Lemma 2.1 we have that  $s_k$  is uniformly bounded in  $L^N(\Omega)$ . Since  $W_k e^{s_k} = V_k e^{u_k}$  is uniformly bounded in  $L^{\infty}_{loc}(\overline{\Omega} \setminus S)$ , arguing as in Step 3, by Theorem A.4 it follows that  $s_k$  is uniformly bounded in  $C^{1,\alpha}_{loc}(\overline{\Omega} \setminus S)$ ,  $\alpha \in (0,1)$ , and, up to a subsequence,  $s_k \to s$  in  $C^1_{loc}(\overline{\Omega} \setminus S)$ . We claim that  $s \in C^1(\overline{\Omega})$ .

If  $c_k \to -\infty$ , we have that  $s \in C^1_{loc}(\overline{\Omega} \setminus S)$  is a nonnegative *N*-harmonic function in  $\Omega \setminus S$  with s = 0 on  $\partial\Omega \setminus S$ . By Theorem A.2 we deduce that s = 0 in  $\Omega$ , and then  $s \in C^1(\overline{\Omega})$ . Up to a subsequence, we can now assume that  $c_k \to c \in \mathbb{R}$  as  $k \to +\infty$  and  $S = \{p_1, \ldots, p_m\} \subset \partial\Omega$  in view of Step 3. By [12, 13]  $s \in W^{1,q}_0(\Omega)$  for all q < N and is a distributional solution of

$$\begin{cases} -\Delta_N s = W e^s & \text{in } \Omega\\ s = 0 & \text{on } \partial\Omega \end{cases}$$
(2.13)

(referred to as SOLA, Solution Obtained as Limit of Approximations), where  $W = Ve^c$  and  $We^s \in L^1(\Omega)$ . By considering different  $L^1$ -approximations or even  $L^1$ -weak approximations of  $We^s \in L^1(\Omega)$  one always get the same limiting SOLA [26], which is then unique in the sense explained right now. Unfortunately, the sequence  $W_k e^{s_k}$  does not converge  $L^1$ -weak to  $We^s$  as  $k \to +\infty$  since it keeps track that some mass is concentrating near the boundary points  $p_1, \ldots, p_m$ . Given  $p = p_i \in S$  and  $\alpha = \alpha_i$ , arguing as in (2.10) we can find a radius  $r_k \to 0$  as  $k \to +\infty$  so that

$$\int_{\Omega \cap B_{r_k}(p)} W_k e^{s_k} = \alpha.$$
(2.14)

Let  $w_k \in W_0^{1,N}(\Omega \cap B_R(p))$  be the weak solution of

$$\begin{cases} -\Delta_N w_k = \chi_{\Omega \cap B_{r_k}(p)} W_k e^{s_k} & \text{in } \Omega \cap B_R(p) \\ w_k = 0 & \text{on } \partial(\Omega \cap B_R(p)), \end{cases}$$

where  $R < \frac{1}{2}$  dist  $(p, S \setminus \{p\})$ . Arguing as in Step 3, up to a subsequence, we have that  $w_k \to w$  in  $C^1_{loc}(\overline{\Omega \cap B_R(p)} \setminus \{p\})$  as  $k \to +\infty$ , where  $w \ge 0$  is N-harmonic and continuous in  $\overline{\Omega \cap B_R(p)} \setminus \{p\}$ . If w > 0 in  $\Omega \cap B_R(p)$ , by [11, 14] we have that

$$\lim_{\sigma \to 0^+} rw(\sigma r + p) = -\langle \sigma, \nu(p) \rangle \tag{2.15}$$

uniformly for  $\sigma$  with  $\langle \sigma, \nu(p) \rangle \leq -\delta < 0$ . Thanks to (2.15), as in Step 3 we still end up with the contradiction  $\int_{\Omega \cap B_R(p)} e^w = +\infty$ . Therefore, by the strong maximum principle we necessarily have that w = 0 in  $\Omega \cap B_R(p)$ . Since  $w_k$  is the part of  $s_k$  which carries the information on the concentration phenomenon at p and tends to disappear as  $k \to +\infty$ , we can expect that  $s_k$  in the limit does not develop any singularities. We aim to show that  $e^s \in L^q(\Omega \cap B_R(p))$  for all  $q \geq 1$ , by mimicking some arguments in [2]. Letting  $\varphi_k$  be the N-harmonic extension in  $\Omega \cap B_R(p)$  of  $s_k \mid_{\partial(\Omega \cap B_R(p))}$ , for M, a > 0 we have that

$$\int_{\Omega \cap B_R(p)} \langle |\nabla s_k|^{N-2} \nabla s_k - |\nabla w_k|^{N-2} \nabla w_k - |\nabla \varphi_k|^{N-2} \nabla \varphi_k, \nabla [T_{M+a}(s_k - w_k - \varphi_k) - T_M(s_k - w_k - \varphi_k)] \rangle$$

$$= \int_{\Omega \cap B_R(p)} (1 - \chi_{\Omega \cap B_{r_k}(p)}) W_k e^{s_k} [T_{M+a}(s_k - w_k - \varphi_k) - T_M(s_k - w_k - \varphi_k)] \\
\leq a \int_{\{|s_k - w_k - \varphi_k| > M\}} (1 - \chi_{\Omega \cap B_{r_k}(p)}) W_k e^{s_k}, \qquad (2.16)$$

where the truncature operator  $T_M$ , M > 0, is defined as

$$T_M(u) = \begin{cases} -M & \text{if } u < -M \\ u & \text{if } |u| \le M \\ M & \text{if } u > M. \end{cases}$$

The crucial property we will take advantage of is the following:

$$\sup_{k} \int_{\{|s_k - w_k - \varphi_k| > M\}} (1 - \chi_{\Omega \cap B_{r_k}(p)}) W_k e^{s_k} \to 0 \quad \text{as } M \to +\infty.$$

$$(2.17)$$

Indeed, by [49] notice that, up to a subsequence, we can assume that  $\varphi_k \to \varphi$  in  $C^1(\overline{\Omega \cap B_R(p)})$  as  $k \to +\infty$ , where  $\varphi$  is the *N*-harmonic function in  $\Omega \cap B_R(p)$  with  $\varphi = s$  on  $\partial(\Omega \cap B_R(p))$ . Since  $s_k - w_k - \varphi_k \to s - \varphi$  uniformly in  $\Omega \cap (B_R(p) \setminus B_r(p))$  as  $k \to +\infty$  for any given  $r \in (0, R)$ , we can find  $M_r > 0$  large so that

$$\bigcup_k \{ |s_k - w_k - \varphi_k| > M \} \subset \Omega \cap B_r(p) \qquad \forall \ M \ge M_r$$

and then

$$\sup_{k} \int_{\{|s_{k}-w_{k}-\varphi_{k}|>M\}} (1-\chi_{\Omega\cap B_{r_{k}}(p)}) W_{k} e^{s_{k}} \le \sup_{k} \int_{\Omega\cap B_{r}(p)} (1-\chi_{\Omega\cap B_{r_{k}}(p)}) W_{k} e^{s_{k}}$$

for all  $M \ge M_r$ . Since by (2.9) and (2.14)

$$\int_{\Omega \cap B_r(p)} (1 - \chi_{\Omega \cap B_{r_k}(p)}) W_k e^{s_k} \to \int_{\Omega \cap B_r(p)} W e^{s_k}$$

as  $k \to +\infty$  and  $We^s \in L^1(\Omega)$ , for all  $\epsilon > 0$  we can find  $r_{\epsilon} > 0$  small so that

$$\sup_{k} \int_{\Omega \cap B_{r_{\epsilon}}(p)} (1 - \chi_{\Omega \cap B_{r_{k}}(p)}) W_{k} e^{s_{k}} \le \epsilon_{2}$$

yielding to the validity of (2.17). Inserting (2.17) into (2.16) we get that, for all  $\epsilon > 0$ , there exists  $M_{\epsilon}$  so that

$$\int_{\{M < |s_k - w_k - \varphi_k| \le M + a\}} \langle |\nabla s_k|^{N-2} \nabla s_k - |\nabla w_k|^{N-2} \nabla w_k - |\nabla \varphi_k|^{N-2} \nabla \varphi_k, \nabla (s_k - w_k - \varphi_k) \rangle \le a\epsilon$$
(2.18)

for all  $M \ge M_{\epsilon}$  and a > 0. Recall that  $w_k \to 0$ ,  $s_k \to s$  in  $C^1_{loc}(\overline{\Omega \cap B_R(p)} \setminus \{p\})$  and in  $W^{1,q}(\Omega \cap B_R(p))$  for all q < N as  $k \to +\infty$  in view of [12, 13]. Since

$$\langle |\nabla s_k|^{N-2} \nabla s_k - |\nabla w_k|^{N-2} \nabla w_k, \nabla (s_k - w_k) \rangle \ge 0$$

and  $\nabla \varphi_k$  behaves well, we can let  $k \to +\infty$  in (2.18) and by the Fatou Lemma get

$$\frac{d_N}{a} \int_{\{M < |s-\varphi| \le M+a\}} |\nabla(s-\varphi)|^N \le \frac{1}{a} \int_{\{M < |s-\varphi| \le M+a\}} \langle |\nabla s|^{N-2} \nabla s - |\nabla \varphi|^{N-2} \nabla \varphi, \nabla(s-\varphi) \rangle \le \epsilon$$
(2.19)

for some  $d_N > 0$  and all  $M \ge M_{\epsilon}$ . Introducing  $H_{M,a}(s) = \frac{T_{M+a}(s-\varphi) - T_M(s-\varphi)}{a}$  and the distribution  $\Phi_{s-\varphi}(M) = |\{x \in \Omega \cap B_R(p) : |s-\varphi|(x) > M\}$  of  $|s-\varphi|$ , we have that

$$\Phi_{s-\varphi}(M+a)^{\frac{N-1}{N}} \leq \left( \int_{\Omega \cap B_R(p)} |H_{M,a}(s)|^{\frac{N}{N-1}} \right)^{\frac{N-1}{N}} \leq (N^N \omega_N)^{-\frac{1}{N}} \int_{\Omega \cap B_R(p)} |\nabla H_{M,a}(s)|$$
$$\leq (N^N \omega_N)^{-\frac{1}{N}} \frac{1}{a} \int_{\{M < |s-\varphi| \le M+a\}} |\nabla (s-\varphi)|$$

in view of the Sobolev embedding  $W_0^{1,1}(\Omega \cap B_R(p)) \hookrightarrow L^{\frac{N}{N-1}}(\Omega \cap B_R(p))$  with sharp constant  $(N^N \omega_N)^{-\frac{1}{N}}$ , see [39]. By the Hölder inequality and (2.19) we then deduce that

$$\Phi_{s-\varphi}(M+a) \le \left(\frac{N^N d_N \omega_N}{\epsilon}\right)^{-\frac{1}{N-1}} \frac{\Phi_{s-\varphi}(M) - \Phi_{s-\varphi}(M+a)}{a}$$

for all  $M \ge M_{\epsilon}$ . By letting  $a \to 0^+$  it follows that

$$\Phi_{s-\varphi}(M) \le -\left(\frac{N^N d_N \omega_N}{\epsilon}\right)^{-\frac{1}{N-1}} \Phi'_{s-\varphi}(M)$$

for a.e.  $M \geq M_{\epsilon}$ , and by integration in  $(M_{\epsilon}, M)$ 

$$\Phi_{s-\varphi}(M) \le |\Omega \cap B_R(p)| \exp\left[-\left(\frac{N^N d_N \omega_N}{\epsilon}\right)^{\frac{1}{N-1}} M\right]$$

for all  $M \ge M_{\epsilon}$ , in view of  $\Phi_{s-\varphi}(M_{\epsilon}) \le |\Omega \cap B_R(p)|$ . Given  $q \ge 1$  we can argue as follows:

$$\int_{\Omega \cap B_R(p)} e^{q|s-\varphi|} - |\Omega \cap B_R(p)| = q \int_{\Omega \cap B_R(p)} dx \int_0^{|s(x)-\varphi(x)|} e^{qM} dM = q \int_0^\infty e^{qM} \Phi_{s-\varphi}(M) dM$$
$$\leq |\Omega \cap B_R(p)| \left[ e^{qM_\epsilon} + q \int_{M_\epsilon}^\infty \exp\left( \left( q - \left(\frac{N^N d_N \omega_N}{\epsilon}\right)^{\frac{1}{N-1}} \right) M \right) \right] dM < +\infty$$

by taking  $\epsilon$  sufficiently small. Since  $\varphi \in C^1(\overline{\Omega \cap B_R(p)})$ , we get that  $e^s$  is a  $L^q$ -function near any  $p \in S$ , and then  $e^s \in L^q(\Omega)$  for all  $q \ge 1$ . By the uniqueness result in [36] and by Theorems A.1, A.4 we get that  $s \in C^{1,\alpha}(\overline{\Omega})$ , for some  $\alpha \in (0, 1)$ .

**Remark 2.4.** The proof of  $s \in C^{1,\alpha}(\overline{\Omega})$ ,  $\alpha \in (0,1)$ , might be carried over in a shorter way. Indeed, the function  $We^s \in L^1(\Omega)$  can be approximated either in a strong  $L^1$ -sense or in a weak measure-sense. In the former case, the limiting function z is an entropy solution of

$$\begin{cases} -\Delta_N z = W e^s & \text{in } \Omega\\ z = 0 & \text{on } \partial\Omega, \end{cases}$$

while in the latter we end up with s by choosing  $W_k e^{s_k}$  as the approximation in measure-sense. As consequence of the impressive uniqueness result in [36], s = z and then s is a entropy solution of (2.13) (see [2, 10] for the definition of entropy solution). Lemma 2.1 is proved in [2] for entropy solutions, and has been used there, among other things, to show that a entropy solution s of (2.13) is necessarily in  $C^{1,\alpha}(\overline{\Omega})$ , for some  $\alpha \in (0,1)$ . We have preferred a longer proof to give a self-contained argument which does not require to introduce special notions of distributional solutions (like SOLA, entropy and renormalized solutions, just to quote some of them).

Fix any  $p_0 \in \partial \Omega$  and take  $R_0 > 0$  small so that  $\overline{B_{R_0}(p_0)} \cap S = \{p_0\}$ . Setting  $y_k = p_0 + \rho_{k,R}\nu(p_0)$  with  $0 < R \le R_0$  and

$$\rho_{k,R} = \frac{\int_{\partial\Omega\cap B_R(p_0)} \langle x - p_0, \nu \rangle |\nabla u_k|^N}{\int_{\partial\Omega\cap B_R(p_0)} \langle \nu(p_0), \nu \rangle |\nabla u_k|^N},$$

we have that

$$\int_{\partial\Omega\cap B_R(p_0)} \langle x - y_k, \nu \rangle |\nabla u_k|^N = 0.$$
(2.20)

Up to take  $R_0$  smaller, we can assume that  $|\rho_{k,R}| \leq 2R$ . Applying Lemma 2.3 to  $s_k$  on  $\Omega \cap B_R(p_0)$  with  $y = y_k$ , we obtain that

$$\int_{\Omega \cap B_R(p_0)} [NW_k + \langle x - y_k, \nabla W_k \rangle] e^{s_k} = \int_{\partial(\Omega \cap B_R(p_0))} W_k e^{s_k} \langle x - y_k, \nu \rangle$$

$$+ \int_{\partial(\Omega \cap B_R(p_0))} \left[ |\nabla s_k|^{N-2} \langle x - y_k, \nabla s_k \rangle \partial_{\nu} s_k - \frac{|\nabla s_k|^N}{N} \langle x - y_k, \nu \rangle \right].$$
(2.21)

We would like to let  $k \to +\infty$ , but  $\partial(\Omega \cap B_R(p_0))$  contains the portion  $\partial\Omega \cap B_R(p_0)$  where the convergence  $s_k \to s$  might fail. The clever choice of  $\rho_{k,R}$ , as illustrated by (2.20), leads to

$$\int_{\partial\Omega\cap B_R(p_0)} \left[ \left| \nabla s_k \right|^{N-2} \langle x - y_k, \nabla s_k \rangle \partial_\nu s_k - \frac{\left| \nabla s_k \right|^N}{N} \langle x - y_k, \nu \rangle \right] = \left(1 - \frac{1}{N}\right) \int_{\partial\Omega\cap B_R(p_0)} \left| \nabla u_k \right|^N \langle x - y_k, \nu \rangle = 0$$

in view of  $\nabla s_k = \nabla u_k$  and  $\nabla s_k = -|\nabla s_k|\nu$  on  $\partial\Omega$  by means of  $s_k = 0$  on  $\partial\Omega$ . Hence, (2.21) reduces to

$$N \int_{\Omega \cap B_R(p_0)} V_k e^{u_k} = -\int_{\Omega \cap B_R(p_0)} \langle x - y_k, \frac{\nabla V_k}{V_k} \rangle V_k e^{u_k} + \int_{\partial(\Omega \cap B_R(p_0))} V_k e^{u_k} \langle x - y_k, \nu \rangle$$

$$+ \int_{\Omega \cap \partial B_R(p_0)} \left[ |\nabla s_k|^{N-2} \langle x - y_k, \nabla s_k \rangle \partial_{\nu} s_k - \frac{|\nabla s_k|^N}{N} \langle x - y_k, \nu \rangle \right].$$

$$(2.22)$$

Since  $|x - y_k| \leq 3R$  and  $\left|\frac{\nabla V_k}{V_k}\right| \leq C_0^2$  in  $\Omega \cap B_R(p_0)$  in view of (1.3), by letting  $k \to +\infty$  in (2.22) we get that

$$N\mu\left(\Omega \cap B_{R}(p_{0})\right) \leq 3RC_{0}^{2}\mu\left(\Omega \cap B_{R}(p_{0})\right) + 3C_{0}Re^{M}|\partial(\Omega \cap B_{R}(p_{0}))| + 3R(1 + \frac{1}{N})\int_{\Omega \cap \partial B_{R}(p_{0})}|\nabla s|^{N}$$

in view of  $s_k \to s$  in  $C^1_{loc}(\overline{\Omega} \setminus S)$ . Since  $s \in C^1(\overline{\Omega})$ , by letting  $R \to 0$  we deduce that  $\mu(\{p_0\}) = 0$ , and then  $p_0 \notin \Sigma = S$ . Since this is true for all  $p_0 \in \partial \Omega$ , we have shown that  $S \subset \Omega$ , and the proof of Step 5 is complete.

The combination of the previous 5 Steps provides us with a complete proof of Theorem 1.1.

Once Theorem 1.1 has been established, we can derive the following:

Proof (of Corollary 1.2).

By contradiction, assume the existence of sequences  $\lambda_k \in \Lambda$ ,  $V_k$  satisfying (1.3) and  $u_k \in C^{1,\alpha}(\overline{\Omega})$ ,  $\alpha \in (0,1)$ , weak solutions to (1.1) so that  $||u_k||_{\infty} \to +\infty$  as  $k \to +\infty$ . First of all, we can assume  $\lambda_k > 0$  (otherwise  $u_k = 0$ ) and

$$\max_{\Omega} V_k e^{u_k - \alpha_k} \to +\infty \tag{2.23}$$

as  $k \to +\infty$  in view of Corollary A.3, where  $\alpha_k = \log(\frac{\int_{\Omega} V_k e^{u_k}}{\lambda_k})$ . The function  $\hat{u}_k = u_k - \alpha_k$  solves

$$\begin{cases} -\Delta_N \hat{u}_k = V_k e^{\hat{u}_k} & \text{in } \Omega, \\ \hat{u}_k = -\alpha_k & \text{on } \partial \Omega \end{cases}$$

Since  $\lambda_k \in \Lambda$  and  $\Lambda$  is a compact set, we have that  $\sup_k \int_{\Omega} V_k e^{\hat{u}_k} = \sup_k \lambda_k < +\infty$ , and then  $\sup_k \int_{\Omega} e^{\hat{u}_k} < +\infty$  in view of (1.3). Since  $\operatorname{osc}_{\partial\Omega}(\hat{u}_k) = 0$ , we can apply Theorem 1.1 to  $\hat{u}_k$ . Since  $\max_{\Omega} \hat{u}_k \to +\infty$  as  $k \to +\infty$  in view of (1.3) and (2.23), alternative (iii) in Theorem 1.1 occurs for  $\hat{u}_k$ . By (1.6) we get that

$$\lambda_k = \int_{\Omega} V_k e^{\hat{u}_k} \to c_N m$$

as  $k \to +\infty$ , for some  $m \in \mathbb{N}$ . Hence,  $c_N m \in \Lambda$ , in contradiction with  $\Lambda \subset [0, +\infty) \setminus c_N \mathbb{N}$ .

#### 3. A GENERAL EXISTENCE RESULT

The Moser-Trudinger inequality [57] states that, for some  $C_{\Omega} > 0$ , there holds

$$\int_{\Omega} \exp(\alpha |u|^{\frac{N}{N-1}}) dx \le C_{\Omega}$$
(3.1)

for all  $u \in W_0^{1,N}(\Omega)$  with  $||u||_{W_0^{1,N}(\Omega)} \leq 1$  and all  $\alpha \leq \alpha_N = (N^N \omega_N)^{\frac{1}{N-1}}$ , whereas (3.1) is false when  $\alpha > \alpha_N$ . A simple consequence of (3.1), always referred to as the Moser-Trudinger inequality, is the following:

$$\log\left(\int_{\Omega} e^{u} dx\right) \leq \frac{1}{Nc_{N}} \|u\|_{W_{0}^{1,N}(\Omega)}^{N} + \log C_{\Omega}$$

$$(3.2)$$

for all  $u \in W_0^{1,N}(\Omega)$ , where  $c_N$  is defined in Theorem 1.1. Indeed, (3.2) follows by (3.1) by noticing

$$u \le \left[\left(\frac{N\alpha_N}{N-1}\right)^{-\frac{N-1}{N}} \|u\|_{W_0^{1,N}(\Omega)}\right] \times \left[\left(\frac{N\alpha_N}{N-1}\right)^{\frac{N-1}{N}} \frac{|u|}{\|u\|_{W_0^{1,N}(\Omega)}}\right] \le \frac{1}{Nc_N} \|u\|_{W_0^{1,N}(\Omega)}^N + \alpha_N |\frac{u}{\|u\|_{W_0^{1,N}(\Omega)}}|^{\frac{N}{N-1}} +$$

in view of the Young's inequality. By (3.2) it follows that:

$$J_{\lambda}(u) \ge \frac{1}{N} (1 - \frac{\lambda}{c_N}) \|u\|_{W_0^{1,N}(\Omega)}^N - \lambda \log(C_0 C_{\Omega})$$

for all  $u \in W_0^{1,N}(\Omega)$  in view of (1.3), where  $J_{\lambda}$  is given in (1.8). Hence,  $J_{\lambda}$  is bounded from below for  $\lambda \leq c_N$  and coercive for  $\lambda < c_N$ . Since the map  $u \in W_0^{1,N}(\Omega) \to Ve^u \in L^1(\Omega)$  is compact in view of (3.2) and the embedding  $W_0^{1,N}(\Omega) \hookrightarrow L^2(\Omega)$  is compact, for  $\lambda < c_N$  we have that  $J_{\lambda}$  attains the global minimum in  $W_0^{1,N}(\Omega)$ , and then (1.1) is solvable. In Theorem 1.3 we just consider the difficult case  $\lambda > c_N$ . Notice that a solution  $u \in W_0^{1,N}(\Omega)$  of (1.1) belongs to  $C^{1,\alpha}(\overline{\Omega})$  for some  $\alpha \in (0, 1)$ , in view of (3.2) and Theorems A.1, A.4.

The constant  $\frac{1}{Nc_N}$  in (3.2) is optimal as it follows by evaluating the inequality along

$$U(\frac{x-p}{\epsilon}) - \frac{N^2}{N-1}\log\epsilon, \quad p \in \Omega,$$

as  $\epsilon \to 0$ , up to make a cut-off away from p so to have a function in  $W_0^{1,N}(\Omega)$ . The function U is given in (1.7) and, as already mentioned in the Introduction, satisfies

$$\int_{\mathbb{R}^N} e^U = c_N.$$

Indeed, the equation  $-\Delta_N U = e^U$  does hold pointwise in  $\mathbb{R}^N \setminus \{0\}$ , and then can be integrated in  $B_R(0) \setminus B_{\epsilon}(0)$ ,  $0 < \epsilon < R$ , to get

$$\int_{\partial B_R(0)\setminus B_{\epsilon}(0)} e^U = -\int_{\partial B_R(0)} |\nabla U|^{N-2} \langle \nabla U, \nu \rangle + \int_{\partial B_{\epsilon}(0)} |\nabla U|^{N-2} \langle \nabla U, \nu \rangle,$$

where  $\nu(x) = \frac{x}{|x|}$ . Letting  $\epsilon \to 0$  and  $R \to +\infty$ , we get that

$$\int_{\mathbb{R}^N} e^U = N(\frac{N^2}{N-1})^{N-1} \omega_N = c_N$$

in view of

$$\nabla U = -\frac{N^2}{N-1} \frac{|x|^{\frac{N}{N-1}-2}x}{1+|x|^{\frac{N}{N-1}}}.$$

Since  $\frac{1}{Nc_N}$  in (3.2) is optimal, the functional  $J_{\lambda}$  is unbounded from below for  $\lambda > c_N$ , and our goal is to develop a global variational strategy to find a critical point of saddle type. The classical Morse theory states that a sublevel is a deformation retract of an higher sublevel unless there are critical points in between, and the crucial assumption on the functional is the validity of the so-called Palais-Smale condition. Unfortunately, in our context such assumption fails since  $J_{\lambda}$  admits unbounded Palais-Smale sequences for  $\lambda \geq c_N$ , see [40, 53]. This technical difficulty can be overcome by using a method introduced by Struwe that exploits the monotonicity of the functional  $\frac{J_{\lambda}}{\lambda}$  in  $\lambda$ . An alternative approach has been found in [53], which provides a deformation between two sublevels unless  $J_{\lambda_k}$  has critical points in the energy strip for some sequence  $\lambda_k \to \lambda$ . Thanks to the compactness result in Corollary 1.2 and the a-priori estimates in Theorem A.4, we have at hands the following crucial tool:

**Lemma 3.1.** Let  $\lambda \in (c_N, +\infty) \setminus c_N \mathbb{N}$ . If  $J_{\lambda}$  has no critical levels u with  $a \leq J_{\lambda}(u) \leq b$ , then  $J_{\lambda}^a$  is a deformation retract of  $J_{\lambda}^b$ , where

$$J_{\lambda}^{t} = \{ u \in W_{0}^{1,N}(\Omega) : J_{\lambda}(u) \leq t \}.$$

To attack existence issues for (1.1) when  $\lambda \in (c_N, +\infty) \setminus c_N \mathbb{N}$ , it is enough to find any two sublevels  $J_{\lambda}^a$  and  $J_{\lambda}^b$  which are not homotopically equivalent.

Hereafter, the parameter  $\lambda$  is fixed in  $(c_N, +\infty) \setminus c_N \mathbb{N}$ . By Corollary 1.2 and Theorem A.4 we have that  $J_{\lambda}$  does not have critical points with large energy. Exactly as in [55], Lemma 3.1 can be used to construct a deformation retract of  $W_0^{1,N}(\Omega)$  onto very high sublevels of  $J_{\lambda}$ . More precisely, we have the following

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**Lemma 3.2.** There exists L > 0 large so that  $J_{\lambda}^{L}$  is a deformation retract of  $W_{0}^{1,N}(\Omega)$ . In particular,  $J_{\lambda}^{L}$  is contractible.

For the sake of completeness, we give some details of the proof.

Proof. Take  $L \in \mathbb{N}$  large so that  $J_{\lambda}$  has no critical points u with  $J_{\lambda}(u) \geq L$ . By Lemma 3.1  $J_{\lambda}^{n}$  is a deformation retract of  $J_{\lambda}^{n+1}$  for all  $n \geq L$ , and  $\eta_{n}$  will denote the corresponding retraction map. Given  $u \in W_{0}^{1,N}(\Omega)$  with  $J_{\lambda}(u) > L$ , by setting recursively

$$\begin{cases} \eta^{1,n}(s,u) = \eta_n(s,u) \\ \eta^{2,n}(s,u) = \eta_{n-1}(s-1,\eta_n(1,u)) \\ \vdots \\ \eta^{k+1,n} = \eta_{n-k}(s-k,\eta^{(k)}(k,u)), \end{cases}$$

for  $s \ge 0$  we consider the following map

$$\hat{\eta}(s,u) = \begin{cases} \eta^{k+1,n}(s,u) & \text{if } n < J_{\lambda}(u) \le n+1 \text{ for } n \ge L, s \in [k,k+1] \\ u & \text{if } J_{\lambda}(u) \le L. \end{cases}$$

Next, define  $s_u$  as the first s > 0 such that  $J_{\lambda}(\hat{\eta}(s, u)) = L$  if  $J_{\lambda}(u) > L$  and as 0 if  $J_{\lambda}(u) \leq L$ . The map  $\eta(t, u) = \hat{\eta}(ts_u, u) : [0, 1] \times W_0^{1,N}(\Omega) \to W_0^{1,N}(\Omega)$  satisfies  $\eta(1, u) \in J_{\lambda}^L$  for  $u \in W_0^{1,N}(\Omega)$  and  $\eta(t, u) = u$  for  $(t, u) \in [0, 1] \times J_{\lambda}^L$ . Since  $s_u$  depends continuously in u, the map  $\eta$  is continuous in both variables, providing us with the required deformation retract.

Thanks to Lemmas 3.1 and 3.2, we are led to study the topology of sublevels for  $J_{\lambda}$  with very low energy. The real core of such a global variational approach is an improved form [22] of the Moser-Trudinger inequality for functions  $u \in W_0^{1,N}(\Omega)$  with a measure  $\frac{Ve^u}{J_{\Omega} Ve^u}$  concentrated on several subomains in  $\Omega$ . As a consequence, when  $\lambda \in (c_N m, c_N(m+1))$  and  $J_{\lambda}(u)$  is very negative, the measure  $\frac{Ve^u}{J_{\Omega} Ve^u}$  can be concentrated near at most m points of  $\overline{\Omega}$ , and can be naturally associated to an element  $\sigma \in \mathcal{B}_m(\overline{\Omega})$ , where

$$\mathfrak{B}_m(\overline{\Omega}) := \{ \sum_{i=1}^m t_i \delta_{p_i} : t_i \ge 0, \sum_{i=1}^m t_i = 1, p_i \in \overline{\Omega} \}$$

has been first introduced by Bahri and Coron in [3, 4] and is known in literature as the space of formal barycenters of  $\overline{\Omega}$  with order m. The topological structure of  $J_{\lambda}^{-L}$ , L > 0 large, is completely characterized in terms of  $\mathcal{B}_m(\overline{\Omega})$ . The non-contractibility of  $\mathcal{B}_m(\overline{\Omega})$  let us see a change in topology between  $J_{\lambda}^L$  and  $J_{\lambda}^{-L}$  for L > 0 large, and by Lemma 3.1 we obtain the existence result claimed in Theorem 1.3. Notice that our approach is simpler than the one in [33, 34, 35] (see also [9]), by using [53] instead of the Struwe's monotonicity trick to bypass the general failure of PS-condition for  $J_{\lambda}$ .

As already explained, the key point is the following improvement of the Moser-Trudinger inequality:

**Lemma 3.3.** Let  $\Omega_i$ , i = 1, ..., l + 1, be subsets of  $\overline{\Omega}$  so that  $dist(\Omega_i, \Omega_j) \ge \delta_0 > 0$ , for  $i \ne j$ , and  $\gamma_0 \in (0, \frac{1}{l+1})$ . Then, for any  $\epsilon > 0$  there exists a constant  $C = C(\epsilon, \delta_0, \gamma_0)$  such that there holds

$$\log(\int_{\Omega} V e^{u} dx) \leq \frac{1}{N c_N (l+1-\epsilon)} \|u\|_{W_0^{1,N}(\Omega)}^N + C$$

for all  $u \in W_0^{1,N}(\Omega)$  with

$$\frac{\int_{\Omega_i} V e^u}{\int_{\Omega} V e^u} \ge \gamma_0 \quad i = 1, \dots, l+1.$$
(3.3)

*Proof.* Let  $g_1, \ldots, g_{l+1}$  be cut-off functions so that  $0 \leq g_i \leq 1$ ,  $g_i = 1$  in  $\Omega_i$ ,  $g_i = 0$  in  $\{\text{dist}(x, \Omega_i) \geq \frac{\delta_0}{4}\}$  and  $\|g_i\|_{C^2(\overline{\Omega})} \leq C_{\delta_0}$ . Since  $g_i, i = 1, \ldots, l$ , have disjoint supports, for all  $u \in W_0^{1,N}(\Omega)$  there exists  $i = 1, \ldots, l+1$  such that

$$\int_{\Omega} (g_i |\nabla u|)^N \le \frac{1}{l+1} \int_{\bigcup_{i=1}^{l+1} \text{supp} g_i} |\nabla u|^N \le \frac{1}{l+1} ||u||_{W_0^{1,N}(\Omega)}^N.$$
(3.4)

Since by the Young's inequality

$$\begin{aligned} |\nabla(g_{i}u)|^{N} &\leq (g_{i}|\nabla u| + |\nabla g_{i}||u|)^{N} \leq (g_{i}|\nabla u|)^{N} + C_{1}\left[(g_{i}|\nabla u|)^{N-1}|\nabla g_{i}||u| + (|\nabla g_{i}||u|)^{N}\right] \\ &\leq \left[1 + \frac{\epsilon}{(l+1)(3l+3-\epsilon)}\right](g_{i}|\nabla u|)^{N} + C_{2}(|\nabla g_{i}||u|)^{N} \end{aligned}$$

for all  $\epsilon > 0$  and some  $C_1 > 0$ ,  $C_2 = C_2(\epsilon) > 0$ , we have that

$$\|g_{i}u\|_{W_{0}^{1,N}(\Omega)}^{N} \leq \int_{\Omega} (g_{i}|\nabla u|)^{N} + \frac{\epsilon}{(l+1)(3l+3-\epsilon)} \|u\|_{W_{0}^{1,N}(\Omega)} + Nc_{N}C_{3}\|u\|_{L^{N}(\Omega)}^{N}$$

where  $C_3 = \frac{C_2 C_{\delta_0}^N}{N c_N}$ . Since  $g_i u \in W_0^{1,N}(\Omega)$ , by (3.2) and (3.4) it follows that

$$\int_{\Omega} e^{g_i u} \le C_{\Omega} \exp\left(\frac{3}{Nc_N(3l+3-\epsilon)} \|u\|_{W_0^{1,N}(\Omega)}^N + C_3 \|u\|_{L^N(\Omega)}^N\right)$$
(3.5)

does hold for all  $u \in W_0^{1,N}(\Omega)$  and some  $i = 1, \ldots, l + 1$ .

Let  $\eta \in (0, |\Omega|)$  be given. Since  $\{|u| \ge 0\} = \Omega$  and  $\lim_{a \to +\infty} |\{|u| \ge a\}| = 0$ , the set

 $A_{\eta} = \{ a \ge 0 : \ |\{|u| \ge a\}| \ge \eta \}$ 

is non-empty and bounded from above. Letting  $a_{\eta} = \sup A_{\eta}$ , we have that  $a_{\eta} \ge 0$  is a finite number so that

$$|\{|u| \ge a_{\eta}\}| \ge \eta, \quad |\{|u| \ge a\}| < \eta \quad \forall \ a > a_{\eta}$$
(3.6)

in view of the left-continuity of the map  $a \to |\{|u| \ge a\}|$ . Given  $\eta > 0$  and  $u \in W_0^{1,N}(\Omega)$  satisfying (3.3), we can fix  $a = a_\eta$  and  $i = 1, \ldots, l+1$  so that (3.5) applies to  $(|u| - 2a)_+$  yielding to

$$\int_{\Omega} V e^{u} \leq \frac{1}{\gamma_{0}} \int_{\Omega_{i}} V e^{|u|} \leq \frac{C_{0} e^{2a}}{\gamma_{0}} \int_{\Omega} e^{g_{i}(|u|-2a)_{+}} \leq \frac{C_{0} C_{\Omega}}{\gamma_{0}} \exp\left(\frac{3}{N c_{N}(3l+3-\epsilon)} \|u\|_{W_{0}^{1,N}(\Omega)}^{N} + 2a + C_{3}\|(|u|-2a)_{+}\|_{L^{N}(\Omega)}^{N}\right)$$

in view of (1.3). By the Poincaré and Young inequalities and the first property in (3.6) it follows that

$$2a \le \frac{2}{\eta} \int_{\{|u|\ge a\}} |u| \le \frac{C_5}{\eta} \|u\|_{W_0^{1,N}(\Omega)} \le \frac{3\epsilon}{Nc_N(3l+3-\epsilon)(3l+3-2\epsilon)} \|u\|_{W_0^{1,N}(\Omega)}^N + C_6$$

for some  $C_5 > 0$  and  $C_6 = C_6(\epsilon, \eta) > 0$ , and there holds

$$\|(|u|-2a)_{+}\|_{L^{N}(\Omega)}^{N} \leq \eta^{\frac{1}{2}} \|(|u|-2a)_{+}\|_{L^{2N}(\Omega)}^{N} \leq C_{4}\eta^{\frac{1}{2}} \|u\|_{W_{0}^{1,N}(\Omega)}^{N}$$

for some  $C_4 > 0$  in view of the Hölder and Sobolev inequalities and the second property in (3.6). Choosing  $\eta$  small as

$$\eta = \left(\frac{\epsilon}{C_3 C_4 N c_N (3l+3-2\epsilon)(l+1-\epsilon)}\right)^2$$

we finally get that

$$\int_{\Omega} V e^{u} \leq \frac{C_0 C_{\Omega}}{\gamma_0} \exp\left(\frac{1}{N c_N (l+1-\epsilon)} \|u\|_{W_0^{1,N}(\Omega)}^N + C\right)$$

for some  $C = C(\epsilon, \delta_0, \gamma_0)$ .

A criterium for the occurrence of (3.3) is the following:

**Lemma 3.4.** Let  $l \in \mathbb{N}$  and  $0 < \epsilon, r < 1$ . There exist  $\bar{\epsilon} > 0$  and  $\bar{r} > 0$  such that, for every  $0 \le f \in L^1(\Omega)$  with

$$\|f\|_{L^1(\Omega)} = 1 , \quad \int_{\Omega \cap \bigcup_{i=1}^l B_r(p_i)} f < 1 - \epsilon \qquad \forall \, p_1, \dots, p_l \in \overline{\Omega},$$

$$(3.7)$$

there exist l+1 points  $\bar{p}_1, \ldots, \bar{p}_{l+1} \in \overline{\Omega}$  so that

$$\int_{\Omega \cap B_{\bar{r}}(\bar{p}_i)} f \ge \bar{\epsilon} , \qquad B_{2\bar{r}}(\bar{p}_i) \cap B_{2\bar{r}}(\bar{p}_j) = \emptyset \quad \forall \ i \neq j.$$

*Proof.* By contradiction, for all  $\bar{\epsilon}, \bar{r} > 0$  we can find  $0 \leq f \in L^1(\Omega)$  satisfying (3.7) such that, for every (l+1)-tuple of points  $p_1, ..., p_{l+1} \in \overline{\Omega}$  the statement

$$\int_{\Omega \cap B_{\bar{r}}(p_i)} f \ge \bar{\epsilon} , \qquad B_{2\bar{r}}(p_i) \cap B_{2\bar{r}}(p_j) = \emptyset \quad \forall \ i \ne j$$
(3.8)

is false. Setting  $\bar{r} = \frac{r}{8}$ , by compactness we can find h points  $x_i \in \overline{\Omega}$ , i = 1, ..., h, such that  $\overline{\Omega} \subset \bigcup_{i=1}^h B_{\bar{r}}(x_i)$ . Setting  $\bar{\epsilon} = \frac{\epsilon}{2h}$ , there exists i = 1, ..., h such that  $\int_{\Omega \cap B_{\bar{r}}(x_i)} f \geq \bar{\epsilon}$ . Let  $\{\tilde{x}_1, ..., \tilde{x}_j\} \subseteq \{x_1, ..., x_h\}$  be the maximal set with respect to the property  $\int_{\Omega \cap B_{\bar{r}}(\bar{x}_i)} f \geq \bar{\epsilon}$ . Set  $j_1 = 1$  and let  $X_1$  denote the set

$$X_1 = \Omega \cap \bigcup_{i \in \Lambda_1} B_{\bar{r}}(\tilde{x}_i) \subseteq \Omega \cap B_{6\bar{r}}(\tilde{x}_{j_1}), \quad \Lambda_1 = \{i = 1, ..., j : B_{2\bar{r}}(\tilde{x}_i) \cap B_{2\bar{r}}(\tilde{x}_{j_1}) \neq \emptyset\}$$

If non empty, choose  $j_2 \in \{1, ..., j\} \setminus \Lambda_1$ , i.e.  $B_{2\bar{r}}(\tilde{x}_{j_2}) \cap B_{2\bar{r}}(\tilde{x}_{j_1}) = \emptyset$ . Let  $X_2$  denote the set

$$X_2 = \Omega \cap \bigcup_{i \in \Lambda_2} B_{\bar{r}}(\tilde{x}_i) \subseteq \Omega \cap B_{6\bar{r}}(\tilde{x}_{j_2}), \quad \Lambda_2 = \{i = 1, ..., j : B_{2\bar{r}}(\tilde{x}_i) \cap B_{2\bar{r}}(\tilde{x}_{j_2}) \neq \emptyset\}.$$

Iterating this process, if non empty, at the *l*-th step we choose  $j_l \in \{1, ..., j\} \setminus \bigcup_{j=1}^{l-1} \Lambda_j$ , i.e.  $B_{2\bar{r}}(\tilde{x}_{j_l}) \cap B_{2\bar{r}}(\tilde{x}_{j_i}) = \emptyset$  for all i = 1, ..., l-1, and we define

$$X_l = \Omega \cap \bigcup_{i \in \Lambda_l} B_{\bar{r}}(\tilde{x}_i) \subseteq \Omega \cap B_{6\bar{r}}(\tilde{x}_{j_l}), \quad \Lambda_l = \{i = 1, ..., j : B_{2\bar{r}}(\tilde{x}_i) \cap B_{2\bar{r}}(\tilde{x}_{j_l}) \neq \emptyset\}$$

By (3.8) the process has to stop at the s-th step with  $s \leq l$ . By the definition of  $\bar{r}$  we obtain

$$\Omega \cap \bigcup_{i=1}^{j} B_{\bar{r}}(\tilde{x}_i) \subset \bigcup_{i=1}^{s} X_i \subset \Omega \cap \bigcup_{i=1}^{s} B_{6\bar{r}}(\tilde{x}_{j_i}) \subset \Omega \cap \bigcup_{i=1}^{s} B_{r}(\tilde{x}_{j_i})$$

in view of  $\{1, ..., j\} = \bigcup_{i=1}^{s} \Lambda_i$ . Therefore, we have that

$$\int_{\Omega \setminus \bigcup_{i=1}^{s} B_{\bar{r}}(\bar{x}_{j_{i}})} f \leq \int_{\Omega \setminus \bigcup_{i=1}^{j} B_{\bar{r}}(\bar{x}_{i})} f = \int_{(\Omega \cap \bigcup_{i=1}^{h} B_{\bar{r}}(x_{i})) \setminus (\bigcup_{i=1}^{j} B_{\bar{r}}(\bar{x}_{i}))} f < (h-j)\bar{\epsilon} < \frac{\epsilon}{2}$$

in view of the definition of  $\tilde{x}_1, \ldots, \tilde{x}_j$ . Define  $p_i$  as  $\tilde{x}_{j_i}$  for  $i = 1, \ldots, s$  and as  $\tilde{x}_{j_s}$  for  $i = s + 1, \ldots, l$ . Since  $\int_{\Omega \setminus \bigcup_{i=1}^{l} B_r(p_i)} f < \frac{\epsilon}{2}$ , we deduce that

$$\int_{\Omega \cap \bigcup_{i=1}^{l} B_{r}(p_{i})} f = \int_{\Omega} f - \int_{\Omega \setminus \bigcup_{i=1}^{l} B_{r}(p_{i})} f > 1 - \frac{\epsilon}{2} > 1 - \epsilon,$$

contradicting the second property in (3.7). The proof is complete.

As a consequence, we get that

**Lemma 3.5.** Let  $\lambda \in (c_N m, c_N (m+1))$ ,  $m \in \mathbb{N}$ . For any  $0 < \epsilon, r < 1$  there exists a large  $L = L(\epsilon, r) > 0$  such that, for every  $u \in W_0^{1,N}(\Omega)$  with  $J_{\lambda}(u) \leq -L$ , we can find m points  $p_{i,u} \in \overline{\Omega}$ ,  $i = 1, \ldots, m$ , satisfying

$$\int_{\Omega \setminus \bigcup_{i=1}^{m} B_r(p_{i,u})} V e^u \le \epsilon \int_{\Omega} V e^u.$$

*Proof.* By contradiction there exist  $\epsilon$ ,  $r \in (0,1)$  and functions  $u_k \in W_0^{1,N}(\Omega)$  so that  $J_\lambda(u_k) \to -\infty$  as  $k \to +\infty$  and

$$\int_{\Omega \setminus \bigcup_{i=1}^{m} B_r(p_i)} V e^{\hat{u}_k} > \epsilon \tag{3.9}$$

for all  $p_1, ..., p_m \in \overline{\Omega}$ , where  $\hat{u}_k = u_k - \log \int_{\Omega} V e^{u_k}$ . Since

$$\int_{\Omega \setminus \bigcup_{i=1}^{m} B_r(p_i)} V e^{\hat{u}_k} = \int_{\Omega} V e^{\hat{u}_k} - \int_{\Omega \cap \bigcup_{i=1}^{m} B_r(p_i)} V e^{\hat{u}_k} = 1 - \int_{\Omega \cap \bigcup_{i=1}^{m} B_r(p_i)} V e^{\hat{u}_k}$$

by (3.9) we get that

$$\int_{\Omega \cap \cup_{i=1}^m B_r(p_i)} V e^{\hat{u}_k} < 1 - \epsilon$$

for all *m*-tuple  $p_1, \ldots, p_m \in \overline{\Omega}$ . Applying Lemma 3.4 with l = m and  $f = Ve^{\hat{u}_k}$ , we find  $\bar{\epsilon}, \bar{r} > 0$  and  $\bar{p}_1, \ldots, \bar{p}_{m+1} \in \overline{\Omega}$ so that

$$\int_{\Omega \cap B_{\bar{r}}(\bar{p}_i)} V e^{u_k} \ge \bar{\epsilon} \int_{\Omega} V e^{u_k}, \qquad B_{2\bar{r}}(\bar{p}_i) \cap B_{2\bar{r}}(\bar{p}_j) = \emptyset \quad \forall \ i \neq j$$

Applying Lemma 3.3 with  $\Omega_i = \Omega \cap B_{\bar{r}}(\bar{p}_i)$  for  $i = 1, \ldots, m+1$ ,  $\delta_0 = 2\bar{r}$  and  $\gamma_0 = \bar{\epsilon}$ , it now follows that

$$\log\left(\int_{\Omega} V e^{u_k}\right) \le \frac{1}{N c_N(m+1-\eta)} \|u\|_{W_0^{1,N}(\Omega)}^N + C$$

for all  $\eta > 0$ , for some  $C = C(\eta, \delta_0, \gamma_0, a, b)$ . Since  $\lambda < c_N(m+1)$ , we get that

$$J_{\lambda}(u_{k}) = \frac{1}{N} \|u_{k}\|_{W_{0}^{1,N}(\Omega)}^{N} - \lambda \log\left(\int_{\Omega} V e^{u_{k}} dx\right) \ge \frac{1}{N} \left(1 - \frac{\lambda}{c_{N}(m+1-\eta)}\right) \|u_{k}\|_{W_{0}^{1,N}(\Omega)}^{N} - C\lambda \ge -C\lambda$$
0 small, in contradiction with  $J_{\lambda}(u_{k}) \to -\infty$  as  $k \to +\infty$ .

for  $\eta > 0$  small, in contradiction with  $J_{\lambda}(u_k) \to -\infty$  as  $k \to +\infty$ .

The set  $\mathcal{M}(\overline{\Omega})$  of all Radon measures on  $\overline{\Omega}$  is a metric space with the Kantorovich-Rubinstein distance, which is induced by the norm

$$\|\mu\|_* = \sup_{\|\phi\|_{Lip(\overline{\Omega})} \le 1} \int_{\Omega} \phi d\mu, \qquad \mu \in \mathcal{M}(\overline{\Omega}).$$

Lemma 3.5 can be re-phrased as

**Lemma 3.6.** Let  $\lambda \in (c_N m, c_N (m+1))$ ,  $m \in \mathbb{N}$ . For any  $\epsilon > 0$  small there exists a large  $L = L(\varepsilon) > 0$  such that, for every  $u \in W_0^{1,N}(\Omega)$  with  $J_{\lambda}(u) \leq -L$ , we have

$$dist\left(\frac{Ve^{u}}{\int_{\Omega} Ve^{u}}, \mathfrak{B}_{m}(\overline{\Omega})\right) \leq \epsilon.$$
(3.10)

*Proof.* Given  $\epsilon \in (0,2)$  and  $r = \frac{\epsilon}{4}$ , let  $L = L(\frac{\epsilon}{4}, r) > 0$  be as given in Lemma 3.5. For all  $u \in W_0^{1,N}(\Omega)$  with  $J_{\lambda}(u) \leq -L$ , let us denote for simplicity as  $p_1, \ldots, p_m \in \overline{\Omega}$  the corresponding points  $p_{1,u}, \ldots, p_{n,u}$  such that

$$\int_{\Omega \setminus \bigcup_{i=1}^{m} B_r(p_i)} V e^u \le \frac{\epsilon}{4} \int_{\Omega} V e^u.$$
(3.11)

Define  $\sigma \in \mathfrak{B}_m(\overline{\Omega})$  as

$$\sigma = \sum_{i=1}^m t_i \delta_{p_i}, \qquad t_i = \frac{\int_{A_{r,i}} V e^u}{\int_{\Omega \cap \bigcup_{i=1}^m B_r(p_i)} V e^u},$$

where  $A_{r,i} = (\Omega \cap B_r(p_i)) \setminus \bigcup_{j=1}^{i-1} B_r(p_j)$ . Since  $A_{r,i}$ , i = 1, ..., m, are disjoint sets with  $\bigcup_{i=1}^m A_{r,i} = \Omega \cap \bigcup_{i=1}^m B_r(p_i)$ , we have that  $\sum_{i=1}^m t_i = 1$  and

$$\begin{split} \left| \int_{\Omega} \phi \left[ V e^{u} dx - \left( \int_{\Omega} V e^{u} \right) d\sigma \right] \right| &\leq \left| \int_{\Omega \setminus \bigcup_{i=1}^{m} B_{r}(p_{i})} V e^{u} \phi \right| + \left| \int_{\Omega \cap \bigcup_{i=1}^{m} B_{r}(p_{i})} V e^{u} \phi - \left( \int_{\Omega} V e^{u} \right) \sum_{i=1}^{m} t_{i} \phi(p_{i}) \right| \\ &\leq \frac{\epsilon}{4} \int_{\Omega} V e^{u} + \sum_{i=1}^{m} \left| \int_{A_{r,i}} V e^{u} \phi - \left( \int_{\Omega} V e^{u} \right) t_{i} \phi(p_{i}) \right| \\ &\leq \frac{\epsilon}{4} \int_{\Omega} V e^{u} + \sum_{i=1}^{m} \int_{A_{r,i}} V e^{u} |\phi - \phi(p_{i})| + \left| \frac{\int_{\Omega} V e^{u}}{\int_{\Omega \cap \bigcup_{i=1}^{m} B_{r}(p_{i})} V e^{u}} - 1 \right| \sum_{i=1}^{m} \int_{A_{r,i}} V e^{u} \\ &\leq \left( \frac{\epsilon}{4} + r + \frac{\epsilon}{4 - \epsilon} \right) \int_{\Omega} V e^{u} \end{split}$$

in view of (3.11),  $\|\phi\|_{Lip(\overline{\Omega})} \leq 1$  and

$$\frac{\int_{\Omega} V e^u}{\int_{\Omega \cap \bigcup_{i=1}^m B_r(p_i)} V e^u} - 1 \bigg| \le \frac{\epsilon}{4 - \epsilon}.$$

Since there holds

$$\left|\int_{\Omega}\phi\left[\frac{Ve^{u}dx}{\int_{\Omega}Ve^{u}}-d\sigma\right]\right|\leq\epsilon$$

for all  $\phi \in Lip(\overline{\Omega})$  with  $\|\phi\|_{Lip(\overline{\Omega})} \leq 1$ , we have that

$$\|\frac{Ve^u}{\int_{\Omega} Ve^u} - \sigma\|_* \le \epsilon$$

for some  $\sigma \in \mathfrak{B}_m(\overline{\Omega})$ , and then

$$\operatorname{dist}\left(\frac{Ve^{u}}{\int_{\Omega} Ve^{u}}, \mathfrak{B}_{m}(\overline{\Omega})\right) \leq \epsilon.$$

The proof is complete.

When (3.10) does hold, one would like to project  $\frac{Ve^u}{\int_{\Omega} Ve^u}$  onto  $\mathfrak{B}_m(\overline{\Omega})$ . To avoid boundary points (which cause troubles in the construction of the map  $\Phi$  below) we replace  $\overline{\Omega}$  by its retract of deformation  $K = \{x \in \Omega : \operatorname{dist}(x, \partial \Omega) \geq \delta\}, \delta > 0$  small. Since  $\mathfrak{B}_m(K)$  is a retract of deformation of  $\mathfrak{B}_m(\overline{\Omega})$ , by [8] there exists a projection map

$$\Pi_m : \{ \sigma \in \mathcal{M}(\overline{\Omega}) : dist(\sigma, \mathfrak{B}_m(\overline{\Omega})) < \epsilon_0 \} \to \mathfrak{B}_m(K), \quad \epsilon_0 > 0 \text{ small},$$

which is continuous with respect to the Kantorovich-Rubinstein distance. Thanks to  $\Pi_m$  and Lemma 3.6, for  $\epsilon \leq \epsilon_0$  there exist  $L = L(\epsilon) > 0$  large and a continuous map

$$\Psi: \quad J_{\lambda}^{-L} \to \mathfrak{B}_m(K) \\
 u \to \Pi_m(\frac{Ve^u}{\int_{\Omega} Ve^u})$$

The key point now is to construct a continuous map  $\Phi : \mathfrak{B}_m(K) \to J_\lambda^{-L}$  so that  $\Psi \circ \Phi$  is homotopically equivalent to  $\mathrm{Id}_{\mathfrak{B}_m(K)}$ . When  $\mathfrak{B}_m(\overline{\Omega})$  is non contractible, the same is true for  $\mathfrak{B}_m(K)$  and then for  $J_\lambda^{-L}$  for L > 0 large. Theorem 1.3 then follows by Lemmas 3.1 and 3.2.

The construction of  $\Phi$  relies on an appropriate choice of a one-parameter family of functions  $\varphi_{\epsilon,\sigma}$ ,  $\sigma \in \mathfrak{B}_m(K)$ , modeled on the standard bubbles  $U_{\epsilon,p}$ , see (1.7). Letting  $\chi \in C_0^{\infty}(\Omega)$  be so that  $\chi = 1$  in  $\Omega_{\frac{\delta}{2}} = \{x \in \Omega : \operatorname{dist}(x, \partial\Omega) > \frac{\delta}{2}\}$ , we define

$$\varphi_{\epsilon,\sigma}(x) = \chi(x) \log \sum_{i=1}^{m} t_i \left( \frac{F_N}{(\epsilon^{\frac{N}{N-1}} + |x-p_i|^{\frac{N}{N-1}})^N V(p_i)} \right)$$

where  $\sigma = \sum_{i=1}^{m} t_i \delta_{p_i} \in \mathfrak{B}_m(K)$  and  $\epsilon > 0$ . Since  $\varphi_{\epsilon,\sigma} \in W_0^{1,N}(\Omega)$ , the map  $\Phi$  can be constructed as  $\Phi_{\epsilon_0}, \epsilon_0 > 0$  small, where

$$\begin{array}{rccc} \Phi_{\epsilon} : & \mathfrak{B}_m(K) & \to & J_{\lambda}^{-L} \\ & \sigma & \to & \varphi_{\epsilon,\sigma}. \end{array}$$

To map  $\mathfrak{B}_m(K)$  into the very low sublevel  $J_{\lambda}^{-L}$ , the difficult point is to produce uniform estimates in  $\sigma$  as  $\epsilon \to 0$ . We have

## Lemma 3.7. There hold

(1) there exist  $C_0 > 0$  and  $\epsilon_0 > 0$  so that

$$\frac{V e^{\varphi_{\epsilon,\sigma}}}{\int_{\Omega} V e^{\varphi_{\epsilon,\sigma}}} - \sigma \bigg\|_* \le C_0 \epsilon$$

for all  $0 < \epsilon \leq \epsilon_0$  and  $\sigma \in \mathfrak{B}_m(K)$ ; (2)  $J_\lambda(\varphi_{\epsilon,\sigma}) \to -\infty$  as  $\epsilon \to 0$  uniformly in  $\sigma \in \mathfrak{B}_m(K)$ .

*Proof.* Recall that

$$U_{\epsilon,p}(x) = \log\left(\frac{F_N \epsilon^{\frac{N}{N-1}}}{(\epsilon^{\frac{N}{N-1}} + |x-p|^{\frac{N}{N-1}})^N}\right)$$

Fix  $\phi \in Lip(\overline{\Omega})$  with  $\|\phi\|_{Lip(\overline{\Omega})} \leq 1$ . Since  $\varphi_{\epsilon,\sigma}$  is bounded from above in  $\Omega \setminus \Omega_{\underline{\delta}}$  uniformly in  $\sigma$ , we have that

$$\int_{\Omega} V e^{\varphi_{\epsilon,\sigma}} \phi = \epsilon^{-\frac{N}{N-1}} \sum_{i=1}^{m} \int_{\Omega_{\frac{\delta}{2}}} \frac{t_i V \phi}{V(p_i)} e^{U_{\epsilon,p_i}} + O(1) = \epsilon^{-\frac{N}{N-1}} \sum_{i=1}^{m} \int_{B_{\frac{\delta}{2}}(p_i)} \frac{t_i V \phi}{V(p_i)} e^{U_{\epsilon,p_i}} + O(1)$$

$$= \epsilon^{-\frac{N}{N-1}} \left( c_N \int_{\Omega} \phi d\sigma + O(\epsilon) \right)$$
(3.12)

as  $\epsilon \to 0$  uniformly in  $\phi$  and  $\sigma$ . We have used that

$$\int_{B_{\frac{\delta}{2}}(p_i)} \frac{V\phi}{V(p_i)} e^{U_{\epsilon,p_i}} = \int_{B_{\frac{\delta}{2\epsilon}}(0)} (\phi(p_i) + O(\epsilon|y|)) e^U = c_N \phi(p_i) + O(\epsilon)$$

does hold as  $\epsilon \to 0$ , uniformly in  $\phi$  and  $\sigma$ , in view of (1.3). Therefore, there holds

$$\left|\int_{\Omega}\phi\left(\frac{Ve^{\varphi_{\epsilon,\sigma}}}{\int_{\Omega}Ve^{\varphi_{\epsilon,\sigma}}}dx-d\sigma\right)\right| \leq C_{0}\epsilon$$

for all  $\phi \in Lip(\overline{\Omega})$  with  $\|\phi\|_{Lip(\overline{\Omega})} \leq 1$ , and then

$$\left\|\frac{Ve^{\varphi_{\epsilon,\sigma}}}{\int_{\Omega} Ve^{\varphi_{\epsilon,\sigma}}} - \sigma\right\|_* \le C_0 \epsilon$$

for all  $\sigma \in \mathfrak{B}_m(K)$ . Part (1) is proved.

For part (2), it is enough to show that

$$\log \int_{\Omega} V e^{\varphi_{\epsilon,\sigma}} = \frac{N}{N-1} \log \frac{1}{\epsilon} + O(1)$$
(3.13)

$$\frac{1}{N} \int_{\Omega} \left| \nabla \varphi_{\epsilon,\sigma} \right|^N \le \frac{N}{N-1} c_N m \log \frac{1}{\epsilon} + O(1) \tag{3.14}$$

as  $\epsilon \to 0$  uniformly in  $\sigma \in \mathfrak{B}_m(K)$ , in view of  $\lambda > mc_N$ . Estimate (3.13) follows by (3.12) with  $\phi = 1$ . As far as (3.14) is concerned, let us set  $\varphi_{\epsilon,\sigma} = \chi \tilde{\varphi}_{\epsilon,\sigma}$ . All the estimates below are uniform in  $\sigma$ . Since

$$\nabla \tilde{\varphi}_{\epsilon,\sigma} = -\frac{N^2}{N-1} \frac{\sum_{i=1}^m t_i V(p_i)^{-1} (\epsilon^{\frac{N}{N-1}} + |x-p_i|^{\frac{N}{N-1}})^{-(N+1)} |x-p_i|^{\frac{N}{N-1}-2} (x-p_i)}{\sum_{i=1}^m t_i V(p_i)^{-1} (\epsilon^{\frac{N}{N-1}} + |x-p_i|^{\frac{N}{N-1}})^{-N}},$$

we have that  $\|\tilde{\varphi}_{\epsilon,\sigma}\|_{C^1(\Omega\setminus\Omega_{\frac{\delta}{2}})} = O(1)$  and then

$$|\nabla \varphi_{\epsilon,\sigma}| = O(1)$$

in  $\Omega \setminus \Omega_{\frac{\delta}{2}}$ . Therefore we can write that

$$\frac{1}{N} \int_{\Omega} \left| \nabla \varphi_{\epsilon,\sigma} \right|^{N} = \frac{1}{N} \int_{\Omega_{\frac{\delta}{2}}} \left| \nabla \tilde{\varphi}_{\epsilon,\sigma} \right|^{N} + O(1).$$
(3.15)

We estimate  $|\nabla \tilde{\varphi}_{\epsilon,\sigma}|$  in two different ways: (i)  $|\nabla \tilde{\varphi}_{\epsilon,\sigma}|(x) \leq \frac{N^2}{N-1} \frac{1}{d(x)}$ , where  $d(x) = \min\{|x-p_i|:, i=1,...,m\}$ ; (ii)  $|\nabla \tilde{\varphi}_{\epsilon,\sigma}| \leq \frac{N^2}{N-1} C_0 \epsilon^{-1}$  in view of

$$\frac{\epsilon |x - p_i|^{\frac{N}{N-1}-1}}{\epsilon^{\frac{N}{N-1}} + |x - p_i|^{\frac{N}{N-1}}} \le C_0$$

by the Young's inequality. By estimate (ii) we have that

$$\int_{\Omega_{\frac{\delta}{2}}} |\nabla \tilde{\varphi}_{\epsilon,\sigma}|^N = \int_{\Omega_{\frac{\delta}{2}} \setminus \bigcup_{j=1}^m B_{\epsilon}(p_j)} |\nabla \tilde{\varphi}_{\epsilon,\sigma}|^N + O(1) \le \sum_{j=1}^m \int_{A_j \setminus B_{\epsilon}(p_j)} |\nabla \tilde{\varphi}_{\epsilon,\sigma}|^N + O(1)$$
(3.16)

in view of  $\Omega_{\frac{\delta}{2}} \setminus \bigcup_{j=1}^{m} B_{\epsilon}(p_j) \subset \bigcup_{j=1}^{m} \left( A_j \setminus B_{\epsilon}(p_j) \right)$ , where  $A_j = \{x \in \Omega_{\frac{\delta}{2}} : |x - p_j| = d(x)\}$ . Since by estimate (i) we have that

$$\int_{A_j \setminus B_{\epsilon}(p_j)} |\nabla \tilde{\varphi}_{\epsilon,\sigma}|^N \leq \left(\frac{N^2}{N-1}\right)^N \int_{A_j \setminus B_{\epsilon}(p_j)} \frac{1}{|x-p_j|^N} \leq \left(\frac{N^2}{N-1}\right)^N \int_{B_R(0) \setminus B_{\epsilon}(0)} \frac{1}{|x|^N} + O(1) = \frac{N^2}{N-1} c_N \log \frac{1}{\epsilon} + O(1)$$
  
in terms of  $R$  = diam  $\Omega$ , by (3.15)-(3.16) we deduce the validity of (3.14). The proof is complete.

in terms of  $R = \text{diam } \Omega$ , by (3.15)-(3.16) we deduce the validity of (3.14). The proof is complete.

In order to prove that 
$$\Psi \circ \Phi$$
 is homotopically equivalent to  $\mathrm{Id}_{\mathfrak{B}_m(K)}$ , we construct an explicit homotopy  $H$  as follows  $H : (0,1] \longrightarrow C((\mathfrak{B}_m(K), \|\cdot\|_*); (\mathfrak{B}_m(K), \|\cdot\|_*)), t \mapsto H(t) = \Psi \circ \Phi_{t\varepsilon_0}.$ 

The map H is continuous in (0,1] with respect to the norm  $\|\cdot\|_{\infty,\mathfrak{B}_m(K)}$ . In order to conclude, we need to prove that there holds

$$\lim_{t \to 0} \|H(t) - \mathrm{Id}_{\mathfrak{B}_m(K)}\|_{\infty,\mathfrak{B}_m(K)} = \lim_{\epsilon \to 0} \sup_{\sigma \in \mathfrak{B}_m(K)} \|\Psi \circ \Phi_\epsilon(\sigma) - \sigma\|_* = 0,$$

where  $\epsilon = t\epsilon_0$ . Since  $\Pi_m(\sigma) = \sigma$  and  $\mathfrak{B}_m(K)$  is a compact set in  $(\mathcal{M}(\overline{\Omega}), \|\cdot\|_*)$ , by the continuity of  $\Pi_m$  in  $\|\cdot\|_*$  and Lemma 3.7-(1) we deduce that

$$\|\Psi \circ \Phi_{\epsilon}(\sigma) - \sigma\|_{*} = \|\Pi_{m}\left(\frac{Ve^{\varphi_{\epsilon,\sigma}}}{\int_{\Omega} Ve^{\varphi_{\epsilon,\sigma}}}\right) - \Pi_{m}(\sigma)\|_{*} \to 0$$

as  $\epsilon \to 0$ , uniformly in  $\sigma \in \mathfrak{B}_m(K)$ . Finally, we extend H(t) at t = 0 in a continuous way by setting  $H(0) = id_{\mathfrak{B}_m(K)}$ .

Let us now discuss the main assumption in Theorem 1.3. In [1] it is claimed that  $\mathfrak{B}_m(\Omega)$  is non contractible for all  $m \geq 1$  if  $\Omega$  is non contractible too, as it arises for closed manifolds [35]. However, by the techniques in [42] it is shown in [41] that  $\mathfrak{B}_m(X)$  is contractible for all  $m \ge 1$ , for a non contractible topological and acyclic (i.e. with trivial  $\mathbb{Z}$ -homology) space X. A concrete example is represented by the punctured Poincaré sphere, and it is enough to take a tubular neighborhood  $\Omega$  of it to find a counterexample to the claim in [1]. A sufficient condition for the main assumption in Theorem 1.3 is the following:

**Theorem 3.8.** [41] Assume that X is homotopically equivalent to a finite simplicial complex. Then  $\mathfrak{B}_m(X)$  is non contractible for all  $m \geq 2$  if and only if X is not acyclic (i.e. with non trivial Z-homology).

### Appendix

Let us collect here some useful regularity estimates which have been frequently used throughout the paper. Concerning  $L^{\infty}$ -estimates, the general interior estimates in [63] are used here to derive also boundary estimates for solutions  $u \in W^{1,N}_c(\Omega) = \{u \in W^{1,N}(\Omega) : u \mid_{\partial\Omega} = c\}, c \in \mathbb{R}, \text{ through the Schwarz reflection principle.} \}$ 

Given  $x_0 \in \partial \Omega$ , we can find a smooth diffeomorphism  $\psi$  from a small ball  $B \subset \mathbb{R}^N$ ,  $0 \in B$ , into a neighborhood V of  $x_0$  in  $\mathbb{R}^N$  so that  $\psi(B \cap \{y_N = 0\}) = V \cap \partial\Omega$  and  $\psi(B^+) = V \cap \Omega$ , where  $B^+ = B \cap \{y_N > 0\}$ . Letting  $u_0 \in W_c^{1,N}(\Omega)$ be a critical point of

$$\frac{1}{p}\int_{\Omega}\left|\nabla u\right|^{N}-\int_{\Omega}fu,\quad u\in W_{c}^{1,N}(\Omega),$$

then  $v_0 = u_0 \circ \psi$  is a critical point of

$$I(v) = \int_{B^+} \left[ \frac{1}{N} |A(y) \nabla v|^N - fv \right] |\det \nabla \psi|, \quad v \in \mathcal{V},$$

in view of  $|\nabla u|^N \circ \psi = |A\nabla v|^N$  in  $B^+$  for  $v = u \circ \psi$ , where  $A(y) = (D\psi^{-1})^t(\psi(y))$  is an invertible  $N \times N$  matrix for all  $y \in B^+$  and

$$\mathcal{V} = \{ v \in W^{1,N}(B^+) : v = c \text{ on } y_N = 0 \text{ and } v = u_0 \circ \psi \text{ on } \partial B \cap \{ y_N > 0 \} \}.$$

In the sequel,  $q_{\sharp}$  and  $q^{\sharp}$  denote the odd and even extension in B of a function g defined on  $B^+$ , respectively. Decomposing the matrix A as

$$A = \left(\begin{array}{c|c} A' & a_1 \\ \hline a_2 & a_{NN} \end{array}\right)$$

with  $a_1, a_2: B^+ \to \mathbb{R}^{N-1}$ , for  $y \in B$  let us introduce

$$A^{\sharp} = \left(\begin{array}{c|c} (A')^{\sharp} & (a_1)_{\sharp} \\ \hline (a_2)_{\sharp} & (a_{NN})^{\sharp} \end{array}\right).$$

The odd reflection  $(v_0 - c)_{\sharp} + c \in W^{1,N}(B)$  is a weak solution in B of

$$-\operatorname{div} \mathcal{A}(y, \nabla v) = (f |\det \nabla \psi|)_{\sharp},$$

where  $\mathcal{A}: (y,p) \in B \times \mathbb{R}^N \to |\det \nabla \psi|^{\sharp} |A^{\sharp}(y)p|^{N-2} [(A^{\sharp})^t A^{\sharp}](y)p \in \mathbb{R}^N$ . In view of the invertibility of A(y) for all  $y \in B^+$ , the map  $\mathcal{A}$  satisfies

$$|\mathcal{A}(y,p)| \le a|p|^{N-1}, \quad \langle p, \mathcal{A}(y,p) \rangle \ge a^{-1}|p|^N \tag{A.1}$$

for all  $y \in B$  and  $p \in \mathbb{R}^N$ , for some a > 0. Since  $2c - u \leq u$  when  $u \geq c$ , thanks to (A.1) we can now apply the general local interior estimates of J. Serrin in [63] to get:

**Theorem A.1.** Let  $u \in W^{1,N}_{loc}(\Omega)$  be a weak solution of

$$-\Delta_N u = f \quad in \ \Omega. \tag{A.2}$$

Assume that  $f \in L^{\frac{N}{N-\epsilon}}(\Omega \cap B_{2R}), 0 < \epsilon \leq 1$ , and  $u \in W^{1,N}(\Omega \cap B_{2R})$  satisfies u = c on  $\partial\Omega \cap \overline{B_{2R}}, u \geq c$  in  $\Omega \cap B_{2R}$  for some  $c \in \mathbb{R}$  if  $\partial\Omega \cap \overline{B_{2R}} \neq \emptyset$ . Then, the following estimates do hold:

$$\begin{aligned} \|u^{+}\|_{L^{\infty}(\Omega \cap B_{R})} &\leq C(\|u^{+}\|_{L^{N}(\Omega \cap B_{2R})} + 1) \\ \|u\|_{L^{\infty}(\Omega \cap B_{R})} &\leq C(\|u\|_{L^{N}(\Omega \cap B_{2R})} + 1) \quad (if \ c = 0) \end{aligned}$$

for some  $C = C\left(N, a, \epsilon, R, \|f\|_{L^{\frac{N}{N-\epsilon}}(\Omega \cap B_{2R})}\right).$ 

Since the Harnack inequality in [63] is very general, it can be applied in particular when  $\mathcal{A}$  satisfies (A.1), by allowing us to treat also boundary points through the *Schwarz reflection principle*. The following statement is borrowed from [59]:

**Theorem A.2.** Let  $u \in W_{loc}^{1,N}(\Omega)$  be a nonnegative weak solution of (A.2), where  $f \in L^{\frac{N}{N-\epsilon}}(\Omega)$ ,  $0 < \epsilon \leq 1$ . Let  $\Omega' \subset \Omega$  be a sub-domain of  $\Omega$ . Assume that  $u \in W^{1,N}(\Omega \cap \Omega')$  satisfies u = 0 on  $\partial\Omega \cap \overline{\Omega'}$ . Then, there exists  $C = C(N, \epsilon, \Omega')$  so that

$$\sup_{\Omega'} u \le C \left( \inf_{\Omega'} u + \|f\|_{L^{\frac{N}{N-\epsilon}}(\Omega)}^{\frac{1}{N-\epsilon}} \right)$$

By choosing  $\Omega' = \Omega$  we deduce that

**Corollary A.3.** Let  $u \in W_0^{1,N}(\Omega)$  be a weak solution of  $-\Delta_N u = f$  in  $\Omega$ , where  $f \in L^{\frac{N}{N-\epsilon}}(\Omega)$ ,  $0 < \epsilon \leq 1$ . Then, there exists a constant  $C = C(N, \epsilon, \Omega)$  such that

$$\|u\|_{L^{\infty}(\Omega)} \le C \|f\|_{L^{\frac{N}{N-\epsilon}}(\Omega)}^{\frac{1}{N-1}}$$

Thanks to Theorem A.1, by the estimates in [31, 49, 65] we now have that

**Theorem A.4.** Let  $u \in W_{loc}^{1,N}(\Omega)$  be a weak solution of (A.2). Assume that  $f \in L^{\infty}(\Omega \cap B_{2R})$ , and  $u \in W^{1,N}(\Omega \cap B_{2R})$  satisfies u = 0 on  $\partial\Omega \cap B_{2R}$ . Then, there holds  $||u||_{C^{1,\alpha}(\Omega \cap B_R)} \leq C = C = C(N, a, R, ||f||_{\infty,\Omega \cap B_{2R}}, ||u||_{L^N(\Omega \cap B_{2R})})$ , for some  $\alpha \in (0, 1)$ .

We will now consider (A.2) with a Dirac measure  $\delta_{p_0}$  as R.H.S. In our situation, the fundamental solution  $\Gamma$  takes the form

$$\Gamma(|x|) = (N\omega_N)^{-\frac{1}{N-1}} \log \frac{1}{|x|}.$$

In a very general framework, Serrin has described in [63] the behavior of solutions near a singularity. In particular, every N-harmonic and continuous function u in  $\Omega \setminus \{0\}$ , which is bounded from below in  $\Omega$ , has either a removable singularity at 0 or there holds

$$\frac{1}{C}\Gamma \le u \le C\Gamma \tag{A.3}$$

in a neighborhood of 0, for some  $C \ge 1$ . For the *p*-Laplace operator Kichenassamy and Veron [45] have later improved (A.3) by expressing *u* in terms of  $\Gamma$ . A combination of [45, 63] leads in our situation to:

**Theorem A.5.** Let u be a N-harmonic continuous function in  $\Omega - \{0\}$ , which is bounded from below in  $\Omega$ . Then there exists  $\gamma \in \mathbb{R}$  such that

$$u - \gamma \Gamma \in L^{\infty}_{loc}(\Omega)$$

and u is a distributional solution in  $\Omega$  of

$$-\Delta_N u = \gamma |\gamma|^{N-2} \delta_0$$

with  $|\nabla u|^{N-1} \in L^1_{loc}(\Omega)$ . Moreover, for  $\gamma \neq 0$  there holds

$$\lim_{x \to 0} |x|^{|\alpha|} D^{|\alpha|} (u - \gamma \Gamma)(x) = 0$$

for all multi-indices  $\alpha = (\alpha_1, ..., \alpha_N)$  with length  $|\alpha| = \alpha_1 + ... + \alpha_N \ge 1$ .

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