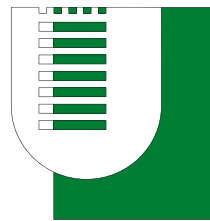


# Adiabatic evolution of low temperature many-body systems

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- Background: adiabatic theorems
- Our setting: interacting Fermi particles on a lattice

Dynamics with *weak* and *slowly varying* perturbations.

- Main result: convergent expansion for time-evolved local observables, especially at *small temperature*. Implications: zero-temperature adiabatic theorem
- Sketch of the proof: wick rotation, decay of Euclidean correlations
- Conclusion and next steps

- Basic setting: time-dependent Hamiltonian  $H(s)$ ,  $s \in [-1, 0]$  with unique ground state  $\varphi_s$  (energy  $E(s)$ ); spectral gap for all  $s$ ,

$$\inf_{s \in [0, 1]} \text{dist}(E(s), \sigma(H(s)) \setminus \{E(s)\}) = \delta > 0$$

- Let  $\eta > 0$  and consider the quantum dynamics, for  $t \in [-1/\eta, 0]$ ,

$$i \partial_t \psi(t) = H(\eta t) \psi(t), \quad \psi(-1/\eta) = \varphi_{-1}$$

- [Born&Fock; Kato] Suppose  $\|\dot{H}(s)\|$  is finite. Then as  $\eta \rightarrow 0^+$  the dynamics follows the instantaneous ground state:

$$\|\psi(t) - \langle \psi(t), \varphi_{\eta t} \rangle \varphi_{\eta t}\| \leq C \eta \quad \text{for all } t \in [-1/\eta, 0].$$

- Basic result in quantum dynamics with many applications and extensions.

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- Basic result in quantum dynamics with many applications and extensions.

- For many-body systems the basic version is unsatisfying; for instance in a quantum spin system or lattice Fermion model on  $\Lambda_L = \mathbb{Z}_L^d$ . Typical Hamiltonian

$$\mathcal{H}(s) = \sum_{X \subset \Lambda_L} \Phi_X(s),$$

with only  $\Phi_X$  individually bounded. Typically  $\|\dot{\mathcal{H}}(s)\| \sim L^d$ , and previous bound becomes useless for  $\eta L^d \gtrsim 1$ .

- Recent results, especially [Bachman, De Roeck & Fraas 2017] for spin systems: norm bounds are too strong, so look at local topology: for  $\mathcal{O}_X$  a bounded local operator,

$$|\langle \psi(t), \mathcal{O}_X \psi(t) \rangle - \langle \varphi_{\eta t}, \mathcal{O}_X \varphi_{\eta t} \rangle| \leq C \eta,$$

uniformly in  $L$  (if the gap is uniform).

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- What about  $T > 0$ ? Density matrix starting with  $\rho_{\beta,L} = \frac{1}{Z_{\beta,L}} e^{-\beta \mathcal{H}(-1)}$  and evolved by Schrödinger equation

$$i \partial_t \rho(t) = [\mathcal{H}(\eta t), \rho(t)], \quad \rho(-1/\eta) = \rho_{\beta,L} .$$

- Let  $\langle \cdot \rangle_{\eta t}$  be the Gibbs state of  $\mathcal{H}(\eta t)$  (instantaneous Gibbs state). (When) is

$$|\mathrm{Tr} \mathcal{O}_X \rho(t) - \langle \mathcal{O}_X \rangle_{\eta t}| \quad \text{small as } \eta \rightarrow 0^+ ? \quad (*)$$

- Abou Salem-Fröhlich 05; Jaksic-Pillet 14: adiabatic theorem for  $\eta \rightarrow 0^+$  at fixed  $L$ , under suitable ergodicity hyp. (based on [Avron-Elgart 98])
- Jakšić-Pillet-Tauber 22: (\*) for  $\eta \rightarrow 0^+$  after  $L \rightarrow \infty$  at fixed  $\beta$  implies that the specific entropy of  $\langle \cdot \rangle_s$  is *constant* in  $s$ . No-go theorem?
- More modestly, is there an  $\eta$ -dependent but  $L$ -indep. range of  $\beta$  where (\*) holds? In particular:  $T \rightarrow 0$  after  $L \rightarrow \infty$ ? *Today's talk.*

- We consider interacting Fermions on a discrete  $d$ -dimensional torus or box  $\Gamma_L := \mathbb{Z}_L^d$ . Thermodynamic limit ( $L \rightarrow \infty$ ) only via uniform estimates.

With a finite number  $M$  of internal degrees of freedom (spin, particle species, unit cell, ...) labeled by  $S_M = \{1, \dots, M\}$  this gives configuration space  $\Lambda_L := \Gamma_L \times S_M$ .

- Let  $\mathcal{F}_L$  be the Fermionic Fock space over  $\Lambda_L$  with creation/annihilation operators satisfying

$$\{a_{\mathbf{x}}^+, a_{\mathbf{y}}^+\} = \{a_{\mathbf{x}}^-, a_{\mathbf{y}}^-\} = 0, \quad \{a_{\mathbf{x}}^+, a_{\mathbf{y}}^-\} = \delta_{\mathbf{x}, \mathbf{y}} \quad (\mathbf{x}, \mathbf{y} \in \Lambda_L)$$

- For each  $X \subset \Lambda_L$  let  $\mathcal{A}_X$  denote complex polynomials made out of  $a_{\mathbf{x}}^\pm$  for  $\mathbf{x} \in X$ , and  $\mathcal{A}_X^\mathcal{N}$  those commuting with  $\mathcal{N} = \sum_{\mathbf{x} \in \Lambda_L} a_{\mathbf{x}}^+ a_{\mathbf{x}}^-$  (almost always consider the latter).

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- Finite range, particle conserving interactions associated with Hamiltonians over  $\Lambda_L$ :

$$\mathcal{H} = \sum_{X \subset \Lambda_L} \Phi_X \quad \text{with } \Phi_X = \Phi_X^*,$$

also  $[\Phi_X, \mathcal{N}] = 0$  (particles conserved); also assume  $\|\Phi_X\|$  bounded and finite range uniformly in  $L$ . Typical example:

$$\mathcal{H} = \sum_{\mathbf{x}, \mathbf{y} \in \Lambda_L} a_{\mathbf{x}}^+ h(\mathbf{x}, \mathbf{y}) a_{\mathbf{y}}^- + \sum_{\mathbf{x}, \mathbf{y} \in \Lambda_L} a_{\mathbf{x}}^+ a_{\mathbf{y}}^+ v(\mathbf{x}; \mathbf{y}) a_{\mathbf{y}}^- a_{\mathbf{x}}^-$$

with  $h, v$  bounded and finite-range.

- Other properties not too important so e.g. standard boundary conditions allowed
- Grand-canonical Gibbs state: for  $\mathcal{O}_X \in \mathcal{A}_X$ ,

$$\langle \mathcal{O}_X \rangle_{\beta, \mu, L} = \text{Tr}_{\mathcal{F}_L} \mathcal{O}_X \rho_{\beta, \mu, L} \quad \text{with} \quad \rho_{\beta, \mu, L} = \frac{e^{-\beta(\mathcal{H} - \mu \mathcal{N})}}{\text{Tr}_{\mathcal{F}_L} e^{-\beta(\mathcal{H} - \mu \mathcal{N})}}$$

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$$\tau_t(\mathcal{O}_X) = e^{i\mathcal{H}t} \mathcal{O} e^{-i\mathcal{H}t}.$$

- Later helpful to denote Euclidean evolution

$$\gamma_s(\mathcal{O}_X) = e^{s(\mathcal{H} - \mu\mathcal{N})} \mathcal{O}_X e^{-s(\mathcal{H} - \mu\mathcal{N})};$$

clearly, if  $[\mathcal{O}_X, \mathcal{N}] = 0$  (i.e.  $\mathcal{O}_X \in \mathcal{A}_X^{\mathcal{N}}$ )

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$$\langle \gamma_s(\mathcal{O}_1) \gamma_t(\mathcal{O}_2) \rangle_{\beta, \mu, L} = \langle \gamma_{t+\beta}(\mathcal{O}_2) \gamma_s(\mathcal{O}_1) \rangle_{\beta, \mu, L}$$

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- Connected/truncated correlation function or cumulant defined by

$$\langle \mathcal{O}_1; \mathcal{O}_2 \rangle_{\beta, \mu, L} := \langle \mathcal{O}_1 \mathcal{O}_2 \rangle_{\beta, \mu, L} - \langle \mathcal{O}_1 \rangle_{\beta, \mu, L} \langle \mathcal{O}_2 \rangle_{\beta, \mu, L};$$

more generally  $n$ -point version ( $\mathcal{O}_j$  even in  $a^\pm$ )

$$\langle \mathcal{O}_1; \mathcal{O}_2; \dots; \mathcal{O}_n \rangle := \frac{\partial^n}{\partial \lambda_1 \dots \partial \lambda_n} \log \langle \exp (\lambda_1 \mathcal{O}_1 + \dots + \lambda_n \mathcal{O}_n) \rangle \Big|_{\lambda_1 = \dots = 0}$$

- When do these decay in space/time? Space decay from Lieb-Robinson bound for finite range bounded interactions:

$$\| [\tau_t(\mathcal{O}_X), \mathcal{O}_Y] \| \leq C e^{vt - c \operatorname{dist}(X, Y)}.$$

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- Define Euclidean time-ordering

$$\mathbf{T} \gamma_{t_1}(\mathcal{O}_1) \cdots \gamma_{t_n}(\mathcal{O}_n) := \sum_{\pi \in \Pi_n} \mathbb{1}(t_{\pi(1)} \geq \cdots \geq t_{\pi(n)}) \gamma_{t_{\pi(1)}}(\mathcal{O}_{\pi(1)}) \cdots \gamma_{t_{\pi(n)}}(\mathcal{O}_{\pi(n)})$$

- Time ordered cumulants  $\langle \mathbf{T} \gamma_{t_1}(\mathcal{O}_{X_1}); \cdots; \gamma_{t_n}(\mathcal{O}_{X_n}) \rangle_{\beta, \mu, L}$  often decay in space and (Euclidean/imaginary) time; consequently used for perturbation theory of Gibbs states

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- For  $t \leq 0$  we consider the time-dependent Hamiltonian

$$\mathcal{H}(\eta t) = \mathcal{H} + \varepsilon g(\eta t) \mathcal{P},$$

with  $\eta > 0$  and  $\mathcal{P} = \sum_{X \subset \Lambda_L} \Psi_X$ , self-adjoint, finite-ranged, bounded. Interested in  $\eta$  small (slow) and  $\varepsilon$  small (weak) in different senses.

- More detail on “switch function”  $g$  soon, prototype is  $g(\eta t) = e^{\eta t}$ .
- Time-dependent density matrix

$$i \partial_t \rho(t) = [\mathcal{H}(\eta t), \rho(t)], \quad \rho(-\infty) = \rho_{\beta, \mu, L}$$

and time-dependent state given by expectation values  $\text{Tr}_{\mathcal{F}_L} \mathcal{O} \rho(t)$ .

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- Duhamel expansion for these dynamics:

$$\begin{aligned} \mathrm{Tr}_{\mathcal{F}_L} \mathcal{O} \rho(t) &= \langle \mathcal{O} \rangle_{\beta, \mu, L} \\ &+ \sum_{n=1}^{\infty} (-i \varepsilon)^n \int_* d\mathbf{s} \left[ \prod_{j=1}^n g(\eta s_j) \right] \\ &\quad \times \langle [\cdots [\tau_t(\mathcal{O}), \tau_{s_1}(\mathcal{P})] \cdots, \tau_{s_n}(\mathcal{P})] \rangle_{\beta, \mu, L} \end{aligned}$$

with the integral over  $-\infty \leq s_n \leq \cdots \leq s_1 \leq t$ .

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$$\frac{C^n |\varepsilon|^n}{n!} \frac{1}{\eta^n} |\Lambda_L|^n,$$

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## Assumption S

*The switching function can be expressed as*

$$g(t) = \int_0^\infty e^{\xi t} h(\xi) \, d\xi$$

*for  $h \in L^1([0, \infty))$  satisfying*

$$\int_0^1 \frac{|h(\xi)|}{\xi^{d+2}} \, d\xi < \infty, \quad \int_1^\infty \xi |h(\xi)| \, d\xi < \infty$$

*or a finite signed measure (e.g. sum of Dirac  $\delta s$ ) with  $|h(\xi)|d\xi$  replaced by the total variation.*

Examples:  $g(t) = e^t$ ,  $g(t) = (t - a)^{-n}$  with  $n \geq d + 4$  and  $a > 0$ .

- $g$  always analytic on LHP; so decay for  $t \rightarrow -\infty$  (even  $\operatorname{Re} t \rightarrow -\infty$  off  $\mathbb{R}$ ) but unbounded support
- No particular restrictions on  $g^{(n)}(0)$
- We will use this to approximate  $g(\eta t)$  by

$$g_{\beta,\eta}(t) = \sum_{\omega} \tilde{h}_{\beta}(\omega) e^{\omega t}$$

with the sum over *positive* integer multiples of  $2\pi/\beta$ ; then as well as analyticity and decay this is

- periodic  $g_{\beta,\eta}(t + i\beta) = g_{\beta,\eta}(t)$ , cf. KMS condition, Matsubara frequencies
- oscillating in imaginary time, with

$$\int_0^{\beta} g_{\beta,\eta}(t + i s) \, ds = 0$$

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## Theorem 1

Under Assumption S, for any  $\mathcal{O}_X \in \mathcal{A}_X^{\mathcal{N}}$

$$\mathrm{Tr}_{\mathcal{F}_L} \mathcal{O}_X \rho(t) = \langle \mathcal{O}_X \rangle_{\beta, \mu, L} + \sum_{n \geq 1} \frac{(-\varepsilon)^n}{n!} I_n + R_{\beta, \mu, L}(\mathcal{O}_X, \varepsilon, \eta, L), \text{ where}$$

$$I_n := \int_{[0, \beta]^n} d\mathbf{s} \left[ \prod_{j=1}^n g_{\beta, \eta}(t - i s_j) \right] \langle \mathbf{T}_{\gamma_{s_1}(\mathcal{P}); \gamma_{s_2}(\mathcal{P}); \dots; \gamma_{s_n}(\mathcal{P}); \mathcal{O}_X} \rangle_{\beta, \mu, L}$$

and

$$|R_{\beta, \mu, L}(\mathcal{O}_X, \varepsilon, \eta, L)| \leq K(X, \|\mathcal{O}_X\|) \frac{|\varepsilon|}{\eta^{d+2} \beta}$$

uniformly in  $L$ .

Reminder:  $\gamma$  is the *Euclidean* evolution for  $\mathcal{H} = \mathcal{H}(-\infty)$ ,  $\rho(t)$  is evolved by

$$\mathcal{H}(\eta t) = \mathcal{H} + \varepsilon g(\eta t) \mathcal{P}$$

- Most interesting with absolute convergence of the series (especially for  $L \rightarrow \infty$ ), I'll come back to this
- Error terms diverge for  $\eta \rightarrow 0^+$  with  $\beta, \varepsilon$  fixed, so this applies to the adiabatic regime only holds at zero temperature
- At zero temperature the imaginary-time expansion gives an exact expression for this class of time evolutions
- There are special cases

$$g(\eta t) = \sum_{n=1}^{\infty} \tilde{h}_n \exp\left(\frac{2\pi n}{\beta} t\right)$$

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## Assumption D

For each  $\beta > 0$  and each  $\mathcal{O} \in \mathcal{A}^{\mathcal{N}}$ , there exist  $\mathfrak{c} = \mathfrak{c}(\beta, \mathcal{P})$  and  $C = C(\mathcal{O})$ :

$$\int_{[0, \beta]^n} d\underline{t} (1 + |\underline{t}|_\beta) \sum_{X_1, \dots \subset \Lambda_L} |\langle \mathbf{T} \gamma_{t_1}(\Psi_{X_1}); \dots; \gamma_{t_n}(\Psi_{X_n}); \mathcal{O} \rangle| \leq C \mathfrak{c}^n n!$$

where  $|\underline{t}|_\beta = \sum_{j=1}^n \min_{m \in \mathbb{Z}} |t_j - m\beta|$ .

## Theorem 2

Under assumptions S and D,  $\exists \varepsilon_0 \equiv \varepsilon_0(\mathfrak{c})$  such that for  $|\varepsilon| < \varepsilon_0$ ,

1. The series in Theorem 1 is absolutely convergent
2. With  $\langle \cdot \rangle_t$  denoting the Gibbs state of  $\mathcal{H}(\eta t)$ ,

$$|\mathrm{Tr}_{\mathcal{F}_L} \mathcal{O}_X \rho(t) - \langle \mathcal{O}_X \rangle_t| \leq K \left( |\varepsilon| \eta + \frac{|\varepsilon|}{\eta^{d+2} \beta} \right)$$

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## Theorem 3

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- Also implies a convergent perturbative expansion for the instantaneous Gibbs state (see next slide)
- If  $\mathcal{H}$  and  $\mathcal{P}$  are both quadratic in  $a^\pm$ , the bound follows from Wick's rule and the relation to the one-particle correlations. In particular if 1-particle  $H$  is gapped (uniformly in  $L$ ) and  $\mu$  is in the gap,  $\mathfrak{c}$  is *independent of  $\beta$* .
- If  $\mathcal{H} = \mathcal{H}_0 + \lambda \mathcal{V}$  with  $\mathcal{H}_0$  as above and  $\mathcal{V}, \mathcal{P}$  finite range this extends to  $\lambda$  not too large via cluster expansion (Battle-Brydges-Federbush-Kennedy formula) this is the main reason for considering Fermions rather than lattice spin systems.
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- Absolute convergence survives the limit  $L \rightarrow \infty$ ; no need for extra assumptions on long time behavior (clustering)
- When the decay property holds uniformly for  $\beta \rightarrow \infty$ , we recover a standard adiabatic theorem in the zero temperature limit

- I. Changing the switching function  $g(\eta t)$  to  $g_{\beta,\eta}(t)$  a sum of “Matsubara” exponentials gives a correction  $R_{\beta,\mu,L}(\mathcal{O}_X, \varepsilon, \eta, L)$ , which given Assumption S can be estimated based on a Lieb-Robinson bound
- II. Taking advantage of the imaginary-time periodicity and analyticity of  $g_{\beta,\eta}$  we can deform the integrals in the resulting Duhamel expansion into imaginary-time integrals of (non-connected) correlation functions (Wick rotation); using the oscillation of the (now imaginary) exponentials in  $g_{\beta,\eta}$  the non-connected parts of the correlations cancel in the integration



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## Lemma 1

Let  $\tilde{\mathcal{H}}, \tilde{\rho}$  denote the versions with  $g_{\beta,\eta}$  replacing  $g$ ; then

$$\left| \text{Tr}_{\mathcal{F}_L} \mathcal{O} [\rho(t) - \tilde{\rho}(t)] \right| \leq \frac{C_{\mathcal{O}} |\varepsilon|}{\eta^{d+2} \beta}$$

Sketch of the proof; for simplicity  $g(\eta t) = \exp(\eta t)$ ,  $g_{\beta,\eta}(t) = \exp(\eta_{\beta} t)$  with  $\eta_{\beta} \in \frac{2\pi}{\beta} \mathbb{N}_+$  a little bigger than  $\eta$ ,  $|\eta - \eta_{\beta}| \leq \frac{2\pi}{\beta}$ .

Letting  $\mathcal{U}, \tilde{\mathcal{U}}$  denote the unitary evolutions associated with  $\mathcal{H}, \tilde{\mathcal{H}}$ ,

$$\begin{aligned} & \left| \text{Tr}_{\mathcal{F}_L} \mathcal{O} [\rho(t) - \tilde{\rho}(t)] \right| \\ &= \left| \lim_{T \rightarrow \infty} \text{Tr}_{\mathcal{F}_L} [\mathcal{U}(-T; t) \mathcal{O} \mathcal{U}(t; -T) - \tilde{\mathcal{U}}(-T; t) \mathcal{O} \tilde{\mathcal{U}}(t; -T)] \rho_{\beta, \mu, L} \right| \\ &\leq \limsup_{T \rightarrow \infty} \left\| \mathcal{O} - \mathcal{U}(t; -T) \tilde{\mathcal{U}}(-T; t) \mathcal{O} \tilde{\mathcal{U}}(t; -T) \mathcal{U}(-T, t) \right\| \end{aligned}$$

- Let  $\zeta_{\beta,\eta}(t) = g(\eta t) - g_{\beta,\eta}(t)$ , so that  $\mathcal{H}(\eta t) = \tilde{\mathcal{H}}(\eta, t) + \zeta_{\beta,\eta}(t)$ ; then

$$\begin{aligned} & \left\| \mathcal{O} - \mathcal{U}(t; -T) \tilde{\mathcal{U}}(-T; t) \mathcal{O} \tilde{\mathcal{U}}(t; -T) \mathcal{U}(-T, t) \right\| \\ &= \left\| \int_{-T}^t \frac{\partial}{\partial s} \left[ \mathcal{U}(s; -T) \tilde{\mathcal{U}}(-T; s) \mathcal{O} \tilde{\mathcal{U}}(s; -T) \mathcal{U}(-T, s) \right] ds \right\| \\ &\leq \int_{-T}^t |\varepsilon| |\zeta_{\eta,\beta}(s)| \left\| [\mathcal{P}, \tilde{\mathcal{U}}(t; s) \mathcal{O} \tilde{\mathcal{U}}(s; t)] \right\| ds \end{aligned}$$
- Using a Lieb-Robinson bound for non-autonomous dynamics [Bachman, Michalakis, Nachtergaele, Sims; Bru, Pedra] gives the estimate  $\leq C_{\mathcal{O}} \int_{-T}^t |\varepsilon| |\zeta_{\eta,\beta}(s)| (1 + |t - s|^d) ds$
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We now take the Duhamel series for the dynamics of  $\tilde{\mathcal{H}}(\eta, t)$  and rewrite each term as an imaginary-time integral.

## Lemma 2

$$\begin{aligned} & \int_{s_n \leq \dots \leq s_1 \leq t} d\underline{s} \left[ \prod_{j=1}^n g_{\eta, \beta}(s_j) \right] \langle [\dots [\tau_t(\mathcal{O}), \tau_{s_1}(\mathcal{P})] \dots, \tau_{s_n}(\mathcal{P})] \rangle_{\beta, \mu, L} \\ &= \frac{(-i)^n}{n!} \int_{[0, \beta]^n} \left[ \prod_{j=1}^n g_{\eta, \beta}(t - i s_j) \right] \langle \mathbf{T} \gamma_{s_1}(\mathcal{P}); \dots; \gamma_{s_n}(\mathcal{P}); \mathcal{O} \rangle_{\beta, \mu, L} \end{aligned}$$

This is based on a step in the proof of stability of KMS states in [Bratteli-Robinson vol. 2], which has a time independent permutation and so uses a clustering assumption for integrability in time.

- Using KMS and periodicity of  $g_{\beta,\eta}$  and expanding the commutator,

$$\begin{aligned} & \int_{-\infty}^t g_{\beta,\eta}(s) \langle [\tau_s(\mathcal{P}), \tau_t(\mathcal{O})] \rangle_{\beta,\mu,L} ds \\ &= \int_{-\infty}^t [g_{\beta,\eta}(s) \langle \tau_s(\mathcal{P}) \tau_t(\mathcal{O}) \rangle - g_{\beta,\eta}(s-i\beta) \langle \tau_{s-i\beta}(\mathcal{P}) \tau_t(\mathcal{O}) \rangle] ds \\ &= i \int_0^\beta g_{\beta,\eta}(t-is) \langle \tau_{t-is}(\mathcal{P}) \tau_t(\mathcal{O}) \rangle_{\beta,\mu,L} ds \end{aligned}$$

- then using stationarity of the Gibbs state

$$\int_0^\beta g_{\beta,\eta}(t-is) \langle \tau_{t-is}(\mathcal{P}) \tau_t(\mathcal{O}) \rangle ds = \int_0^\beta g_{\beta,\eta}(t-is) \langle \gamma_s(\mathcal{P}) \mathcal{O} \rangle ds$$

- and we can replace the correlation with the connected one using

$$\int_0^\beta g_{\beta,\eta}(t-is) \langle \gamma_s(\mathcal{P}) \rangle \langle \mathcal{O} \rangle ds = \langle \mathcal{P} \rangle \langle \mathcal{O} \rangle \int_0^\beta g_{\beta,\eta}(t-is) ds = 0.$$

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- We show a sort of adiabatic behaviour (closeness of non-autonomously evolved system to instantaneous Gibbs state) for low temperature,  $T \lesssim \eta^{d+2}$  with time scale  $\eta^{-1}$
- Decay of correlations and convergence of cumulant expansion doesn't quite require a gap, are there cases where we can extend our result?
- Replace with more sophisticated expansions?
- Exactness of linear response (where appropriate)?

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