

CANCELLATION PROPERTIES IN IDEAL SYSTEMS: AN *e.a.b.* NOT *a.b.* STAR OPERATION

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ABSTRACT. We show that Krull's *a.b.* cancellation condition is a properly stronger condition than Gilmer's *e.a.b.* cancellation condition for star operations.

1. INTRODUCTION

Let D be an integral domain with quotient field K . Let $\mathbf{F}(D)$ [respectively, $\mathbf{f}(D)$] be the set of all non-zero fractional ideals [respectively, nonzero finitely generated fractional ideals] of D .

A *star operation* $*$ on D is a mapping $*$: $\mathbf{F}(D) \rightarrow \mathbf{F}(D)$, $E \mapsto E^*$ such that the following properties hold: $(*_1)$ $(zD)^* = zD$ and $(zE)^* = zE^*$, $(*_2)$ $E \subseteq F \Rightarrow E^* \subseteq F^*$, $(*_3)$ $E \subseteq E^*$ and $E^{**} := (E^*)^* = E^*$, for all nonzero $z \in K$, and for all $E, F \in \mathbf{F}(D)$.

Examples of star operations include the *v-operation*, defined by $E^v := (D : (D : E))$, for each $E \in \mathbf{F}(D)$ [2, page 396]; the *t-operation*, defined by $E^t := \bigcup \{F^v \mid F \in \mathbf{f}(D), F \subseteq E\}$, for each $E \in \mathbf{F}(D)$ [2, page 406]; the *w-operation* (with the notation proposed by Wang-McCasland) defined by $E^w := \bigcap \{ED_Q \mid Q \in \text{Max}^t(D)\}$ (where $\text{Max}^t(D)$ is the (nonempty) set of all maximal *t*-ideals of D) for all $E \in \mathbf{F}(D)$ [4].

Let $*$ be a star operation on D . If F is in $\mathbf{f}(D)$, we say that F is **-eab* [respectively, **-ab*], if the inclusion $(FG)^* \subseteq (FH)^*$ implies that $G^* \subseteq H^*$, with $G, H \in \mathbf{f}(D)$, [respectively, with $G, H \in \mathbf{F}(D)$].

The operation $*$ is said to be *eab* [respectively, *ab*] if each $F \in \mathbf{f}(D)$ is **-eab* [respectively, **-ab*]. An *ab* operation is obviously an *eab* operation. Recall also that $E \in \mathbf{F}(D)$ is called a (*fractional*) **-ideal* of D if $E = E^*$.

In the classical (Krull's) setting, the study of Kronecker function rings on an integral domain generally focusses on the collection of “*arithmetisch brauchbar*” (for short, *a.b.* or, simply, *ab*, as above) **-operations* [3]. Gilmer's presentation of Kronecker function rings [2, Section 32] makes use of the (presumably larger class of) “*endlich arithmetisch brauchbar*” (for short, *e.a.b.* or, simply, *eab*, as above) **-operations*. In this paper, we show that the *e.a.b.* cancellation condition is really strictly weaker than the *a.b.* cancellation condition. This goal is reached by modifying an example given in the recent paper [1].

2. THE EXAMPLE

In [1, Example 16], the authors consider the following example.

Let k be a field, $X_1, X_2, \dots, X_n, \dots$ an infinite set of indeterminates over k and $N := (X_1, X_2, \dots, X_n, \dots)k[X_1, X_2, \dots, X_n, \dots]$. Clearly, N is a maximal ideal in $k[X_1, X_2, \dots, X_n, \dots]$. Set $D := k[X_1, X_2, \dots, X_n, \dots]_N$, $M := ND$ be the maximal ideal of the local domain D and $K := k(X_1, X_2, \dots, X_n, \dots)$ the quotient field of D . Note that D is a UFD and consider \mathcal{W} the set of all the rank one essential valuation overrings of D . Let $\wedge_{\mathcal{W}}$ be the star *ab* operation on D defined by \mathcal{W} [2, page 398], *i.e.*, for each $E \in \mathbf{F}(D)$,

$$E^{\wedge_{\mathcal{W}}} := \bigcap \{EW \mid W \in \mathcal{W}\}.$$

It is well known that the *t-operation* on D is an *ab* star operation, since $F^t = F^{\wedge_{\mathcal{W}}}$ for all $F \in \mathbf{f}(D)$ [2, Proposition 44.13] (more precisely, in this case, we have $v = t = w = \wedge_{\mathcal{W}}$).

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Consider the following subset of fractional ideals of D :

$$\mathcal{J} := \{xF^t, yM, zM^2 \mid x, y, z \in K \setminus \{0\}, F \in \mathbf{f}(D)\}.$$

Since each nonzero principal fractional ideal of D is in \mathcal{J} and, for each ideal $J \in \mathcal{J}$ and for each nonzero $a \in K$, the ideal aJ belongs to \mathcal{J} , then, as above, [2, Proposition 32.4] guarantees that the set \mathcal{J} defines on D a star operation $*$, by setting:

$$E^* := \bigcap \{J \mid J \in \mathcal{J}, J \supseteq E\}, \quad \text{for each } E \in \mathbf{F}(D).$$

Since, for each $F \in \mathbf{f}(D)$, $F^t \in \mathcal{J}$, it was claimed in [1, Example 16] that $*|_{\mathbf{f}(D)} = t|_{\mathbf{f}(D)}$. This would imply that $*$ was an eab operation on D , since the operation t —as observed above—is an ab star operation on D .

Unfortunately, it is not true that $F^* = F^t$ for all $F \in \mathbf{f}(D)$ and, in particular, this equality does not hold if $F \subset D$ and $F^t = D$. For instance, if $I := (X_1, X_2)$, then clearly, in the Krull domain D , we have $I^v = I^t = D$. On the other hand, $I^* \subseteq M^* = M$, since $M \in \mathcal{J}$. More generally, and with a more careful analysis, we claim that, if $I := I_{ij} := (X_i, X_j)$, with $i \neq j \geq 1$, then $I^* = M$.

Case 1. For every $G \in \mathbf{f}(D)$, if $I \subseteq G^t$, then $I \subseteq I^* \subseteq M^* = M \subsetneq D = I^t \subseteq G^t$. Note that the same conclusion holds for every proper ideal A of D such that $A^t = D$, i.e., for every $G \in \mathbf{f}(D)$ if $A \subseteq G^t$, then $A \subseteq M^* = M \subsetneq G^t$.

Case 2. If $I \subseteq yM$, for some $0 \neq y \in K$, then in particular $I \subseteq yD$ and so $D = I^t \subseteq yD$, hence, $y^{-1} \in D$. There are two possibilities here: either $y^{-1} \in M$ or $y^{-1} \in D \setminus M$. In the first case, i.e., if $y^{-1} \in M$, then $1 \in yM$ and so $D \subseteq yM$. In the second case, i.e., if $y^{-1} \in D \setminus M$, then y^{-1} is invertible in D , and so $y, y^{-1} \in D$. Thus, $yM = M$.

Note that the same conclusion holds for every proper ideal A of D such that $A^t = D$, i.e., if $A \subseteq yM$, for some $0 \neq y \in K$ and $A^t = D$, then either $D \subseteq yM$ or $M = yM$.

Case 3. If $I \subseteq zM^2 \subseteq zM$, for some $0 \neq z \in K$, then as above $z^{-1} \in D$. Two cases are possible: either $z^{-1} \in M$ or $z^{-1} \in D \setminus M$. If $z^{-1} \in D \setminus M$, then z^{-1} is invertible in D and so $z, z^{-1} \in D$. Thus, $zM^2 = M^2$. However, this is impossible, since $I \not\subseteq M^2$. If $z^{-1} \in M$, then $M \subseteq zM^2$.

Note that a variation of the previous conclusion holds for every proper ideal A of D such that $A^t = D$ and $A \subseteq M^2$ (for instance, for $A = I^3$), i.e., if $A \subseteq zM^2$, for some $0 \neq z \in K$, $A^t = D$ and $A \subseteq M^2$, then either $A \subseteq zM^2 = M^2$ or $A \subseteq M^2 \subsetneq M \subseteq zM^2$.

By the previous analysis, we conclude in particular that $I^* = \bigcap \{J \in \mathcal{J} \mid J \supseteq I\} = M$. Moreover, since $I^* = M$, we obtain $(I^2)^* = (I \cdot I)^* = (I^* \cdot I^*)^* = (M^2)^* = M^2$. Furthermore, by the more general analysis for a proper ideal A of D such that $A^t = D$, in case $A = I^3$ we deduce in particular that $(I^3)^*$ also coincides with M^2 . Therefore,

$$(I^3)^* = M^2 = (I^2)^* \quad \text{but} \quad (I^2)^* = M^2 \subsetneq I^* = M,$$

and so $*$ is not an eab star operation on D .

Remark 1. Let $\mathcal{J}' := \{xD, yM, zM^2 \mid x, y, z \in K \setminus \{0\}\}$. It is easy to see that [2, Proposition 32.4] guarantees that the set \mathcal{J}' defines on D a star operation that coincides with the star operation $*$ defined above by the set \mathcal{J} , since $F^t = F^v = \bigcap \{xD \mid x \in K, F \subseteq xD\}$, for each $F \in \mathbf{f}(D)$ [2, Theorem 34.1 (1)].

We provide next a variation of the previous example in order to construct an eab star operation that is not ab.

Example 2. (*Example of an eab star operation that is not an ab star operation*) Let D , M and K be as above. Consider the following subset of fractional ideals of D :

$$\mathcal{S} := \{xF^b, yM \mid x, y \in K \setminus \{0\}, F \in \mathbf{f}(D)\},$$

where b is the standard ab operation on D defined by the set \mathcal{V} of all valuation overrings of D , i.e., for each $E \in \mathbf{F}(D)$,

$$E^b := E^{\wedge \mathcal{V}} := \bigcap \{EV \mid V \in \mathcal{V}\}.$$

Since each nonzero principal fractional ideal of D is in \mathcal{S} and, for each (fractional) ideal $J \in \mathcal{S}$ and for each nonzero $a \in K$, the (fractional) ideal aJ belongs to \mathcal{S} , as above, [2, Proposition 32.4] guarantees that the set \mathcal{S} defines on D a star operation $*$.

We claim that $*$ is an eab operation. Since the b -operation is an ab operation, it is sufficient to prove that $*|_{f(D)} = b|_{f(D)}$. Suppose then that $F \in f(D)$. Since $F^b \in \mathcal{S}$, it is clear that $F^* \subseteq F^b$. Note also that it is well-known that each prime ideal P of an integrally closed domain D is a b -ideal, since there always exists a valuation overring of D centered on P [2, Theorem 19.6]. It follows that each ideal of the form yM is a b -ideal and, hence, each ideal of \mathcal{S} is a b -ideal. Since F^b is the intersection of all b -ideals which contain F , this implies that $F^b \subseteq F^*$ (the same conclusion follows also from [2, Proposition 32.2 (b)]). It follows that $*|_{f(D)} = b|_{f(D)}$ and, hence, $*$ is an eab operation.

Now, we claim that $*$ is not an ab operation on D .

To show this, we let $I := (X_1, X_2)$ and we prove that $(IM)^* = I^* = I$. This will show that $*$ is not ab, because we clearly cannot cancel I in the previous equation, i.e., $(IM)^* = (ID)^*$ but $M^* = M \neq D = D^*$.

Therefore, we try to determine which (fractional) ideals in \mathcal{S} contain IM . We know that I is in \mathcal{S} (since $I \in f(D)$ and I is a prime ideal of D . Thus, $I = I^b$) and I contains IM . What we really want to prove is that any (fractional) ideal in \mathcal{S} which contains IM also contains I .

(1) First, suppose that $IM \subseteq yM$ for some nonzero element $y \in K$. This causes no problems if it also implies that $D \subseteq yM$, since then, in particular, we have $I \subseteq yM$, which is what we want.

Assume that y is a nonzero element of K and that $D \not\subseteq yM$. There are four possibilities here.

- (1, a) If y is not in D and y^{-1} is not in D , then $yM \cap D \subseteq yD \cap D \neq D$. Hence, $yD \cap D$ is a proper divisorial ideal of D containing IM . This contradicts the fact that $(IM)^v = D$.
- (1, b) If y is not in D and y^{-1} is in D , then y^{-1} is in M (since D is local) and so $D \subseteq yM$, which is a contradiction.
- (1, c) If y is in D and y is invertible in D , then $yM = M$, and so in this case $I \subseteq yM$, which is what we want.
- (1, d) If y is in D and y is not invertible in D , then $IM \subseteq yM \subseteq yD \subseteq M \neq D$. Again, this contradicts $(IM)^v = D$.

(2) Now suppose that $G \in f(D)$ is such that $IM \subseteq G^* = G^b$. We extend everything to the b -Kronecker function ring of D , which is the following subring of the field of rational functions in one indeterminate, denoted by T , over K , i.e.:

$$\text{Kr}(D, b) := \{f/g \in K(T) \mid f, g \in D[T], 0 \neq g, c(f) \subseteq c(g)^b\} = \bigcap \{V(T) \mid V \in \mathcal{V}\},$$

where $c(h)$ is the content of a polynomial $h \in D[X]$ and $V(T) := \{f/g \in K(T) \mid f, g \in V[T], 0 \neq g \text{ and } c(g) = V\}$ is the trivial valuation extension of V to $K(T)$ [2, definitions at pages 218 and 401, Theorems 32.7 and 32.11, Proposition 33.1]. Then, we should have $I \text{Kr}(D, b) M \text{Kr}(D, b) \subseteq G^b \text{Kr}(D, b) = G \text{Kr}(D, b)$. Recall that $\text{Kr}(D, b)$ is a Bézout domain and so both $I \text{Kr}(D, b)$ and $G \text{Kr}(D, b)$ are principal ideals. This means that we actually have $M \text{Kr}(D, b) \subseteq G \text{Kr}(D, b) (I \text{Kr}(D, b))^{-1}$, the latter (fractional) ideal being principal.

There are two possibilities here.

- (2, a) $\text{Kr}(D, b) \subseteq G \text{Kr}(D, b) (I \text{Kr}(D, b))^{-1}$. This would imply that $I \text{Kr}(D, b) \subseteq G \text{Kr}(D, b)$. This would in turn imply that $I = I^b \subseteq G^b = G^*$, which was our goal.
- (2, b) $\text{Kr}(D, b) \not\subseteq G \text{Kr}(D, b) (I \text{Kr}(D, b))^{-1}$. Rename the principal (fractional) ideal $G \text{Kr}(D, b) \cdot (I \text{Kr}(D, b))^{-1}$ as \mathcal{H} . We know that $M \text{Kr}(D, b) \subseteq \mathcal{H}$.

If \mathcal{H} is an integral ideal of $\text{Kr}(D, b)$, then obviously $M \text{Kr}(D, b)$ is contained in a proper principal ideal of $\text{Kr}(D, b)$. On the other hand, if \mathcal{H} is not an integral ideal, then $\mathcal{H} \cap \text{Kr}(D, b)$ is a proper integral ideal of $\text{Kr}(D, b)$. Moreover, it is also finitely generated [2, Proposition 25.4 (1)] (hence, principal) in the Bézout domain $\text{Kr}(D, b)$.

Therefore, in either case $M \text{Kr}(D, b)$ is contained in a proper principal ideal of $\text{Kr}(D, b)$. This will lead to a contradiction. As a matter of fact, suppose that $\varphi \in \text{Kr}(D, b)$ is a nonzero nonunit rational function and that $M \text{Kr}(D, b) \subseteq \varphi \text{Kr}(D, b)$. This means that, for any natural number $n \geq 1$, we have $X_n \in \varphi \text{Kr}(D, b)$. On the other hand, there are only a finite number of X_n that are part of the reduced representation of φ . Without loss of generality, suppose that these finitely many indices are $1, 2, \dots, r$, i.e., $\varphi \in k(X_1, X_2, \dots, X_r; T) (\subset K(T))$. Since φ is a nonunit in $\text{Kr}(D, b)$, there must be a valuation overring V of D such that φ is a nonunit in the valuation overring $V(T)$ of $\text{Kr}(D, b)$. Contract V to the subfield $k(X_1, X_2, \dots, X_r)$ of K . Call this valuation domain V_r . Then, extend V_r trivially to K . Call this valuation domain W , i.e., $W := V_r(X_{r+1}, X_{r+2}, \dots)$. Clearly, W is a valuation overring of D . Then we have a contradiction, because φ is still a nonunit in the valuation overring $W(T)$ of $\text{Kr}(D, b)$ and each X_n with $n > r$ is a unit in $W(T)$. This contradicts the fact that each X_n lies in the principal ideal $\varphi \text{Kr}(D, b)$.

Therefore, Possibility (2, b) does not occur. Therefore, we have to fall back on Possibility (2, a) which implies that $I \subseteq G^b = G^*$, which was what we needed.

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الملخص:

نبين أن شرط اختزال $a.b.$ لعمليات النجمة الذي قدمه Krull أقوى من شرط اختزال $e.a.b.$ الذي قدمه Gilmer.

