



Topological properties of semigroup primes of a commutative ring

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Abstract A semigroup prime of a commutative ring R is a prime ideal of the semigroup (R, \cdot) . One of the purposes of this paper is to study, from a topological point of view, the space $\mathcal{S}(R)$ of prime semigroups of R . We show that, under a natural topology introduced by B. Olberding in 2010, $\mathcal{S}(R)$ is a spectral space (after Hochster), spectral extension of $\text{Spec}(R)$, and that the assignment $R \mapsto \mathcal{S}(R)$ induces a contravariant functor. We then relate—in the case R is an integral domain—the topology on $\mathcal{S}(R)$ with the Zariski topology on the set of overrings of R . Furthermore, we investigate the relationship between $\mathcal{S}(R)$ and the space $\mathcal{X}(R)$ consisting of all nonempty inverse-closed subspaces of $\text{Spec}(R)$, which has been introduced and studied in Finocchiaro et al. (submitted). In this context, we show that $\mathcal{S}(R)$ is a spectral retract of $\mathcal{X}(R)$ and we characterize when $\mathcal{S}(R)$ is canonically homeomorphic to $\mathcal{X}(R)$, both in general and when $\text{Spec}(R)$ is a Noetherian space. In particular, we obtain that, when R is a Bézout domain, $\mathcal{S}(R)$ is canonically homeomorphic both to $\mathcal{X}(R)$ and to the

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space $\text{Overr}(R)$ of the overrings of R (endowed with the Zariski topology). Finally, we compare the space $\mathcal{X}(R)$ with the space $\mathcal{S}(R(T))$ of semigroup primes of the Nagata ring $R(T)$, providing a canonical spectral embedding $\mathcal{X}(R) \hookrightarrow \mathcal{S}(R(T))$ which makes $\mathcal{X}(R)$ a spectral retract of $\mathcal{S}(R(T))$.

Keywords Spectral space · Spectral map · Zariski topology · Constructible topology · Inverse topology · Semistar operation · Semigroup prime · Nagata ring

Mathematics Subject Classification 13A15 · 13G05 · 13B10 · 13C11 · 13F05 · 14A05 · 54A10

1 Introduction and preliminaries

The concept of prime ideal, and the closely related concept of localization, play a fundamental role in commutative ring theory. In the forties of the last century, the concept of prime ideal was introduced in the setting of semigroups, and some analogies and differences between the ring and semigroup theories were pointed out [cf., for instance, Rees (1940), Grimble (1950), and Kist (1963)]. Since a ring R can be also regarded as a semigroup (by considering only the multiplicative structure), it is reasonable to bring back the concept of semigroup prime from semigroups to rings: hence, we define a *semigroup prime* of a ring R to be a prime ideal of the semigroup (R, \cdot) .

Clearly, every prime ideal of R is also a semigroup prime, but not conversely: the set $\mathcal{S}(R)$ of all semigroup primes of R is in general much larger than the prime spectrum $\text{Spec}(R)$ of R . An additional link ties the two concepts: semigroup primes of R turn out to be the complement of saturated multiplicatively closed subsets of R and so they give rise to general ring of fractions, while prime ideals give rise to localizations.

Nevertheless, for a long time, semigroup primes of a commutative ring were left out from the mainstream of investigation, even in the natural context of multiplicative ideal theory of rings and integral domains.

Recently, Olberding (2010) has considered the space $\mathcal{S}(R)$, equipped with a Zariski-like topology, for obtaining new important properties of the spaces of overrings and valuation overrings of an integral domain R .

In this paper, we pursue the study of $\mathcal{S}(R)$, mainly from a topological point of view, considering the general case of a commutative ring R with applications to the special case of when R is an integral domain. The relevant topologies that turn out to be useful in our investigation are the hull-kernel topology [classically introduced by Stone (1937)] or Zariski topology, the constructible or patch topology (cf. Grothendieck and Dieudonné 1970; Hochster 1969), with an underlying ultrafilter theoretic approach (cf. Fontana and Loper 2008; Finocchiaro 2014; Loper et al. 2011) and the inverse topology introduced by Hochster on arbitrary spectral spaces (Hochster 1969) (definitions and properties used in the present paper will be recalled later in this section).

As a starting point, we prove that $\mathcal{S}(R)$, when endowed with the hull-kernel topology, is a new unconventional example of spectral space (after Hochster), that the inclusion map $\text{Spec}(R) \hookrightarrow \mathcal{S}(R)$ is a spectral map, and that the assignment

$R \mapsto \mathcal{S}(R)$ induces a contravariant functor. Next, we compare the spectral space $\mathcal{S}(R)$ with the space $\mathcal{X}(R)$ consisting of all nonempty inverse-closed subspaces of $\text{Spec}(R)$, which has been introduced and studied in Finocchiaro et al. (submitted) to classify, from a topological point of view, distinguished classes of Krull closure operations, namely the e.a.b. semistar operations and the stable semistar operations of finite type. In particular, we prove here that $\mathcal{S}(R)$ is a spectral retract of $\mathcal{X}(R)$ (Proposition 2.11) and we characterize when $\mathcal{S}(R)$ is canonically homeomorphic to $\mathcal{X}(R)$, both in general and when $\text{Spec}(R)$ is a Noetherian space. In the general case, this happens under the purely algebraic condition that the radical of every finitely generated ideal of R is the radical of a principal ideal (Theorem 2.13) and, in the Noetherian space case, when every prime ideal of R is the radical of a principal ideal (Corollary 2.14). When R is a Bézout domain, we prove that $\mathcal{S}(R)$ is canonically homeomorphic both to $\mathcal{X}(R)$ and to the space $\text{Overr}(R)$ of the overrings of R endowed with the Zariski topology (Corollary 3.3). When R is a Dedekind domain, $\mathcal{S}(R)$ is canonically homeomorphic to $\mathcal{X}(R)$ if and only if the ideal class group of R is torsion (Remark 3.5). Each of the previous homeomorphisms can be interpreted as a topological “dual” statement to Hilbert’s Nullstellensatz, providing a one-to-one correspondence, compatible with the natural orders, between inverse-closed subspaces of $\text{Spec}(R)$ and semigroup primes of R .

In the final section, we compare the space $\mathcal{X}(R)$ with the space $\mathcal{S}(R(T))$ of semi-group primes of the Nagata ring $R(T)$ (where T is an indeterminate over R). In particular, we provide a canonical spectral embedding $\mathcal{X}(R) \hookrightarrow \mathcal{S}(R(T))$ which makes $\mathcal{X}(R)$ a spectral retract of $\mathcal{S}(R(T))$ (Propositions 4.2 and 4.4).

In order to facilitate the reader, we recall next some preliminary notions and results that will be used in the present paper.

1.1 Spectral spaces

A topological space is *spectral* (after Hochster 1969) if it is homeomorphic to the prime spectrum of a (commutative) ring. While defined in algebraic terms, this concept admits a purely topological characterization: a topological space X is spectral if and only if it is T_0 , quasi-compact, it admits a basis of open and quasi-compact subspaces that is closed under finite intersections, and every irreducible closed subset of X has a (unique) generic point (i.e., it is the closure of a one-point set) (Hochster 1969). If X and Y are spectral spaces, a *spectral map* $f : X \rightarrow Y$ is a map such that $f^{-1}(U)$ is a quasi-compact open subspace of X , for each quasi-compact open subspace U of Y ; spectral maps are the morphisms in the category having the spectral spaces as objects.

It is well known that the prime spectrum of a commutative ring endowed with the Zariski topology is always T_0 , but almost never Hausdorff (it is Hausdorff if and only if the ring has Krull dimension zero). Thus, many authors have considered a finer topology on the prime spectrum of a ring, known as the *constructible topology* (Grothendieck and Dieudonné 1970, pages 337–339) or as the *patch topology* (Hochster 1969).

As in [Schwartz and Tressl \(2010\)](#), we introduce the *constructible topology* by a Kuratowski closure operator: if X is a spectral space, for each subset Y of X , we set:

$$\text{Cl}^{\text{cons}}(Y) := \bigcap \{U \cup (X \setminus V) \mid U \text{ and } V \text{ open and quasi-compact in } X, \\ U \cup (X \setminus V) \supseteq Y\}.$$

We denote by X^{cons} the set X , equipped with the constructible topology. For Noetherian topological spaces, the closed sets of this topology coincide with the “constructible sets” classically defined in [Chevalley and Cartan \(1955–1956\)](#). It is well known that X^{cons} is a spectral space and that the constructible topology is a refinement of the given topology which is always Hausdorff.

1.2 The inverse topology on a spectral space

Recall that the given topology on a spectral space X induces a canonical partial order \leq_X , denoted simply by \leq when no danger of confusion can arise, defined by $x \leq_X y$ if $y \in \text{Cl}(\{x\})$, for $x, y \in X$, where $\text{Cl}(Y)$ denotes the closure of a subset Y of X . The set $Y^{\text{gen}} := \{x \in X \mid y \in \text{Cl}(\{x\}), \text{ for some } y \in Y\}$ is called *closure under generizations of Y* . Similarly, using the opposite order, the set $Y^{\text{sp}} := \{x \in X \mid x \in \text{Cl}(\{y\}), \text{ for some } y \in Y\}$ is called *closure under specializations of Y* . We say that Y is *closed under generizations* (respectively, *closed under specializations*) if $Y = Y^{\text{gen}}$ (respectively, $Y = Y^{\text{sp}}$). It is straightforward that, for two elements x, y in a spectral space X , we have:

$$x \leq y \iff \{x\}^{\text{gen}} \subseteq \{y\}^{\text{gen}} \iff \{x\}^{\text{sp}} \supseteq \{y\}^{\text{sp}}.$$

Given a spectral space X , [Hochster \(1969, Proposition 8\)](#) introduced a new topology on X , that we call here the *inverse topology*, by defining a Kuratowski closure operator, for each subset Y of X , as follows:

$$\text{Cl}^{\text{inv}}(Y) := \bigcap \{U \mid U \text{ open and quasi-compact in } X, U \supseteq Y\}.$$

If we denote by X^{inv} the set X equipped with the inverse topology, Hochster proved that X^{inv} is still a spectral space and the partial order on X induced by the inverse topology is the opposite order of that induced by the given topology on X ([Hochster 1969, Proposition 8](#)). In particular, the closure under generizations $\{x\}^{\text{gen}}$ of a singleton is closed in the inverse topology of X , since $\{x\}^{\text{gen}} = \bigcap \{U \mid U \subseteq X \text{ quasi-compact and open, } x \in U\}$. On the other hand, it is trivial, by the definition, that the closure under specializations of a singleton $\{x\}^{\text{sp}}$ is closed in the given topology of X , since $\{x\}^{\text{sp}} = \text{Cl}(\{x\})$.

Finally, recall that, by [Finocchiaro et al. \(2013, Remark 2.2\)](#), we have $\text{Cl}^{\text{inv}}(Y) = (\text{Cl}^{\text{cons}}(Y))^{\text{gen}}$. It follows that each closed set in the inverse topology (called for short, *inverse-closed*) is closed under generizations and, from [Finocchiaro et al. \(2013, Proposition 2.6\)](#), that a quasi-compact subspace Y of X closed for generizations is inverse-closed. On the other hand, the closure of a subset Y in the given topology of X , $\text{Cl}(Y)$, coincides with $(\text{Cl}^{\text{cons}}(Y))^{\text{sp}}$, by [Finocchiaro et al. \(2013, Remark 2.2\)](#).

1.3 The spectral space of the inverse-closed subspaces

Given a spectral space X , let $\mathcal{X}(X) := \{Y \subseteq X \mid Y \neq \emptyset, Y = \text{cl}^{\text{inv}}(Y)\}$, that is, $\mathcal{X}(X)$ is the set of all nonempty subset of X that are closed in the inverse topology.

If $X = \text{Spec}(R)$ for some ring R , we write for short $\mathcal{X}(R)$ instead of $\mathcal{X}(\text{Spec}(R))$.

We define a Zariski topology on $\mathcal{X}(X)$ by taking, as subbasis (in fact, a basis) of open sets, the sets of the form

$$\mathcal{U}(\Omega) := \{Y \in \mathcal{X} \mid Y \subseteq \Omega\},$$

where Ω varies among the quasi-compact open subspaces of X . Note that $\emptyset \neq \Omega \in \mathcal{U}(\Omega)$, since a quasi-compact open subset Ω of X is a closed in the inverse topology of X . Note also that, when $X = \text{Spec}(R)$, for some ring R , a generic basic open set of the Zariski topology on $\mathcal{X}(R)$ is of the form

$$\mathcal{U}(\text{D}(J)) = \{Y \in \mathcal{X}(R) \mid Y \subseteq \text{D}(J)\},$$

where J is any finitely generated ideal of R , and, as usual,

$$\text{V}(J) := \{P \in \text{Spec}(R) \mid J \subseteq P\} \quad \text{and} \quad \text{D}(J) := \text{Spec}(R) \setminus \text{V}(J).$$

It was proved in (Finocchiaro et al. submitted, Theorem 3.4) that:

1. the space $\mathcal{X}(X)$, endowed with the Zariski topology, is a spectral space;
2. the canonical map $\varphi : X \hookrightarrow \mathcal{X}(X)$, defined by $\varphi(x) := \{x\}^{\text{gen}}$, for each $x \in X$, is a spectral embedding (and, in particular, an order-preserving embedding between ordered sets, with the ordering induced by the Zariski topologies).

1.4 Semistar operations

Let D be an integral domain with quotient field K . Let $\overline{F}(D)$ (respectively, $F(D)$; $f(D)$) be the set of all nonzero D -submodules of K (respectively, nonzero fractional ideals; nonzero finitely generated fractional ideals) of D (thus, $f(D) \subseteq F(D) \subseteq \overline{F}(D)$).

A mapping $\star : \overline{F}(D) \longrightarrow \overline{F}(D)$, $E \mapsto E^\star$, is called a *semistar operation* of D if, for all $z \in K$, $z \neq 0$ and for all $E, F \in \overline{F}(D)$, the following properties hold: (\star_1) $(zE)^\star = zE^\star$; (\star_2) $E \subseteq F \Rightarrow E^\star \subseteq F^\star$; (\star_3) $E \subseteq E^\star$; and (\star_4) $E^{\star\star} := (E^\star)^\star = E^\star$. We denote the set of all semistar operations on D by $\text{SStar}(D)$.

Given a semistar operation \star on D , a nonzero ideal I of D is called a *quasi- \star -ideal* if $I = I^\star \cap D$. A *quasi- \star -prime* is a quasi- \star -ideal which is also a prime ideal. The set of all quasi- \star -prime ideals of D is denoted by $\text{QSpec}^\star(D)$. The set of maximal elements in the set of proper quasi- \star -ideals of D (ordered by set-theoretic inclusion) is denoted by $\text{QMax}^\star(D)$ and it is a subset of $\text{QSpec}^\star(D)$.

A semistar operation \star is of finite type if, for every $E \in \overline{F}(D)$,

$$E^\star = \bigcup \{F^\star \mid F \subseteq E, F \in f(D)\}.$$

It is well known that if \star is a semistar operation of finite type then $\text{QMax}^\star(D)$ is nonempty (Fontana and Loper 2003, Lemma 2.3(1)).

For more details on semistar operations see, for instance, Epstein (2012, 2015), Halter-Koch (2001, 2011), Matsuda (2011) and Okabe and Matsuda (1994); for the case of star operations see, for instance, Anderson (1988), Anderson and Anderson (1990), Anderson and Clarke (2005), Elliott (2010) and Gilmer (1972).

The set of all semistar operations of finite type is denoted by $\text{SStar}_f(D)$.

In Finocchiaro and Spirito (2014), the set $\text{SStar}(D)$ of all semistar operation was endowed with a topology (called the Zariski topology) having, as a subbasis of open sets, the sets of the type

$$\forall_E := \{\star \in \text{SStar}(D) \mid 1 \in E^\star\}, \text{ where } E \text{ is a nonzero } D\text{-submodule of } K.$$

This topology makes $\text{SStar}(D)$ into a quasi-compact T_0 space, and $\text{SStar}_f(D)$ into a spectral space.

1.5 Spectral semistar operations

Let D be a domain and $Y \subseteq \text{Spec}(D)$ be nonempty. The semistar operation s_Y is defined as the map such that

$$E^{s_Y} = \bigcap \{ED_P \mid P \in Y\} \text{ for every } E \in \overline{F}(D).$$

The semistar operations on D that can be written as s_Y , for some Y , are called spectral; the set of all finite type spectral semistar operations, denoted by $\widetilde{\text{SStar}}(D)$, is a spectral space (Finocchiaro et al. 2016b, Theorem 4.6). By Finocchiaro and Spirito (2014, Corollary 4.4), s_Y is of finite type if and only if Y is quasi-compact, as a subspace of $\text{Spec}(D)$, endowed with the Zariski topology (see also Fontana and Huckaba 2000; Halter-Koch 2001).

There is a canonical map

$$\begin{aligned} \tilde{\Phi}: \text{SStar}(D) &\longrightarrow \widetilde{\text{SStar}}(D) \\ \star &\longmapsto \tilde{\star}, \end{aligned}$$

where $\tilde{\star}$ is defined as the map such that, for every $E \in \overline{F}(D)$,

$$E^{\tilde{\star}} := \bigcup \{(E : J) \mid J \text{ nonzero finitely generated ideal of } D \text{ such that } J^\star = D^\star\}.$$

The map $\tilde{\Phi}$ is a topological retraction (Finocchiaro et al. 2016b, Proposition 4.3(2)); in particular, $\star = \tilde{\star}$ if and only if \star is spectral and of finite type (Fontana and Huckaba 2000, Corollary 3.9(2)).

The space $\widetilde{\text{SStar}}(D)$ can also be seen as a natural “extension” of $\text{Spec}(D)$, since the canonical map $s : \text{Spec}(D) \hookrightarrow \widetilde{\text{SStar}}(D)$, defined by $P \mapsto s_{\{P\}}$, is a topological embedding.

An alternative way to see the space $\widetilde{\text{SStar}}(D)$ is through the space $\mathcal{X}(D)$ recalled in Sect. 1.3. By (Finocchiaro et al. submitted, Proposition 5.2), we have the following.

- The map $s^\sharp : \mathcal{X}(D) \rightarrow \widetilde{\text{SStar}}(D)$, defined by $Y \mapsto s_Y$, and the map $\Delta : \widetilde{\text{SStar}}(D) \rightarrow \mathcal{X}(D)$, defined by $\star \mapsto \text{QSpec}^\star(D)$, are homeomorphisms and are inverse of each other.
- If $\varphi : \text{Spec}(D) \hookrightarrow \mathcal{X}(D)$ is the canonical embedding defined in 1.3(2), then $s^\sharp \circ \varphi = s$.

Remark 1.1 Let \star be a semistar operation of finite type on the integral domain D . It is well known that $\text{QMax}^\star(D) = \text{QMax}^{\widetilde{\star}}(D)$ and $\widetilde{\star} = s_{\text{QSpec}^\star(D)} = s_{\text{QMax}^\star(D)} = s_{\text{QMax}^{\widetilde{\star}}(D)}$ (Fontana and Loper 2003, Lemma 2.4 and Corollaries 2.7 and 3.5). Moreover, since $\text{QSpec}^{\widetilde{\star}}(D)$ is closed in the inverse topology of $\text{Spec}(D)$ and the maps Δ, s^\sharp are homeomorphisms (see above), it follows that $\text{Cl}^{\text{inv}}(\text{QSpec}^\star(D)) = \text{QSpec}^{\widetilde{\star}}(D)$. Therefore, by Finocchiaro and Spirito (2014, Proposition 5.8), we also have

$$\widetilde{\star} = s_{\text{Cl}^{\text{inv}}(\text{QSpec}^\star(D))} = s_{\text{QSpec}^{\widetilde{\star}}(D)}.$$

1.6 The set of overrings of an integral domain

Let $\text{Overr}(D)$ be the set of all overrings of D , endowed with the topology whose basic open sets are of the form $B(x_1, x_2, \dots, x_r) := \text{Overr}(D[x_1, x_2, \dots, x_n])$, for x_1, x_2, \dots, x_n varying in K (Zariski and Samuel 1960, Ch. VI, §17). For recent investigations on topological spaces of overrings of an integral domain see, for instance, Finocchiaro et al. (2016a, b), Olberding (2010), Olberding (2011), Olberding (2015a), Olberding (2015b).

It is known that:

1. The topological space $\text{Overr}(D)$ is a spectral space (Finocchiaro 2014, Proposition 3.5) and the map $\iota : \text{Overr}(D) \hookrightarrow \text{SStar}_f(D)$, defined by $\iota(T) := \wedge_{\{T\}}$, for each $T \in \text{Overr}(D)$, is a topological embedding (Finocchiaro and Spirito 2014, Proposition 2.5).
2. The map $\pi : \text{SStar}_f(D) \rightarrow \text{Overr}(D)$, defined by $\pi(\star) := D^\star$, for any $\star \in \text{SStar}_f(D)$, is a topological retraction (Finocchiaro et al. 2016a, Proposition 3.2).

2 The space of semigroup primes

Let R be a ring. The purpose of the present section is to investigate a natural spectral extension of $\text{Spec}(R)$ which is intermediate between $\text{Spec}(R)$ and $\mathcal{X}(R)$, namely the embedding of the prime spectrum into the set of semigroup primes.

Using the terminology of Olberding (2010), we recall the following definition:

Definition 2.1 A *semigroup prime* is a nonempty proper subset \mathcal{Q} of a ring R such that:

- (a) for each $r \in R$ and for each $\pi \in \mathcal{Q}$, $r\pi \in \mathcal{Q}$;
- (b) for all $\sigma, \tau \in R \setminus \mathcal{Q}$, $\sigma\tau \in R \setminus \mathcal{Q}$.

Obviously, every prime ideal of R is also a semigroup prime of R . More generally, if Y is a nonempty collection of prime ideals of R , then $\mathcal{P}(Y) := \bigcup\{P \in \text{Spec}(R) \mid P \in Y\}$ is a semigroup prime of R . A more precise result is given next.

Lemma 2.2 *Let \mathcal{Q} be a proper subset of a ring R . Then, \mathcal{Q} is a semigroup prime of R if and only if there exists a nonempty collection of prime ideals Y of R such that $\mathcal{Q} = \mathcal{P}(Y)$.*

Proof We just need to prove the “only if” part. For each semigroup prime \mathcal{Q} of R , $R \setminus \mathcal{Q}$ is a multiplicatively closed subset of R and it is also saturated, since if $\alpha\beta \in R \setminus \mathcal{Q}$ then, from (a) of the previous definition, it follows immediately that both α and β belong to $R \setminus \mathcal{Q}$. Since a saturated multiplicatively closed set is the complement of the union of prime ideals (Kaplansky 1970, Theorem 2), if Y is a nonempty set of prime ideals of R such that $R \setminus \mathcal{P}(Y)$ coincides with the saturated multiplicatively closed set $R \setminus \mathcal{Q}$, then $\mathcal{Q} = \mathcal{P}(Y)$. □

Let $\mathcal{S}(R) := \{\mathcal{Q} \mid \mathcal{Q} \text{ is a semigroup prime of } R\}$. As in Olberding (2010, (2.3)), the set $\mathcal{S}(R)$ can be endowed with the *hull kernel topology*, defined by taking as a basis for the open sets the subsets

$$U(x_1, x_2, \dots, x_n) := \{\mathcal{Q} \mid x_i \notin \mathcal{Q} \text{ for some } i, 1 \leq i \leq n\},$$

where $x_1, x_2, \dots, x_n \in R$.

Proposition 2.3 *Let R be a ring.*

1. *The set $\mathcal{S}(R)$ of semigroup primes of R with the hull-kernel topology is a spectral space.*
2. *The collection of sets $\{U(x) \mid x \in R\}$ is a basis of open and quasi-compact subspaces of $\mathcal{S}(R)$.*
3. *The set theoretic inclusion $i : \text{Spec}(R) \hookrightarrow \mathcal{S}(R)$ is a spectral embedding.*

Proof 1. Since $R \setminus \mathcal{Q}$ is a saturated multiplicative set of R for each $\mathcal{Q} \in \mathcal{S}(R)$, then $U(xy) = U(x) \cap U(y)$ for each pair $x, y \in R$. By definition, it follows easily that a basis of open sets for $\mathcal{S}(R)$ is given by $\{U(x) \mid x \in R\}$.

By Finocchiaro (2014, Corollary 3.3), to show that $\mathcal{S}(R)$ is a spectral space it suffices to show that, for any ultrafilter \mathcal{U} on $\mathcal{S}(R)$, the set

$$\{\mathcal{Q} \in \mathcal{S}(R) \mid \forall x \in R, \mathcal{Q} \in U(x) \Leftrightarrow U(x) \in \mathcal{U}\}$$

is nonempty. Set $\mathcal{Q}_{\mathcal{U}} := \{r \in R \mid \mathcal{S}(R) \setminus U(r) \in \mathcal{U}\}$. An easy argument shows that $\mathcal{Q}_{\mathcal{U}}$ is a semigroup prime of R . Moreover, by definition, for each $x \in R$, $\mathcal{Q}_{\mathcal{U}} \in U(x)$ if and only if $U(x) \in \mathcal{U}$.

2. By [Finocchiaro \(2014, Propositions 2.11, 3.1\(3,b\) and 3.2\)](#), the sets $U(x)$ are clopen, with respect to the constructible topology of $\mathcal{S}(R)$ and, a fortiori, they are quasi-compact with respect to the hull-kernel topology.
3. The conclusion follows from the fact that the hull-kernel topology of $\mathcal{S}(R)$ induces the Zariski topology on $\text{Spec}(R)$, since $i^{-1}(U(x)) = U(x) \cap \text{Spec}(R) = D(x)$ and from the fact that $i(D(x)) = U(x) \cap i(\text{Spec}(R))$, for each $x \in R$. \square

Remark 2.4 Let S be a semigroup. A *prime ideal* of S is a nonempty proper subset $I \subseteq S$ such that $xs \in I$ for every $x \in I, s \in S$ and such that $st \in S \setminus I$ for every $s, t \in S \setminus I$ (see, for example, [Grimble 1950; Kist 1963](#)). Under this terminology, a prime semigroup of a ring R is just a prime ideal of the multiplicative semigroup (R, \cdot) .

The topology we introduced above in the case of prime semigroups of a ring can be extended naturally to the set $\mathcal{S}(S)$ of the prime ideals of the semigroup S ; likewise, the proof of [Proposition 2.3\(1\)](#) can be transferred verbatim to the case of semigroups, showing the slightly more general result that $\mathcal{S}(S)$ is a spectral space.

Remark 2.5 The subspace $\text{Spec}(R)$ of $\mathcal{S}(R)$ is dense in $\mathcal{S}(R)$. In fact, the closure of $\text{Spec}(R)$ is the set of all $\mathcal{Q} \in \mathcal{S}(R)$ containing the nilradical of R , which is $\mathcal{S}(R)$ (since each \mathcal{Q} contains at least one prime $P \in \text{Spec}(R)$).

Following [Grothendieck and Dieudonné \(1970, Définition \(2.6.3\)\)](#), recall that a subset X_0 of a topological space X is said to be *very dense in X* if, for any open sets $U, V \subseteq X$, the equality $U \cap X_0 = V \cap X_0$ implies $U = V$, that is, in our setting, if the map $U \mapsto U \cap \text{Spec}(R)$, from the open subsets of $\mathcal{S}(R)$ to the open subsets of $\text{Spec}(R)$, is injective. Under this terminology, $\text{Spec}(R)$ is not very dense in $\mathcal{S}(R)$. For instance, consider a 1-dimensional Bézout domain D with exactly two maximal ideals, say M and N . Then, $\mathcal{S}(D)$ has a maximal element (namely $M \cup N$) that is a closed point but does not belong to $\text{Spec}(D)$.

Given a ring homomorphism $f : R_1 \rightarrow R_2$, we can canonically associate to f a map

$$\begin{aligned} \mathcal{S}(f) : \mathcal{S}(R_2) &\longrightarrow \mathcal{S}(R_1) \\ \mathcal{Q} &\longmapsto f^{-1}(\mathcal{Q}). \end{aligned} \tag{1}$$

We investigate next the properties of this map.

Proposition 2.6 *Let $f : R_1 \rightarrow R_2$ be a ring homomorphism, let $\mathcal{S}(f)$ be the map defined above and let $f^a : \text{Spec}(R_2) \rightarrow \text{Spec}(R_1)$ be the continuous map canonically associated to f . Assume that $\mathcal{S}(R_1)$ and $\mathcal{S}(R_2)$ are endowed with the hull-kernel topology. Then:*

1. $\mathcal{S}(f)$ is well-defined, (continuous) and spectral;
2. if $i_k : \text{Spec}(R_k) \rightarrow \mathcal{S}(R_k)$ is the set-theoretic inclusion ($k = 1, 2$), then $\mathcal{S}(f) \circ i_2 = i_1 \circ f^a$;
3. the assignment $R \mapsto \mathcal{S}(R), f \mapsto \mathcal{S}(f)$, is a functor from the category of rings to the category of spectral spaces.

Proof 1. Let \mathcal{Q} be a semigroup prime of R_2 , let $r \in R_1$ and $\pi \in f^{-1}(\mathcal{Q})$. Then, $f(\pi r) = f(\pi)f(r) \in f(r)\mathcal{Q} \subseteq \mathcal{Q}$, so that $r\pi \in f^{-1}(\mathcal{Q})$; moreover, if $\sigma, \tau \notin$

$f^{-1}(\mathcal{Q})$, then $f(\sigma), f(\tau) \notin \mathcal{Q}$ and thus $f(\sigma)f(\tau) \notin \mathcal{Q}$, that is, $\sigma\tau \notin f^{-1}(\mathcal{Q})$. Hence, $\mathcal{S}(f)$ is well-defined. Moreover, $\mathcal{S}(f)^{-1}(U(x)) = U(f(x))$ for each $x \in R_1$, and thus $\mathcal{S}(f)$ is continuous. By the last part of Proposition 2.3(1), the collection $\{U(y) \mid y \in A\}$ is a basis of quasi-compact subsets of $\mathcal{S}(A)$, for any ring A . Thus, the previous reasoning implies that $\mathcal{S}(f)$ is a spectral map.

- 2. is straightforward.
- 3. follows from the previous points and the fact that, given two ring homomorphisms $f : R_1 \rightarrow R_2$ and $g : R_2 \rightarrow R_3$, $\mathcal{S}(g \circ f) = \mathcal{S}(f) \circ \mathcal{S}(g)$, which is a direct consequence of the definitions. □

We now start the study of the relationship between the spectral spaces $\mathcal{S}(R)$ and $\mathcal{X}(R)$.

Proposition 2.7 *Let R be a ring.*

- 1. For each $\mathcal{Q} \in \mathcal{S}(R)$, set $\Sigma_{\mathcal{Q}} := R \setminus \mathcal{Q}$ and $R_{\mathcal{Q}} := \Sigma_{\mathcal{Q}}^{-1}R$. The map

$$j : \mathcal{S}(R) \longrightarrow \mathcal{X}(R)$$

$$\mathcal{Q} \longmapsto \lambda^a(\text{Spec}(R_{\mathcal{Q}})),$$

where $\lambda^a : \text{Spec}(R_{\mathcal{Q}}) \rightarrow \text{Spec}(R)$ is the spectral map associated to the localization homomorphism $\lambda : R \rightarrow R_{\mathcal{Q}}$, is a topological embedding. Moreover, $j(\mathcal{Q}) = \{P \in \text{Spec}(R) \mid P \subseteq \mathcal{Q}\}$, for each $\mathcal{Q} \in \mathcal{S}(R)$.

- 2. The canonical spectral embedding $\varphi : \text{Spec}(R) \hookrightarrow \mathcal{X}(R)$ [Finocchiaro et al. submitted, Theorem 3.4(3)] coincides with $j \circ i$.

Proof 1. The map j is clearly injective. In order to prove that j is continuous we have to verify that, given a nonzero finitely generated ideal J of R , then

$$H := j^{-1}(\mathcal{U}(\mathbb{D}(J))) = \{\mathcal{Q} \in \mathcal{S}(R) \mid j(\mathcal{Q}) \subseteq \mathbb{D}(J)\}$$

is open in $\mathcal{S}(R)$. Take a point $\mathcal{Q} \in H$ and assume that $J \subseteq \mathcal{Q}$. Then J is disjoint from $\Sigma_{\mathcal{Q}}$, and thus there exists a prime ideal P of R disjoint from $\Sigma_{\mathcal{Q}}$ and such that $J \subseteq P$. On the other hand, keeping in mind that $\mathcal{Q} \in H$ and $P \cap \Sigma_{\mathcal{Q}} = \emptyset$, we have $P \in j(\mathcal{Q}) \subseteq \mathbb{D}(J)$, contradiction. This shows that $J \not\subseteq \mathcal{Q}$, and thus there exists an element $x \in J \setminus \mathcal{Q}$. It follows that $\mathcal{Q} \in U(x)$ and, moreover, $U(x) \subseteq H$. Since $\{U(x) \mid x \in R\}$ is a basis of open sets for $\mathcal{S}(R)$, it follows that H is open and j is continuous. Now, the fact that j is a topological embedding follows immediately from the equality $j(U(x)) = j(\mathcal{S}(R)) \cap \mathcal{U}(\mathbb{D}(x))$ that holds for each $x \in R$.

For the last statement, we have $P \in \lambda^a(\text{Spec}(R_{\mathcal{Q}}))$ if and only if $P \cap \Sigma_{\mathcal{Q}} = \emptyset$, i.e., if and only if $P \subseteq \mathcal{Q}$.

- 2. is a straightforward consequence of the definitions. □

Proposition 2.8 *Let $f : R_1 \rightarrow R_2$ be a ring homomorphism, $f^a : \text{Spec}(R_2) \rightarrow \text{Spec}(R_1)$ the associated map of spectra, $\mathcal{S}(f)$ the map defined in (1), $\mathcal{X}(f^a) : \mathcal{X}(R_2) \rightarrow \mathcal{X}(R_1)$ the spectral map defined in Finocchiaro et al. (submitted, Proposition 4.1) and let $i_k : \text{Spec}(R_k) \rightarrow \mathcal{S}(R_k)$ (respectively, $j_k : \mathcal{S}(R_k) \rightarrow \mathcal{X}(R_k)$)*

the spectral embedding defined in Proposition 2.3 (respectively, Proposition 2.7), for $k = 1, 2$. Then, the diagram:

$$\begin{array}{ccccc}
 \text{Spec}(R_2) & \xrightarrow{i_2} & \mathcal{S}(R_2) & \xrightarrow{j_2} & \mathcal{X}(R_2) \\
 f^a \downarrow & & \mathcal{S}(f) \downarrow & & \mathcal{X}(f^a) \downarrow \\
 \text{Spec}(R_1) & \xrightarrow{i_1} & \mathcal{S}(R_1) & \xrightarrow{j_1} & \mathcal{X}(R_1)
 \end{array} \tag{2}$$

commutes.

Proof The left square of (2) commutes by Proposition 2.6(2).

Let now $\mathcal{Q} \in \mathcal{S}(R_2)$. Then, using Proposition 2.7(1),

$$j_1 \circ \mathcal{S}(f)(\mathcal{Q}) = j_1(f^{-1}(\mathcal{Q})) = \{P \mid P \subseteq f^{-1}(\mathcal{Q})\},$$

while

$$\begin{aligned}
 \mathcal{X}(f^a) \circ j_2(\mathcal{Q}) &= \mathcal{X}(f^a) (\{P \mid P \subseteq \mathcal{Q}\}) \\
 &= (f^a (\{P \mid P \subseteq \mathcal{Q}\}))^{\text{gen}} \\
 &= (\{f^{-1}(P) \mid P \subseteq \mathcal{Q}\})^{\text{gen}}.
 \end{aligned}$$

Let $Q \in \text{Spec}(R_1)$. If $Q \in \mathcal{X}(f^a) \circ j_2(\mathcal{Q})$, then $Q \subseteq f^{-1}(P)$ for some $P \subseteq \mathcal{Q}$; hence, $Q \subseteq f^{-1}(\mathcal{Q})$ and $Q \in j_1 \circ \mathcal{S}(f)(\mathcal{Q})$.

Conversely, suppose $Q \in j_1 \circ \mathcal{S}(f)(\mathcal{Q})$, then $Q \subseteq f^{-1}(\mathcal{Q})$. Therefore, $f(Q) \subseteq \mathcal{Q}$ and so $f(Q)R_2 \cap \Sigma_{\mathcal{Q}} = \emptyset$, where $\Sigma_{\mathcal{Q}} := R_2 \setminus \mathcal{Q}$. It follows that $f(Q)R_2$ extends to a proper ideal of $\Sigma_{\mathcal{Q}}^{-1}R_2$, and in particular there is a prime ideal P of R_2 such that $f(Q) \subseteq P$ and $\Sigma_{\mathcal{Q}}^{-1}P \neq \Sigma_{\mathcal{Q}}^{-1}R_2$. Therefore, $P \subseteq \mathcal{Q}$. It follows that $Q \subseteq f^{-1}(f(Q)) \subseteq f^{-1}(P) \subseteq f^{-1}(\mathcal{Q})$, and so $Q \in \mathcal{X}(f^a) \circ j_2(\mathcal{Q})$. Therefore, also the right square of (2) commutes. \square

It is obvious that, if f is an isomorphism, $\mathcal{S}(f)$ is a homeomorphism. The converse does not hold; for example, if $R_1 \subset R_2$ is a proper integral extension of one-dimensional local domains, then $\mathcal{S}(f)$ (like f^a and $\mathcal{X}(f^a)$) is a homeomorphism, but f is not an isomorphism. More generally, we have:

Corollary 2.9 *Let $f : R_1 \rightarrow R_2$ be a ring homomorphism, and let $f^a : \text{Spec}(R_2) \rightarrow \text{Spec}(R_1)$ be the associated spectral map. If f^a is a topological embedding (respectively, a homeomorphism) then so is $\mathcal{S}(f)$.*

Proof If f^a is a topological embedding then, by Finocchiaro et al. (submitted, Proposition 4.5(1)), so is $\mathcal{X}(f^a)$, and thus also $\mathcal{X}(f^a) \circ j_2$ is a topological embedding. By Proposition 2.8, it follows that $j_1 \circ \mathcal{S}(f)$ is a topological embedding, and thus so is $\mathcal{S}(f)$.

If f^a is a homeomorphism, then by the previous paragraph $\mathcal{S}(f)$ is a topological embedding. Let $\mathcal{Q} \in \mathcal{S}(R_1)$, and let $\mathcal{L} := \bigcup \{\text{rad}(f(P)R_2) \mid P \subseteq \mathcal{Q}\}$. Since f^a is a homeomorphism, $\text{rad}(f(P)R_2)$ is a prime ideal of R_2 (since the irreducible closed $\mathbb{V}(P)$ subspace of $\text{Spec}(R_1)$ is homeomorphic to $\mathbb{V}(\text{rad}(f(P)R_2))$ in $\text{Spec}(R_2)$), and so \mathcal{L} is a prime semigroup. We claim that $\mathcal{S}(f)(\mathcal{L}) = \mathcal{Q}$. Clearly if $q \in \mathcal{Q}$

then $f(q) \in \mathcal{L}$, and $q \in f^{-1}(\mathcal{L}) = \mathcal{S}(f)(\mathcal{L})$. Conversely, if $q \in \mathcal{S}(f)(\mathcal{L})$, then $f(q)^n \in f(P)R_2$ for some $P \subseteq \mathcal{Q}$ and for some $n \geq 1$. Hence $q^n \in f^{-1}(f(P)R_2) = P$, the last equality coming from the bijectivity of f^a . Thus, $q \in P \subseteq \mathcal{Q}$. Therefore, $\mathcal{S}(f)$ is surjective, and thus a homeomorphism. \square

Remark 2.10 Despite the similarity between the properties enjoyed by $\mathcal{X}(R)$ and $\mathcal{S}(R)$, there is however a significant difference: while $\mathcal{X}(R)$ is a purely topological construction [depending only on the topology of $\text{Spec}(R)$, see (Finocchiaro et al. submitted, Theorem 3.4 and Proposition 4.10)], $\mathcal{S}(R)$ depends also on the algebraic properties of R . In particular, $\mathcal{S}(R)$, in contrast with $\mathcal{X}(R)$ (Finocchiaro et al. submitted, Theorem 4.5) cannot be obtained from $\text{Spec}(R)$ alone through a universal property. We provide now an example of this fact, and another example will be given later (Example 3.4).

Unlike in the case of $\mathcal{X}(R)$ (Finocchiaro et al. submitted, proof of Proposition 4.5), the image of $\text{Spec}(R)$ in $\mathcal{S}(R)$ cannot be determined uniquely by topological means. For example, let R be a unique factorization domain, and let $\mathcal{P}(R)$ be the set of equivalence classes of prime elements of R modulo multiplication by units. Any prime semigroup in $\mathcal{S}(R)$ is uniquely determined by the prime elements that it contains, and thus there is a bijective correspondence between $\mathcal{S}(R)$ and the power set $\mathcal{B} := \mathcal{B}(\mathcal{P}(R))$ of $\mathcal{P}(R)$, which becomes a homeomorphism if we take, as a subbasis for \mathcal{B} , the family of the subsets of \mathcal{B} of the form $V(p) := \{B \in \mathcal{B} \mid p \notin B\}$, as p runs in $\mathcal{P}(R)$. In particular, the topology of $\mathcal{S}(R)$ depends uniquely on the cardinality of $\mathcal{P}(R)$, and thus it does not depend on other properties of R or $\text{Spec}(R)$: for example, it does not depend on the dimension of R . Hence, by cardinality reasons, there exists a homeomorphism $\mathcal{S}(\mathbb{Z}) \simeq \mathcal{S}(\mathbb{Z}[X])$, but $j(\text{Spec}(\mathbb{Z}))$ and $j(\text{Spec}(\mathbb{Z}[X]))$ are not homeomorphic, and so they do not correspond under any homeomorphism between $\mathcal{S}(\mathbb{Z})$ and $\mathcal{S}(\mathbb{Z}[X])$.

We prove next that the spectral space $\mathcal{S}(R)$ is a retract of the spectral space $\mathcal{X}(R)$.

Proposition 2.11 *Let R be a ring, $j : \mathcal{S}(R) \rightarrow \mathcal{X}(R)$ the canonical embedding defined in Proposition 2.7(1) and let $\mathcal{P} : \mathcal{X}(R) \rightarrow \mathcal{S}(R)$ be the map defined by setting $\mathcal{P}(Y) := \bigcup\{P \mid P \in Y\}$ for each $Y \in \mathcal{X}(R)$. Then:*

1. \mathcal{P} is surjective and spectral;
2. $\mathcal{P} \circ j$ is the identity on $\mathcal{S}(R)$;
3. for every $Y \in \mathcal{X}(R)$, $(j \circ \mathcal{P})(Y) = \bigcap\{D(a) \mid Y \subseteq D(a)\}$.

Proof (1) and (2). Let $U(x)$ be a basic open set of $\mathcal{S}(R)$, with $x \in R$. Then,

$$\begin{aligned} \mathcal{P}^{-1}(U(x)) &= \{Y \in \mathcal{X}(R) \mid \mathcal{P}(Y) \in U(x)\} = \\ &= \{Y \in \mathcal{X}(R) \mid x \notin \mathcal{P}(Y)\} \\ &= \{Y \in \mathcal{X}(R) \mid x \notin \bigcup\{P \mid P \in Y\}\} \\ &= \{Y \in \mathcal{X}(R) \mid x \notin P \text{ for every } P \in Y\} = \\ &= \{Y \in \mathcal{X}(R) \mid Y \subseteq D(x)\} = \mathcal{U}(D(x)) \end{aligned}$$

which is a basic quasi-compact open set of $\mathcal{X}(R)$. Hence, \mathcal{P} is (continuous and) spectral.

The fact that $\mathcal{P} \circ j$ is the identity on $\mathcal{S}(R)$ follows directly from Lemma 2.2 and Proposition 2.7(1), and in particular it implies that \mathcal{P} is surjective.

(3) Let $Y \in \mathcal{X}(R)$. If $Y \subseteq D(a)$, then $a \notin P$ for every $P \in Y$, and thus $a \notin \bigcup\{P \mid P \in Y\} = \mathcal{P}(Y)$. Hence, if $Q \in (j \circ \mathcal{P})(Y)$ then $a \notin Q$ and so $Q \in D(a)$. Conversely, suppose Q belongs to the given intersection. If $Q \notin (j \circ \mathcal{P})(Y)$, then an element $q \in Q \setminus \mathcal{P}(Y)$ would exist. But this would imply $Y \subseteq D(q)$ while $Q \notin D(q)$, which is absurd. \square

Remark 2.12 As we observed at the beginning of the present section, we can define $\mathcal{P}(Y) := \{P \mid P \in Y\}$ for each nonempty subset Y of $\text{Spec}(R)$. In this case, we can show that if $Y_1, Y_2 \subseteq \text{Spec}(R)$ and if $C1^{inv}(Y_1) \subseteq C1^{inv}(Y_2)$ then $\mathcal{P}(Y_1) \subseteq \mathcal{P}(Y_2)$. In particular, if $C1^{inv}(Y_1) = C1^{inv}(Y_2)$, then $\mathcal{P}(Y_1) = \mathcal{P}(Y_2)$, hence $\mathcal{P}(Y) = \mathcal{P}(C1^{inv}(Y))$ for each nonempty subset Y of $\text{Spec}(R)$.

As a matter of fact, let $x \in R$ be such that $x \in \mathcal{P}(Y_1) \setminus \mathcal{P}(Y_2)$. Then $D(x)$ contains Y_2 , and it is a closed set, with respect to the inverse topology of $\text{Spec}(R)$. Thus, by assumption, $D(x) \supseteq C1^{inv}(Y_2) \supseteq C1^{inv}(Y_1) \supseteq Y_1$. On the other hand, since $x \in \mathcal{P}(Y_1)$, there exist a prime ideal $P \in Y_1$ such that $x \in P$, and hence $Y_1 \not\subseteq D(x)$, which is a contradiction.

In the next result, we characterize when the canonical embedding $\mathcal{S}(R) \hookrightarrow \mathcal{X}(R)$ is a homeomorphism and, as a consequence, we deduce that, in general, there are rings R and inverse-closed subspaces Y of $\text{Spec}(R)$ such that $Y \subsetneq (j \circ \mathcal{P})(Y)$.

Theorem 2.13 *Let R be a ring. The following statements are equivalent.*

- (i) *The canonical embedding $j : \mathcal{S}(R) \hookrightarrow \mathcal{X}(R)$ (defined in Proposition 2.7(1)) is a homeomorphism.*
- (ii) *The radical of every finitely generated ideal of R is the radical of a principal ideal.*
- (iii) *If I is a finitely generated ideal of R and $I \subseteq \mathcal{Q} := \bigcup\{Q_\lambda \mid \lambda \in \Lambda\} \in \mathcal{S}(R)$ (where $Q_\lambda \in \text{Spec}(R)$ for each λ), then $I \subseteq Q_\lambda$ for some $\lambda \in \Lambda$.*
- (iv) *A basis for the open sets for the Zariski topology of $\mathcal{X}(R)$ is given by the collection $\{\mathcal{U}(D(x)) \mid x \in R\}$.*

Proof (i) \Rightarrow (ii). By Proposition 2.7(1), j is a homeomorphism if and only if it is surjective. Suppose j is a homeomorphism, and suppose there is a nonzero finitely generated ideal I such that $\text{rad}(I) \neq \text{rad}(aR)$ for every $a \in R$. Consider $Y := D(I)$: then, Y is open and quasi-compact in the Zariski topology, and thus it is a closed set in the inverse topology. Since j is surjective, there is a prime semigroup \mathcal{Q} such that $Y = j(\mathcal{Q})$. Set $\Sigma_{\mathcal{Q}} := R \setminus \mathcal{Q}$ and $R_{\mathcal{Q}} := \Sigma_{\mathcal{Q}}^{-1}R$. Suppose $I \subseteq \mathcal{Q}$: then, $IR_{\mathcal{Q}} \neq R_{\mathcal{Q}}$, so that there is a prime ideal P such that $I \subseteq P$ and $PR_{\mathcal{Q}} \neq R_{\mathcal{Q}}$. Therefore, $P \notin D(I) = Y$. On the other hand, $P \subseteq \mathcal{Q}$, and thus $P \in j(\mathcal{Q}) = Y$: a contradiction. Henceforth, $I \not\subseteq \mathcal{Q}$, i.e., there exists an element $s \in I \cap \Sigma_{\mathcal{Q}}$. However, since the radical of the ideal sR cannot be equal to $\text{rad}(I)$, and $sR \subseteq I$, there is a prime ideal Q containing sR but not I . Hence, $Q \in Y$, while $QR_{\mathcal{Q}} = R_{\mathcal{Q}}$, and thus $Q \notin j(\mathcal{Q})$. Again, this conflicts with the assumptions, and so we conclude that Y is not in the image of j .

(ii) \Rightarrow (iii). Let I be a nonzero finitely generated ideal of R and assume that $I \subseteq \mathcal{Q}$. By hypothesis, $\text{rad}(I) = \text{rad}(sR)$ for some s , and we can suppose $s \in I$. Since $I \subseteq \bigcup\{Q_\lambda \mid \lambda \in \Lambda\}$, then $s \in Q_\lambda$ for some $\lambda \in \Lambda$ and, hence, $I \subseteq \text{rad}(I) = \text{rad}(sR) \subseteq Q_\lambda$.

(iii) \Rightarrow (i). Let $Y \in \mathcal{X}(R)$, and let $\mathcal{P}(Y) = \bigcup\{Q_\lambda \mid \lambda \in \Lambda\} \in \mathcal{S}(R)$. We claim that $j(\mathcal{P}(Y)) = Y$. Clearly, $Y \subseteq j(\mathcal{P}(Y))$ (Proposition 2.11(3)). On the other hand, suppose $P \in j(\mathcal{P}(Y)) \setminus Y$. Then, since $Y = \text{cl}^{\text{inv}}(Y)$, there is a basic closed set $\Omega = \text{D}(I)$ of the inverse topology on $\text{Spec}(R)$, such that $Y \subseteq \Omega$ but $P \notin \Omega$. Since Ω is quasi-compact in the Zariski topology, we can suppose I finitely generated. The fact that $P \notin \text{D}(I)$ implies $I \subseteq P$. On the other hand, $P \in j(\mathcal{P}(Y))$, hence $P \subseteq \bigcup\{Q \mid Q \in Y\}$. Therefore, by hypothesis, there is a $\overline{Q} \in Y (\subseteq \Omega)$ such that $I \subseteq \overline{Q}$; but this would imply $\overline{Q} \notin \text{D}(I)$, which is absurd. Hence, Y is in the image of j , and so j is surjective.

Clearly, (ii) \Rightarrow (iv) since a basis for the open sets of $\mathcal{X}(R)$ is given by $\mathcal{U}(\text{D}(J))$ for J varying among the finitely generated ideals of R . Conversely, let J be a nonzero finitely generated ideal of R . Since $\text{D}(J) \in \mathcal{U}(\text{D}(J))$, by assumption there is an element $x \in R$ such that $\text{D}(J) \in \mathcal{U}(\text{D}(x)) \subseteq \mathcal{U}(\text{D}(J))$, that is, $\text{D}(x) = \text{D}(J)$ and, in other words, $\text{rad}(xR) = \text{rad}(J)$. □

An example where the previous theorem can be applied is when R contains an uncountable field but its spectrum is only countable (Sharp and Vámos 1985, Proposition 2.5).

In case $\text{Spec}(R)$ is a Noetherian space, we have the following.

Corollary 2.14 *Let R be a ring. The following statements are equivalent.*

- (i) *The canonical embedding $j : \mathcal{S}(R) \rightarrow \mathcal{X}(R)$ is a homeomorphism and $\text{Spec}(R)$ is a Noetherian space.*
- (ii) *Every prime ideal of R is the radical of a principal ideal.*
- (iii) *If I is an ideal of R and $I \subseteq \mathcal{Q} := \bigcup\{Q_\lambda \mid \lambda \in \Lambda\} \in \mathcal{S}(R)$ (where $Q_\lambda \in \text{Spec}(R)$ for each λ), then $I \subseteq Q_\lambda$ for some $\lambda \in \Lambda$.*
- (iv) *If P is a prime ideal of R and $P \subseteq \mathcal{Q} := \bigcup\{Q_\lambda \mid \lambda \in \Lambda\} \in \mathcal{S}(R)$ (where $Q_\lambda \in \text{Spec}(R)$ for each λ), then $P \subseteq Q_\lambda$ for some $\lambda \in \Lambda$.*

Proof The equivalence of (i) and (ii) follows from the previous theorem, since $\text{Spec}(R)$ is Noetherian if and only if every radical ideal is the radical of a finitely generated ideal (see for instance Ohm and Pendleton (1968) or Fontana et al. (1997, Theorem 3.1.11)). The equivalences (ii) \Leftrightarrow (iii) \Leftrightarrow (iv) are due to Smith (1971). □

Remark 2.15 Rings verifying property (iii) of the previous corollary has been called *compactly packed* in Reis and Viswanathan (1970).

Remark 2.16 It is well known that the rings verifying the equivalent conditions (ii)–(iv) of the previous corollary have Noetherian spectrum. On the other hand, Theorem 2.13 implies that j is surjective for any Bézout domain and there are examples of Bézout domains (or, even, valuation domains) R such that $\text{Spec}(R)$ is not Noetherian. Therefore, for an arbitrary ring R , conditions (ii) \Leftrightarrow (iii) \Leftrightarrow (iv) of the previous corollary do not provide a characterization of when $j : \mathcal{S}(R) \rightarrow \mathcal{X}(R)$ is a homeomorphism. In other words, the property that $j : \mathcal{S}(R) \rightarrow \mathcal{X}(R)$ is a homeomorphism

does not depend only on the topology of the spectrum of R , but also on the algebraic structure of R .

Remark 2.17 (a) Let R be a ring. If $\mathcal{T} := \{\mathcal{Q}_\alpha \mid \alpha \in A\}$ is a nonempty subset of $\mathcal{S}(R)$, then $\bigcup\{\mathcal{Q}_\alpha \mid \alpha \in A\}$ is a prime semigroup of R , and it is easily seen that it is the supremum of \mathcal{T} in $\mathcal{S}(R)$, with the order induced by the hull-kernel topology, that is the set theoretic inclusion.

For investigating the existence of the infimum of \mathcal{T} , we cannot argue in a dual way, since the natural candidate $\bigcap\{\mathcal{Q}_\alpha \mid \alpha \in A\}$ is not, in general, a prime semigroup (for example, if P and Q are incomparable prime ideals of R , they are both prime semigroups, but $P \cap Q$ is not). However, we can show that an infimum can be determined in many cases. More precisely, let $j : \mathcal{S}(R) \hookrightarrow \mathcal{X}(R)$ be the topological embedding defined in Proposition 2.7(1). Then, the set $j(\mathcal{T}) := \{j(\mathcal{Q}_\alpha) \mid \alpha \in A\}$ is a family of closed subsets in the inverse topology of $\text{Spec}(R)$, and so if $C_{\mathcal{T}} := \bigcap\{j(\mathcal{Q}_\alpha) \mid \alpha \in A\}$ is nonempty (for instance, since X^{inv} is compact, for this assumption it suffices that the set of the $j(\mathcal{Q}_\alpha)$ satisfies the finite intersection property), then it still belongs to $\mathcal{X}(R)$, and it is the infimum of $j(\mathcal{T})$ in $\mathcal{X}(R)$. We claim that $C_{\mathcal{T}} = j(\mathcal{Q}_0)$ for some $\mathcal{Q}_0 \in \mathcal{S}(R)$. More precisely, we claim that $\mathcal{Q}_0 = \bigcup\{Q \mid Q \in C_{\mathcal{T}}\}$.

Indeed, if $Q \in C_{\mathcal{T}}$ then $Q \in j(\mathcal{Q}_0)$ by Proposition 2.7(1). Conversely, if $P \in j(\mathcal{Q}_0)$, then $P \subseteq \mathcal{Q}_0 \subseteq \mathcal{Q}_\alpha$ for every $\alpha \in A$, and thus (again, by Proposition 2.7(1)) $P \in j(\mathcal{Q}_\alpha)$ for every α , i.e., $P \in C_{\mathcal{T}}$. Therefore, $j(\mathcal{Q}_0)$ is the infimum of $j(\mathcal{T})$ in $j(\mathcal{S}(R))$, and since j is a homeomorphism between $\mathcal{S}(R)$ and its image in $\mathcal{X}(R)$, it follows that \mathcal{Q}_0 is the infimum of \mathcal{T} in $\mathcal{S}(R)$.

(b) From (a), it follows by construction that the topological embedding $j : \mathcal{S}(R) \hookrightarrow \mathcal{X}(R)$ preserves the infimum, in the cases where it exists. However, the embedding j in general does not preserve the supremum.

For example, let D be a local unique factorization domain of dimension 2, and let Y_1, Y_2 be two nonempty disjoint sets of prime ideals such that $Y_1 \cup Y_2$ is the set $\text{Spec}^1(D)$ of height-one prime ideals of D . If $\mathcal{Q}_i := \bigcup\{P \mid P \in Y_i\}$, then $j(\mathcal{Q}_i) = Y_i \cup \{(0)\}$, and thus $j(\mathcal{Q}_1) \cup j(\mathcal{Q}_2) = \text{Spec}^1(D) \cup \{(0)\} \subsetneq \text{Spec}(D)$. However, $\mathcal{Q}_1 \cup \mathcal{Q}_2$ is equal to the set of non-units of D , so that $j(\mathcal{Q}_1 \cup \mathcal{Q}_2) = \text{Spec}(D)$.

On the other hand, if $\{P_1, P_2, \dots, P_n\}$ is a finite set of prime ideals (and thus, in particular, of prime semigroups) of R , then $j(P_1 \cup P_2 \cup \dots \cup P_n) = j(P_1) \cup j(P_2) \cup \dots \cup j(P_n)$. Indeed, by Proposition 2.7(1), $Q \in j(P_1 \cup P_2 \cup \dots \cup P_n)$ if and only if $Q \subseteq P_1 \cup P_2 \cup \dots \cup P_n$ and, by prime avoidance, this is equivalent to $Q \subseteq P_i$ for some i , and thus to $Q \in j(P_i)$ for some i .

3 The integral domain case

Let D be an integral domain, and recall that the set $\text{Overr}(D)$ of the overrings of R has a natural topological structure (see Sect. 1.6). Then, there is a natural map

$$\begin{aligned} \ell_0 : \text{Spec}(D) &\longrightarrow \text{Overr}(D) \\ P &\longmapsto D_P, \end{aligned}$$

which is a topological embedding (Dobbs et al. 1987, Lemma 2.4). We show next that $\mathcal{S}(D)$ admits a similar interpretation with respect to $\text{Overr}(D)$.

Proposition 3.1 *Let D be an integral domain with quotient field K and let $\text{Overr}(D)$ be the set of the overrings of D , endowed with the Zariski topology.*

1. Let $\mathcal{Q} \in \mathcal{S}(D)$ and set as above $\Sigma_{\mathcal{Q}} := D \setminus \mathcal{Q}$ and $D_{\mathcal{Q}} := \Sigma_{\mathcal{Q}}^{-1}D$. The map

$$\begin{aligned} \ell: \mathcal{S}(D) &\longrightarrow \text{Overr}(D) \\ \mathcal{Q} &\longmapsto D_{\mathcal{Q}} \end{aligned}$$

is a topological embedding that extends the map ℓ_0 defined above.

2. The map

$$\begin{aligned} \omega: \text{Overr}(D) &\longrightarrow \mathcal{X}(D) \\ T &\longmapsto \text{QSpec}^{\wedge_{\{T\}}}(D) \end{aligned}$$

is a continuous map of spectral spaces. Moreover, if $T \in \text{Overr}(D)$ and the canonical embedding $\tau : D \rightarrow T$ is flat, then $\omega(T) = \tau^a(\text{Spec}(T))$.

3. The composition $\omega \circ \ell : \mathcal{S}(D) \hookrightarrow \mathcal{X}(D)$ coincides with the topological embedding j defined in Proposition 2.7(1).

Proof (1) Since $\{B(x) \mid x \in K\}$ is a subbasis of open sets for $\text{Overr}(D)$, to get continuity of ℓ it suffices to prove that, if $x \in K$, then $\ell^{-1}(B(x))$ is open in $\mathcal{S}(D)$. Take a semigroup prime $\mathcal{Q} \in \ell^{-1}(B(x))$, and let $d, s \in D$ with $s \notin \mathcal{Q}$ such that $x = \frac{d}{s} \in D_{\mathcal{Q}}$. Then, we have $\mathcal{Q} \in U(s) \subseteq \ell^{-1}(B(s^{-1})) \subseteq \ell^{-1}(B(x))$, that is, $\ell^{-1}(B(x))$ is open in $\mathcal{S}(D)$.

To prove that ℓ is a topological embedding it is now sufficient to note that, for any nonzero element $f \in D$, we have $\ell(U(f)) = \ell(\mathcal{S}(D)) \cap B(f^{-1})$. The inclusion \subseteq is trivial. Conversely, if $T \in \ell(\mathcal{S}(D)) \cap B(f^{-1})$, then there are a semigroup prime \mathcal{Q} and elements $d, s \in D$ such that $s \notin \mathcal{Q}$ and $\frac{1}{f} = \frac{d}{s} \in D_{\mathcal{Q}} = T$. It follows $s = df \notin \mathcal{Q}$ and, a fortiori, by definition of semigroup prime, $f \notin \mathcal{Q}$. Then $T \in \ell(U(f))$, and thus the proof is complete.

(2) It is sufficient to note that ω is the composition of three continuous maps, namely the topological embedding $\iota : \text{Overr}(D) \hookrightarrow \text{SStar}(D)$ [defined, for each overring T of D , by $\iota(T) := \wedge_{\{T\}}$ (Finocchiaro and Spirito 2014, Proposition 2.5)], the continuous surjection $\tilde{\Phi} : \text{SStar}(D) \rightarrow \widetilde{\text{SStar}}(D)$ [defined, for each $\star \in \text{SStar}(D)$, by $\tilde{\Phi}(\star) := \widetilde{\star}$ (Finocchiaro et al. 2016b, Proposition 4.3(2))], and the homeomorphism $\Delta : \widetilde{\text{SStar}}(D) \xrightarrow{\sim} \mathcal{X}(D)$ (defined, for each $\star \in \widetilde{\text{SStar}}(D)$, by $\Delta(\star) := \text{QSpec}^{\star}(D)$ (Finocchiaro et al. submitted, Proposition 5.2(1))).

Suppose T is flat over D . In order to show that $\text{QSpec}^{\wedge_{\{T\}}}(D) = \{Q \cap D \mid Q \in \text{Spec}(T)\}$ we observe that, even if T is not D -flat, the equality $\text{QSpec}^{\wedge_{\{T\}}}(D) = \text{Cl}^{\text{inv}}(\text{QSpec}^{\wedge_{\{T\}}}(D))$ holds, in view of Remark 1.1, since $\wedge_{\{T\}}$ is a semistar operation of finite type. Moreover, $P \in \text{QSpec}^{\wedge_{\{T\}}}(D)$ if and only if $P = PT \cap D$. Hence, $\tau^a(\text{Spec}(T)) = \{Q \cap D \mid Q \in \text{Spec}(T)\} \subseteq \text{QSpec}^{\wedge_{\{T\}}}(D)$. Conversely, assuming that T is D -flat, if $P \in \text{QSpec}^{\wedge_{\{T\}}}(D)$ and if $Q \in \text{Spec}(T)$ and it is minimal over

PT then, by flatness, $Q \cap D = P$ (Kaplansky 1970, Section 1–6, Exercise 37) and so $P \in \tau^a(\text{Spec}(T))$.

(3) is a straightforward consequence of the definitions. □

When we specialize our investigation to the class of Prüfer domains, we obtain more precise statements.

Proposition 3.2 *Let D be a Prüfer domain. Then, the chain of canonical maps*

$$\text{Overr}(D) \xrightarrow{\iota} \text{SStar}_f(D) \xrightarrow{\tilde{\Phi}} \widetilde{\text{SStar}}(D) \xrightarrow{\Delta} \mathcal{X}(D)$$

is a chain of homeomorphisms, and $\tilde{\Phi}$ is the identity. Moreover, the composition $\Delta \circ \tilde{\Phi} \circ \iota$ coincides with the map ω defined in Proposition 3.1(2), and $\omega(T) := \text{QSpec}^{\wedge\{T\}}(D)$ for all $T \in \text{Overr}(D)$.

Proof The map $\Delta : \widetilde{\text{SStar}}(D) \rightarrow \mathcal{X}(D)$ (defined by $\Delta(\star) := \text{QSpec}^\star(D)$ for each \star spectral semistar operation of finite type on D) is a homeomorphism by Finocchiaro et al. (submitted, Proposition 5.2(1)).

Since D is a Prüfer domain, each of its overrings is D -flat (Fontana et al. 1997, Theorem 1.1.1). Then, the canonical map $\tilde{\Phi} \circ \iota : \text{Overr}(D) \rightarrow \widetilde{\text{SStar}}(D)$, $T \mapsto \wedge_{\{T\}} = \widetilde{\wedge_{\{T\}}}$, is a topological embedding (proof of Proposition 3.1(2) or Finocchiaro and Spirito (2014, Proposition 2.5)).

We need to show that $\text{SStar}_f(D) = \widetilde{\text{SStar}}(D)$. Indeed, if $\star \in \text{SStar}_f(D)$, then the domain D^\star , as an overring of a Prüfer domain, is still a Prüfer domain. Hence, $\wedge_{\{D^\star\}} = \widetilde{\wedge_{\{D^\star\}}}$, since D^\star is D -flat, and D^\star admits a unique star operation of finite type. It follows that $\star|_{F(D^\star)} : F(D^\star) \rightarrow F(D^\star)$ is the identity star operation of D^\star . On the other hand note that, for each $F \in \mathcal{f}(D)$,

$$F^\star = (FD)^\star = (FD^\star)^\star = FD^\star.$$

Therefore, we have $\star = \wedge_{\{D^\star\}}$ and so ι is surjective.

The equality $\omega = \Delta \circ \tilde{\Phi} \circ \iota$ holds in general (see the proof of Proposition 3.1(2)) and the last claim follows from the fact that $\wedge_{\{T\}} = \widetilde{\wedge_{\{T\}}}$, since every overring T of the Prüfer domain D is D -flat. □

Recall that an integral domain D is a *QR-domain* if each overring of D is a ring of fractions of D (for more details see, for example, Gilmer and Ohm 1964; Heinzer 1970). For example, a Bézout domain is a QR-domain (Gilmer 1972, page 250, Exercise 10(b)).

Corollary 3.3 *Let D be a QR-domain. Then, the chain of maps*

$$\mathcal{S}(D) \xrightarrow{\ell} \text{Overr}(D) \xrightarrow{\omega} \mathcal{X}(D)$$

is a chain of homeomorphisms.

Proof By Proposition 2.7(3), ℓ is a topological embedding, and the hypothesis that D is a QR-domain guarantees that ℓ is also surjective. Therefore, ℓ is a homeomorphism. Since a QR-domain is—in particular—a Prüfer domain (Gilmer 1972, p. 334), then we know from Proposition 3.2 that ω is a homeomorphism. The claim follows. \square

Example 3.4 Consider a Dedekind domain D such that the class group $\text{Cl}(D)$ of D is not a torsion group (an explicit example is given by $D := K[X, Y]/(X^2 - Y^3 + Y + 1)$, where K is an algebraically closed field; see Gilmer and Ohm (1964, Sections 3 and 4) and Rees (1958, page 146), and for a general result Fossum (1973, Theorem 14.10)). Then, there is a maximal ideal P of D such that the class $[P]$ has infinite order in $\text{Cl}(D)$, i.e., P^n is never principal or, equivalently, no principal ideal is P -primary (Fossum 1973, Proposition 6.8). Let $Y := \text{Spec}(D) \setminus \{P\}$: then, Y is closed in the inverse topology, since it is a quasi-compact open subspace of $\text{Spec}(D)$, endowed with the Zariski topology. We claim that $Y \notin j(\mathcal{S}(D))$. If it was, say $Y = j(\mathcal{Q})$, then $\mathcal{Q} \in \mathcal{S}(D)$ must contain every element of Y , but there must be an $x \in P$ such that $x \notin \mathcal{Q}$. However, the ideal xD is not P -primary, and so there also exists a prime ideal Q of D , $Q \neq P$, such that $x \in Q$. This contradicts $Y = j(\mathcal{Q})$, and so j is not surjective.

On the other hand, if D' is a principal ideal domain, then $j' : \mathcal{S}(D') \rightarrow \mathcal{X}(D')$ is surjective (Corollary 3.3). Moreover, we can always find a principal ideal domain D' such that the cardinality of $\text{Max}(D')$ is equal to the cardinality of $\text{Max}(D)$ (it suffices to take $D' := F[T]$, where F is a field with the same cardinality of $\text{Max}(D)$ and T is an indeterminate over F). Then, $\text{Spec}(D')$ and $\text{Spec}(D)$ are homeomorphic (it is enough to take any bijection between $\text{Max}(D')$ and $\text{Max}(D)$ then extend it to a bijection $\rho : \text{Spec}(D') \rightarrow \text{Spec}(D)$ such that $\rho((0)) = (0)$), but j' is surjective while j is not.

Remark 3.5 Note that, by Reis and Viswanathan (1970, Theorem 2.2), when $R := D$ is a Dedekind domain, the condition that the canonical map $\mathcal{S}(D) \rightarrow \mathcal{X}(D)$ is a homeomorphism and, hence, the equivalent conditions of Corollary 2.14 are equivalent to the following:

- (iv) The ideal class group of D is torsion.
- (v) D is a QR-domain.

4 The space of semigroup primes of the Nagata ring

Our next goal is to show that, for each ring R , the spectral space $\mathcal{X}(R)$ can be embedded in a space of prime semigroups of a different ring A : more precisely, we will show that we can choose A to be the Nagata ring of R .

Recall that, given a ring R and an indeterminate T over R , the Nagata ring $R(T)$ of R is the localization $S^{-1}R[T]$, where S is the multiplicative set of all the primitive polynomials of $R[T]$. It is well known by Gilmer (1972, Proposition 33.1(1)) that $S = R[T] \setminus \bigcup \{M[T] \mid M \in \text{Max}(R)\}$. Let $g : R \hookrightarrow R(T)$ be the canonical embedding. For the sake of simplicity, we identify R with $g(R)$ inside $R(T)$. It is clear that the spectral map $g^a : \text{Spec}(R(T)) \rightarrow \text{Spec}(R)$ is surjective. For uses of Nagata rings and related rings of rational functions in the context of star and semistar operations, see

Gilmer (1972), Fontana and Loper (2003), Fontana and Loper (2006), Chang (2006), Chang (2008), Chang (2010), Chang and Kang (2011), Dobbs and Sahandi (2011), Halter-Koch (2003), Kang (1989), Jara (2015) and Okabe and Matsuda (1997).

Now, we consider another map $\gamma : \text{Spec}(R) \rightarrow \text{Spec}(R(T))$ by setting $\gamma(P) := PR(T)$ for each $P \in \text{Spec}(R)$: this map is well-defined and injective (since $IR(T) \cap R = I$, for all ideals I of R by Gilmer (1972, Proposition 33.1(4))). Clearly, $\gamma \circ g^a$ is the identity map of $\text{Spec}(R)$. Further properties are given next.

Lemma 4.1 *Let $\gamma : \text{Spec}(R) \rightarrow \text{Spec}(R(T))$ and $g^a : \text{Spec}(R(T)) \rightarrow \text{Spec}(R)$ be as above.*

1. *The map γ is a spectral embedding and g^a is a spectral retraction.*

Let Y and Z two nonempty subsets of $\text{Spec}(R)$, and, for any $X \subseteq \text{Spec}(R)$, let $\mathcal{Q}(X) := \bigcup\{PR(T) \mid P \in X\} \subseteq R(T)$.

- 2. *If $C1^{inv}(Y) = C1^{inv}(Z)$, then also $C1^{inv}(\gamma(Y)) = C1^{inv}(\gamma(Z))$.*
- 3. *The equality $\mathcal{Q}(Y) = \mathcal{Q}(Z)$ holds if and only if $C1^{inv}(Y) = C1^{inv}(Z)$.*

Proof 1. Take a nonzero element $f/p \in R(T)$, where $f, p \in R[T]$ and p is primitive, and write $f := a_0 + a_1T + \dots + a_nT^n$. For any prime ideal P of R , we have:

$$\frac{f}{p} \notin PR(T) \iff f \notin PR[T] \iff P \not\supseteq (a_0, a_1, \dots, a_n)R,$$

that is, $\gamma^{-1}\left(\mathbb{D}\left(\frac{f}{p}R(T)\right)\right) = \mathbb{D}((a_0, a_1, \dots, a_n)R)$. This proves that γ is (continuous and) spectral. Moreover, for each $x \in R$ we have $\gamma(\mathbb{D}(xR)) = \mathbb{D}(xR(T)) \cap \text{Im}(\gamma)$, and thus γ is a topological embedding. The conclusion follows from the fact that $g^a \circ \gamma$ is the identity of $\text{Spec}(R)$.

- 2. Assume that $C1^{inv}(Y) = C1^{inv}(Z)$. By definition, a basis for closed sets for the inverse topology of $\text{Spec}(R(T))$ is given by the quasi-compact open subspaces of $\text{Spec}(R(T))$ (when endowed with the Zariski topology). Thus, we have to prove that, for any nonzero finitely generated ideal J of $R(T)$, we have $\gamma(Y) \subseteq \mathbb{D}(J)$ if and only if $\gamma(Z) \subseteq \mathbb{D}(J)$. Let $\frac{f_1}{p_1}, \frac{f_2}{p_2}, \dots, \frac{f_r}{p_r} \in R(T)$ be generators of the ideal J , where $f_i, p_i \in R[T]$ and p_i is primitive, for $i = 1, 2, \dots, r$, and let C_i be the content of f_i . Then $\gamma(Y) \subseteq \mathbb{D}(J)$ if and only if for any $P \in Y$ there is some index i such that $\frac{f_i}{p_i} \notin PR(T)$, that is $f_i \notin PR[T] = PR(T) \cap R[T]$. In other words, $P \not\supseteq C_i$, i.e., $P \in \mathbb{D}(C_i)$. If we set $C := C_1 + C_2 + \dots + C_r$, the previous argument shows that $\gamma(Y) \subseteq \mathbb{D}(J)$ if and only if $Y \subseteq \mathbb{D}(C)$. Since C is a finitely generated ideal of R , the set $\mathbb{D}(C)$ is a quasi-compact open subspace of $\text{Spec}(R)$, and thus also $Z \subseteq \mathbb{D}(C)$, because Y and Z have the same closure, with respect to the inverse topology. Thus, any prime ideal Q of Z does not contain some coefficient of some polynomial f_i , and then $\frac{f_i}{p_i} \notin QR(T)$, that is $\gamma(Z) \subseteq \mathbb{D}(J)$.
- 3. If $C1^{inv}(Y) = C1^{inv}(Z)$ then $C1^{inv}(\gamma(Y)) = C1^{inv}(\gamma(Z))$, by part (2). Thus, the equality $\mathcal{Q}(Y) = \mathcal{Q}(Z)$ holds by Remark 2.12. Conversely, assume that $\mathcal{Q}(Y) = \mathcal{Q}(Z)$, and let $J := (a_0, a_1, \dots, a_n)R$ be a nonzero finitely generated ideal of R . We have to prove that $Y \subseteq \mathbb{D}(J)$ if and only if $Z \subseteq \mathbb{D}(J)$.

Suppose that $Y \subseteq \mathcal{D}(J)$. Then, if $f := a_0 + a_1T + \dots + a_nT^n \in R[T]$, we have $f \notin PR[T] = PR(T) \cap R[T]$, for each $P \in Y$, that is $\frac{f}{1} \notin \mathcal{Q}(Y) = \mathcal{Q}(Z)$. In other words, $f \notin QR[T]$, for each $Q \in Z$, i.e., $Z \subseteq \mathcal{D}(J)$. \square

Now, we are in condition to prove that the spectral space $\mathcal{X}(R)$ can be embedded in the spectral space of prime semigroups of the Nagata ring $R(T)$.

Proposition 4.2 *Let R be a ring, $j : \mathcal{S}(R) \hookrightarrow \mathcal{X}(R)$ the spectral embedding defined in Proposition 2.7(1), $g : R \hookrightarrow R(T)$ the canonical ring embedding and let $\mathcal{S}(g) : \mathcal{S}(R(T)) \rightarrow \mathcal{S}(R)$ be the spectral map associated to g defined in (1). Define \mathbf{v} as the map*

$$\begin{aligned} \mathbf{v} : \mathcal{X}(R) &\longrightarrow \mathcal{S}(R(T)) \\ Y &\longmapsto \bigcup \{PR(T) \mid P \in Y\}. \end{aligned}$$

The following properties hold.

1. \mathbf{v} is a spectral embedding.
2. $\mathcal{S}(g) \circ \mathbf{v} \circ j$ is the identity of $\mathcal{S}(R)$. In particular, $\mathcal{S}(g) : \mathcal{S}(R(T)) \rightarrow \mathcal{S}(R)$ is a topological retraction.
3. If $\mathcal{P} : \mathcal{X}(R) \rightarrow \mathcal{S}(R)$ is the map defined in Proposition 2.11, then $\mathcal{P} = \mathcal{S}(g) \circ \mathbf{v}$.

Proof 1. By Lemma 4.1(3), the map \mathbf{v} is injective. Now, let $0 \neq \frac{f}{p} \in R(T)$, where $f, p \in R[T]$ and p is primitive and let C be the content of the polynomial f . Then, using the notation of Lemma 4.1(3),

$$\begin{aligned} \mathbf{v}^{-1} \left(U \left(\frac{f}{p} \right) \right) &= \{Y \in \mathcal{X}(R) \mid \frac{f}{p} \notin \mathcal{Q}(Y)\} \\ &= \{Y \in \mathcal{X}(R) \mid f \notin PR[T] \text{ for all } P \in Y\} = \mathbf{U}(\mathcal{D}(C)). \end{aligned}$$

This proves that \mathbf{v} is continuous and spectral. On the other hand, with similar arguments, it can be shown that, given $a_0, a_1, \dots, a_n \in R$, if $f := a_0 + a_1T + \dots + a_nT^n \in R[T]$ we have

$$\mathbf{v}(\mathcal{D}(a_0, a_1, \dots, a_n)) = \text{Im}(\mathbf{v}) \cap U \left(\frac{f}{1} \right),$$

that is, \mathbf{v} is a topological embedding.

2. Let $\mathcal{P} \in \mathcal{S}(R)$. Let Y be a nonempty set of prime ideals of R such that $\mathcal{P} = \mathcal{P}(Y) = \bigcup \{P \in \text{Spec}(R) \mid P \in Y\}$ (Lemma 2.2). Set $\mathcal{Q}(Y) := \bigcup \{PR(T) \mid P \in Y\} \in \mathcal{S}(R(T))$. Recall that, for each prime ideal $P \in \text{Spec}(R)$, $PR(T) \cap R = R = g^{-1}(PR(T)) = P$ (Gilmer 1972, Proposition 33.1(4)). Then,

$$\begin{aligned} \mathcal{S}(g) \circ \mathbf{v} \circ j(\mathcal{P}) &= \mathcal{S}(g)(\mathcal{Q}(Y)) = g^{-1}(\mathcal{Q}(Y)) \\ &= \left(\bigcup \{PR(T) \mid P \in Y\} \right) \cap R \\ &= \bigcup (\{PR(T) \mid P \in Y\} \cap R) \\ &= \bigcup \{P \in \text{Spec}(R) \mid P \in Y\} = \mathcal{P}. \end{aligned}$$

3. Let $Y \in \mathcal{X}(R)$. Then, we have

$$(\mathcal{S}(g) \circ \mathfrak{v})(Y) = g^{-1}(\mathfrak{v}(Y)) = g^{-1} \left(\bigcup_{P \in Y} PR(T) \right) = \bigcup_{P \in Y} g^{-1}(PR(T)).$$

However, as noted above, $g^{-1}(PR(T)) = P$ for every $P \in \text{Spec}(R)$, and thus $(\mathcal{S}(g) \circ \mathfrak{v})(Y) = \bigcup \{P \mid P \in Y\}$, which is exactly the definition of $\mathcal{P}(Y)$. \square

We now introduce some notation that will be used in the following Remark 4.3 and Proposition 4.4, where we will show that, given a ring R , $\mathcal{X}(R)$ is a topological retract of the spectral space $\mathcal{S}(R(T))$.

If $\mathcal{Q} \in \mathcal{S}(R(T))$, then we set $\Sigma_{\mathcal{Q}} := R(T) \setminus \mathcal{Q}$, $R(T)_{\mathcal{Q}} := \Sigma_{\mathcal{Q}}^{-1}R(T)$. We denote by $g : R \rightarrow R(T)$ and $\lambda_1 : R(T) \rightarrow R(T)_{\mathcal{Q}}$ the canonical flat homomorphisms and we set $\lambda := \lambda_1 \circ g : R \rightarrow R(T)_{\mathcal{Q}}$.

Remark 4.3 In Dobbs et al. (1981) the authors introduced and studied what they called the *flat topology* on $\text{Spec}(R)$, where R is any ring, by taking as closed subspaces the subset $\rho^a(\text{Spec}(R'))$ for $\rho : R \rightarrow R'$ varying among the flat ring homomorphisms. By Dobbs et al. (1981, Theorem 2.2) the flat topology on $\text{Spec}(R)$ coincides with the inverse topology.

We are in condition to give an explicit description of the inverse-closed subspaces of $\text{Spec}(R)$. Let $Y \subseteq \text{Spec}(R)$, set as above $\mathcal{Q}(Y) := \bigcup \{PR(T) \mid P \in Y\} \in \mathcal{S}(R(T))$. Then, it is straightforward to see that $\mathcal{Q}(Y) = \mathcal{Q}(\lambda^a(\text{Spec}(R(T)_{\mathcal{Q}(Y)})))$, where $\lambda : R \rightarrow R(T)_{\mathcal{Q}(Y)}$ is the canonical flat embedding. Thus, in view of Lemma 4.1(3) and of the fact that the image of λ^a is closed in the inverse topology, being λ flat, we have $\text{Cl}^{\text{inv}}(Y) = \lambda^a(\text{Spec}(R(T)_{\mathcal{Q}(Y)}))$. In particular, $Y = \text{Cl}^{\text{inv}}(Y)$ if and only if $Y = \lambda^a(\text{Spec}(R(T)_{\mathcal{Q}(Y)}))$.

Proposition 4.4 *Let R be a ring. With the notation introduced above, the map*

$$\begin{aligned} \chi : \mathcal{S}(R(T)) &\longrightarrow \mathcal{X}(R) \\ \mathcal{Q} &\longmapsto \lambda^a(\text{Spec}(R(T)_{\mathcal{Q}})) \end{aligned}$$

is continuous and surjective. Moreover, if $\mathfrak{v} : \mathcal{X}(R) \hookrightarrow \mathcal{S}(R(T))$ is the spectral embedding defined in Proposition 4.2(1), then $\chi \circ \mathfrak{v}$ is the identity on $\mathcal{X}(R)$.

Proof Note that χ is well-defined by Remark 4.3, since, for any $\mathcal{Q} \in \mathcal{S}(R(T))$, the canonical homomorphism $\lambda : R \rightarrow R(T)_{\mathcal{Q}}$ is flat. Let $\mathcal{X}(g^a) : \mathcal{X}(R(T)) \rightarrow \mathcal{X}(R)$ be the spectral map associated to the canonical flat ring embedding $g : R \rightarrow R(T)$ and defined by $\mathcal{X}(g^a)(Y) := g^a(Y)^{\text{gen}} = g^a(Y) = \{g^{-1}(Q) \mid Q \in Y\} = \{Q \cap R \mid Q \in Y\}$, for each $Y \in \mathcal{X}(R(T))$ (see Finocchiaro et al. (submitted, Proposition 4.1) and Dobbs et al. (1981, Proposition 2.7)). Then, the map χ coincides with the composition of the topological embedding $j : \mathcal{S}(R(T)) \hookrightarrow \mathcal{X}(R(T))$ (Proposition 2.7(1)) with $\mathcal{X}(g^a)$. In fact,

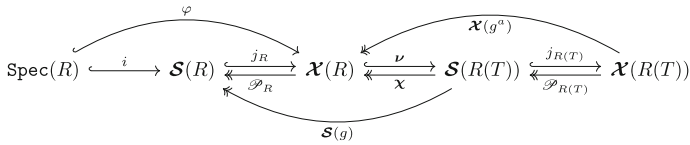


Fig. 1 Maps between \mathcal{S} - and \mathcal{X} -type spaces

$$\begin{aligned}
 (\mathcal{X}(g^a) \circ j)(\mathcal{Q}) &= \mathcal{X}(g^a)(\{Q \in \text{Spec}(R(T)) \mid Q \subseteq \mathcal{Q}\}) \\
 &= \mathcal{X}(g^a)(\lambda^a(\text{Spec}(R(T))_{\mathcal{Q}})) = g^a(\lambda^a(\text{Spec}(R(T))_{\mathcal{Q}})) \\
 &= \mathcal{X}(\lambda^a)(\text{Spec}(R(T))_{\mathcal{Q}}) = \lambda^a(\text{Spec}(R(T))_{\mathcal{Q}}) = \chi(\mathcal{Q}).
 \end{aligned}$$

Hence χ is continuous as a composition of continuous maps [Proposition 2.7(1) and (Finocchiaro et al. submitted, Proposition 4.1)].

Let now $Y \in \mathcal{X}(R)$. Set, as usual, $\mathcal{Q}(Y) := \bigcup\{PR(T) \mid P \in Y\}$. Then, a direct calculation shows that $(\chi \circ \nu)(Y)$ is the canonical image of $\text{Spec}(R(T))_{\mathcal{Q}(Y)}$ into $\text{Spec}(R)$, which is clearly equal to Y (Remark 4.3). Therefore $\chi \circ \nu$ is the identity. This implies that χ is surjective.

The various maps between \mathcal{S} - and \mathcal{X} -type spaces are summarized in Fig. 1 □

Remark 4.5 Given a ring R , there is another possible natural way to define a continuous map $\mathcal{S}(R(T)) \rightarrow \mathcal{X}(R)$. Indeed, define χ' as the map (see Fig. 1)

$$\begin{aligned}
 \chi' : \mathcal{S}(R(T)) &\longrightarrow \mathcal{X}(R) \\
 \mathcal{Q} &\longmapsto \{P \in \text{Spec}(R) \mid g(P) \subseteq \mathcal{Q}\}.
 \end{aligned}$$

Clearly, $\chi(\mathcal{Q}) \subseteq \chi'(\mathcal{Q})$, for each $\mathcal{Q} \in \mathcal{S}(R(T))$. Moreover, a direct calculation shows that $\chi' = j \circ \mathcal{S}(g)$, so that χ' is continuous. Furthermore, by Proposition 4.2(3), we have

$$\chi' \circ \nu = j \circ \mathcal{S}(g) \circ \nu = j \circ \mathcal{P}.$$

Recall that $\chi \circ \nu$ is the identity on $\mathcal{X}(R)$ (Proposition 4.4) and, in general, $\chi' \circ \nu (= j \circ \mathcal{P})$ is not (Proposition 2.11(3)). We note that χ' , unlike χ , is not surjective: for example, let D be a 2-dimensional Noetherian local ring and let $\text{Spec}^1(D)$ be the set of the height-1 primes of D . Then, $Z := \text{Spec}^1(D) \cup \{(0)\}$ is inverse-closed in $\text{Spec}(D)$, and the maximal ideal M of D is contained in the union of the elements of Z . Hence, $MD(T) \subseteq \mathcal{Q}(Z)$, and thus $M \in \{P \in \text{Spec}(D) \mid g(P) \subseteq \mathcal{Q}(Z)\} = \chi'(\mathcal{Q}(Z))$. Therefore, $\chi'(\mathcal{Q}(Z)) = \text{Spec}(D)$. On the other hand, $M \notin \chi(\mathcal{Q}(Z))$, since $Z = \chi(\mathcal{Q}(Z))$, because Z is inverse-closed (Remark 4.3). We easily conclude that Z is not in the range of χ' . As a matter of fact, suppose there exists a semigroup prime \mathcal{Q}^* of $D(T)$ such that $Z = \chi'(\mathcal{Q}^*) = \{P \in \text{Spec}(D) \mid P \subseteq g^{-1}(\mathcal{Q}^*)\}$. Thus, the union of all the prime ideals belonging to Z is contained in $g^{-1}(\mathcal{Q}^*)$ and, a fortiori, $M \subseteq g^{-1}(\mathcal{Q}^*)$. It follows that $M \in \chi'(\mathcal{Q}^*) = Z$, a contradiction.

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Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

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