

Relaxation in local many-body Floquet systems

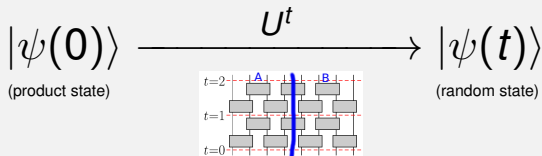
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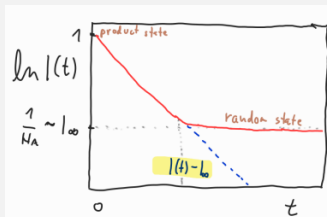
Quantum transport: disorder, interactions and integrability

Accademia Nazionale dei Lincei, Rome, January 2023

Non-stationary initial condition: relaxation



- ▶ No conserved quantities: establishment of “typicality”, correlations:
 - ▶ OTOC (out-of-time-ordered correlations)
 - ▶ purity (entanglement)



Outline

1. Floquet circuits (fixed gates)
2. Purity and OTOC in random circuits (random gates):
 - ▶ Markovian average purity (Floquet on average).
 - ▶ Purity decay rate not always λ_2 .
3. $U(d)$: exact solution for purity $I(t)$
unitary evolution $\rightarrow$ *purity (non-unitary/non-Hermitian)*
 - ▶ Toeplitz matrix
 - ▶ non-Hermitian skin effect (localized eigenvectors)
 - ▶ matrix spectrum vs. operator spectrum vs. pseudospectrum

Floquet circuits

(MZ arXiv:2301.06395)

1. product initial state.
2. pick a **fixed** 2-qubit gate $U_{k,k+1}$:

$$U_{k,k+1} = \exp(-iH)$$

$$H = \frac{\pi}{4}(\sigma_k^x \sigma_{k+1}^x + \sigma_k^y \sigma_{k+1}^y + a_z \sigma_k^z \sigma_{k+1}^z) + 0.82(\sigma_k^x + \sigma_{k+1}^x) + 0.56(\sigma_k^z + \sigma_{k+1}^z)$$

3. one-step Floquet propagator: apply all **nearest-neighbor** $U_{k,k+1}$ in a given order, $U = \prod_k U_{k,k+1}$

purity: $I(t) = \text{tr}[\rho_A^2(t)]$,

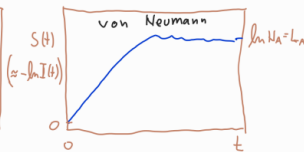
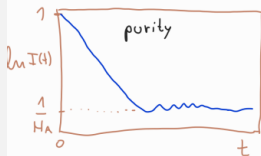
say for half-half bipartition

product $|\Psi(0)\rangle = |\Psi_A\rangle \otimes |\Psi_B\rangle$, $|\Psi(t)\rangle = U(t) |\Psi(0)\rangle$

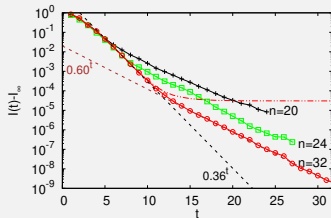
$\rho_A(t) = \text{tr}_B |\Psi(t)\rangle \langle \Psi(t)|$, eigenvalues μ_j of $\rho_A(t)$

$$I(t) = \sum_j \mu_j^2$$

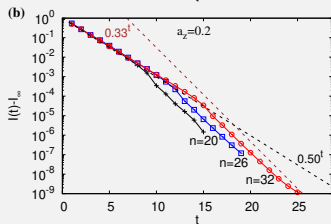
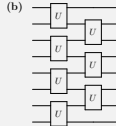
$$S(t) = \sum_j -\mu_j \ln \mu_j$$



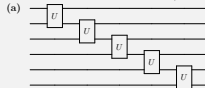
...cont. Floquet circuits



(b) BW circuit with OBC, $a_z = 0.5$

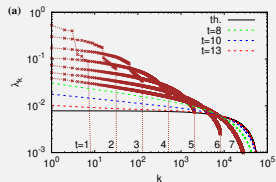


(a) S circuit with OBC, $a_z = 0.2$



Spectrum of $\rho_A(t)$:

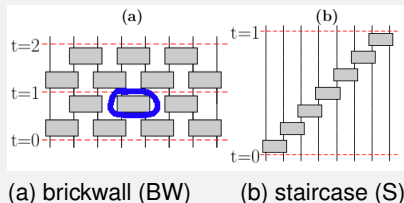
- ▶ non-full rank until $t_c \propto n$
- ▶ several separated λ_j and non-random bulk (unlike e.g. PT in Scardicchio et al. PRA'10; Vergassola et al. J. Stat. Phys. '11)



Random circuits

Protocol:

1. Pick a pair of sites (n.n.).
2. Apply a given 2-qubit gate, e.g.:
 - (a) random $U(4)$
 - (b) **fixed 2-qubit + 1-qubit random $U(2)$**
3. Repeat in a certain order (e.g. BW, S,...)



Why:

- In quantum information, generating entanglement:

Emerson et al., Science '03; Oliveira et al. '07; Žnidarič, PRA '08 [random n.n. obc $U(4)$: $I(t) \asymp (4/5)^t$] ...

- Understanding generic many-body systems: e.g. operator dynamics

Keyserling et al. PRX '18, Nahum et al. PRX '18 [BW obc $U(4)$: $I(t) \asymp (4/5)^{2t}$], Khemani et al. PRX '18, ...

- Classical vs. quantum computation: supremacy Arute et al., Nature '19.

Averaging over 1 – site Haar

Markovian evolution of average purity $I(t) = \text{tr}[\rho_A^2(t)]$:

Expand many-qubit state: $\rho = \sum_{\alpha} c_{\alpha} \sigma^{\alpha}$ ($\sigma^{\alpha} = \prod_j \sigma_j^{\alpha_j}$)

$$\rho_A^2(t+1) = c_{\alpha}(t) c_{\beta}(t) \text{tr}_B(U \sigma^{\alpha} U^{\dagger}) \text{tr}_B(U \sigma^{\beta} U^{\dagger})$$

$$U_{j,k} = V_j V_k W_{j,k} =$$


average over $V_j, V_k \in \text{Haar } U(2)$

Result: $\langle c_{\alpha}^2(t+1) \rangle = M_{\alpha,\beta} \langle c_{\beta}^2(t) \rangle$ [Markovian (Oliveira et al. JPA '07)].

Reduction in dimension from $4 \rightarrow 2$ (ie., 4×4 for 2-qubits);

for random n.n. protocol M related to **integrable spin chains**, (MZ PRA'08)

General setting (Kuo, Akhtar, Arovas, and Yi-Zhuang You, PRB '20)

1. Write an abstract state $\Phi(t) = \sum_{\mathbf{s}} I_{\mathbf{s}}(t) |\mathbf{s}\rangle$ $\dim(\mathbf{s}) = 2^n$

bitstring $\mathbf{s}$ encodes a bipartition, e.g., $\mathbf{s} = 01011$ is a bipartition with sites $A = \{1, 3\}$ and $B = \{2, 4, 5\}$

2. Evolution of $\Phi(t)$ is Markovian for any $W_{j,k}$.

$$\Phi(t) = M^t \Phi(0)$$

Example:

XY-gate $W = \exp[i\frac{\pi}{4}(\sigma_j^x \sigma_k^x + \sigma_j^y \sigma_k^y)]$, plus 1-qubit random unitaries

$$M_{i,j} = \frac{1}{9} \begin{pmatrix} 8 & 0 & 0 & 3 \\ 0 & 3 & 6 & 0 \\ 0 & 6 & 3 & 0 \\ 3 & 0 & 0 & 0 \end{pmatrix}, \quad M = M_{5,6} M_{4,5} M_{3,4} M_{2,3} M_{1,2} \text{ [not symmetric]},$$



- ▶ Works for fixed 2-qubit W + Haar $U(2)$.
- ▶ Also for full random $U(d)$ on qudits.



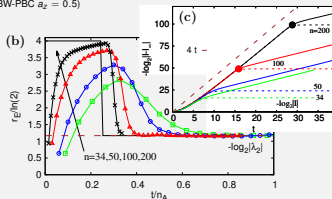
map to statistical model (Nahum, Vijay, Haah PRX '18; Nahum, Zhou PRX'20), dual unitary gates (Bertini et al. PRX '19; Gopalakrishnan et al. PRB '19), Claeyes & Lamacraft PRR '20, tripartite (Piroli & Bertini PRB '20) ...

$$I(t) = M^t I(0)$$

Standard reasoning:

- ▶ M : eigenvalue 1 corresponds to $I_\infty = I(t = \infty)$.
- ▶ Decay of $I(t)$ given by $|\lambda_2|$, $I(t) - I_\infty \propto |\lambda_2|^t$.

$$\lambda_2 = \frac{1}{9}(2 - \cos(\pi a_z))^2 \quad (\text{BW-PBC } a_z = 0.5)$$



large n required

many-body effect

Rate is not always determined by λ_2 !

(J. Bensa & MZ, PRX '21)

related math: Lindbladian gap doesn't always give nonequilibrium relaxation time (Mori & Shirai PRL '20);
(Ueda et al. PRL '21); (Clerk et al. arXiv '22)

long transient boundary modes in Lindbladian decay (Flynn, Cobanera & Viola PRL '21)

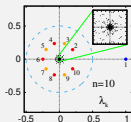
How,...?

- ▶ Non-Hermitian: $M = \sum_j \lambda_j |R_j\rangle \langle L_j|$, $|L_j\rangle$ not orthogonal.
- ▶ $|I(t)\rangle = \sum_j c_j \lambda_j^t |R_j\rangle$, $c_j = \langle L_j | I(0) \rangle$.

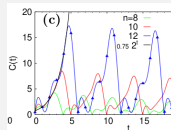
c_j can be exponentially large in n !

$\Rightarrow \lambda_2$ may not matter for $t \leq n/2$.

phantom
S OBC
 $a_z = 0$



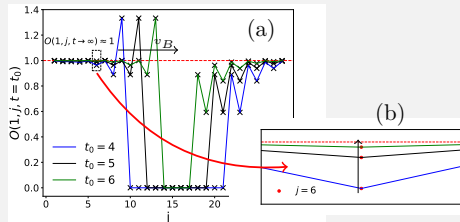
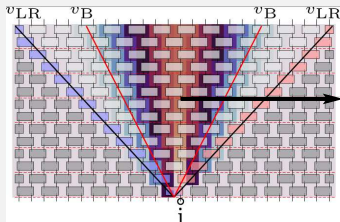
$$\sim (\sum_j' c_j e^{i\phi_j} t \sim 2^t) \overbrace{\left| \frac{1}{4} \right|^t}^{\lambda_2} \sim \underbrace{\left(\frac{1}{2} \right)^t}_{\text{phantom eigenvalue}}$$



Out-of-time-ordered correlation (OTOC):

$$O^\beta(1, j, t) = \frac{1}{2^{n+1}} \text{tr} \left| \left[\sigma_1^\alpha(t), \sigma_j^\beta \right] \right|^2 = 1 - \frac{1}{2^n} \text{tr}(\sigma_1^\alpha(t) \sigma_j^\beta \sigma_1^\alpha(t) \sigma_j^\beta)$$

spreading of operators, $\sigma_1^\alpha(t) = U^\dagger \sigma_1^\alpha U$, correlations

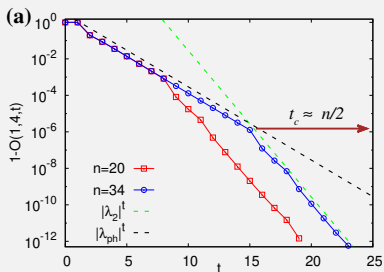
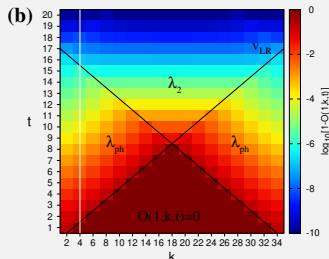


At long times $O^\beta(1, j, t \rightarrow \infty) \rightarrow 1$.

The question:

relaxation towards $O(t = \infty) = 1$, i.e., $O(t) - O(\infty)$?

BW PBC XXZ $a_z = 0.2$:



thermalization with **phantom** $\lambda_{\text{ph}} = 0.39$ ($|\lambda_2|_{\text{S-PBC}} = \frac{1}{3}(2 - \cos(a_z \pi))$); also

Bertini& Piroli PRB '20)

instead of “correct” $|\lambda_2|_{\text{BW-PBC}} = 0.16$ ($= |\lambda_2|_{\text{S-PBC}}^2$).

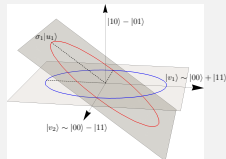
Solution for $U(d)$ random gates

(MZ arXiv:2205.01321)

Simple 2-site transfer matrix:

$$M_{j,k} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ \alpha & 0 & 0 & \alpha \\ \alpha & 0 & 0 & \alpha \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad \alpha = \frac{d}{d^2+1}$$

$$M_{j,k} = |r_1\rangle\langle l_1| + |r_2\rangle\langle l_2| = \sigma_1|u_1\rangle\langle v_1| + |u_2\rangle\langle v_2|$$



Staircase configuration with OBC

- ▶ Markovian process simplifies:

recursion among only n contig. bipartitions (instead of 2^n)

$$l_k(t) = \alpha^k + \sum_{r=2}^{k+1} \alpha^{k+2-r} l_r(t-1)$$

- ▶ in matrix notation: $\mathbf{l} := (l_2, l_3, \dots, l_{n-1})$
 $\mathbf{l}(t+1) = \mathbf{a} + \mathbf{T}\mathbf{l}(t)$

$$\mathbf{T} = \begin{pmatrix} \alpha^2 & \alpha & 0 & \dots & 0 \\ \alpha^3 & \alpha^2 & \alpha & \dots & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ \alpha^{n-2} & \alpha^{n-3} & \ddots & \ddots & \alpha \\ \alpha^{n-1} & \alpha^{n-2} & \dots & \dots & \alpha^2 \end{pmatrix}$$

- ▶ left and right eigenvectors localized at the edge
non-Hermitian skin effect
- ▶ $\langle L_j | R_j \rangle \sim \exp(-\kappa n)$; almost co-linear basis

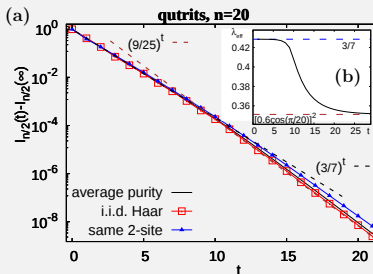
..cont.

- ▶ for $t < t_c \propto n$: (from recursion)

$$I(t) = \lambda_{\text{ph}}^t, \quad \lambda_{\text{ph}} = \frac{d}{d(d-1)+1}$$

- ▶ for $t > t_c$: (from exact spectrum)

$$I(t) \sim \lambda_2^t, \quad \lambda_2 = \frac{4d^2}{(d^2+1)^2}$$



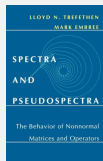
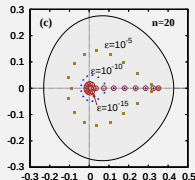
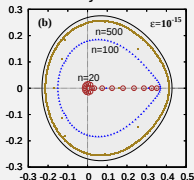
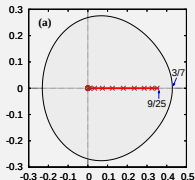
Why?

- ▶ Many almost co-linear $|L_j\rangle$; expan. coeff. grow with n .
- ▶ What/where is λ_{ph} ?

► Spectrum completely discontinuous in TDL

$$T = \begin{pmatrix} a^2 & a & 0 & \cdots & 0 \\ a^3 & a^2 & a & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ a^{n-2} & a^{n-3} & \cdots & a^2 & a \\ a^{n-1} & a^{n-2} & \cdots & \cdots & a^2 \end{pmatrix}$$

► finite matrix T ($\lambda_j = 4\alpha^2 \cos^2 \frac{\pi j}{n}$) vs. operator T_∞



► spectrum of T_∞ given by the symbol $a(z) = \frac{\alpha}{z} + \frac{\alpha^2}{1-\alpha z}$.

► Pseudospectrum $T + \varepsilon E$ is the right thing

► $\lim_{\varepsilon \rightarrow 0} \lim_{n \rightarrow \infty} \text{spec}(T + \varepsilon E)$

Being exact is wrong.

Being (slightly) wrong is correct.

Spectrum of exact finite T gives an incorrect rate λ_2

Spectrum of noisy T gives the correct λ_{ph}

BW configuration with OBC

► T is symmetric tridiagonal, the same λ_j as for S

► no phantoms, $I(t) \asymp (2\alpha)^{2t} = \left(\frac{2d}{d^2+1}\right)^{2t}$

(known before, Haah et al. PRX'18; Sondhi et al. PRX'18; You et al. PRB '20)

- Iterating **non-Hermitian** matrix M

$$I(t) = M^t I(0)$$

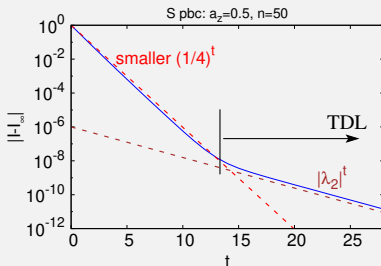
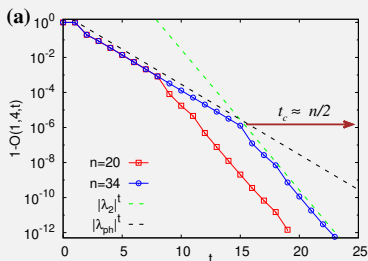
examples: purity, OTOC, ... (shows also in von Neumann $S(t)$)

beware of λ_2 :

Physically relevant relaxation often **not** $\sim \lambda_2^t$!

slower:

faster:



Non-commutativity: $\lim_{L \rightarrow \infty} \lim_{t \rightarrow \infty} \neq \lim_{t \rightarrow \infty} \lim_{L \rightarrow \infty}$

Expansion coefficients can blow-up in a **many-body** system

- Common: Floquet, random circuits

► Purity:

Gate	Protocol		Rate r_E	Reference
	Configuration	Boundary conditions		
1-dim.(NN):				
U(4)	Random	PBC	$\frac{2}{3} \approx 0.4$	[24]
U(4)	Random	OBC	$\frac{1}{2} \approx 0.2$	[24]
U(4)	Permutation	OBC	$\ln \frac{2}{3} \approx 0.40$	[34]
U(4)	BW	PBC	$4 \ln \frac{2}{3} \approx 0.89$	
U(4)	BW	OBC	$2 \ln \frac{2}{3} \approx 0.45$	[4,5,35]
∞ -dim. (all-all):				
U(4)	Random		$\frac{5}{3} \approx 1.2$	[24,25]
XY, CNOT	Random		$\frac{4}{3} \approx 1.33$	[24]

Gate	Protocol		Rate r_E	$-\ln \lambda_2 $ (for XY)
	Configuration	Boundary conditions		
1-dim.(NN):				
XXZ	BW	PBC	$\ln 16 \approx 2.77$	$\ln 9 \approx 2.20$
XXZ	BW	OBC	$\ln 4 \approx 1.39$	$\ln 4 \approx 1.39$
XXZ	S	PBC	$\ln 4 \approx 1.39$	$\ln 3 \approx 1.10$
XXZ	S	OBC	$\ln 2 \approx 0.69$	$\ln 4 \approx 1.39$
CNOT	BW	PBC	≈ 0.591	
CNOT	BW	OBC	≈ 0.284	
CNOT	S	PBC	≈ 0.570	
CNOT	S	OBC	≈ 0.296	

Configuration	Gate	
	XY, $a_z = 0$	XXZ, $a_z \in (0, 1)$
BW OBC	λ_2	λ_2 [$a_z \in (0, a_c)$], faster
BW PBC	Faster	Faster
S OBC	Phantom	Phantom, faster
S PBC	Faster	Faster

► OTOC:

Gate	Protocol		Phantom	True eig.
	config.	b.c.	λ_{ph}	$ \lambda_2 $
W_g $\mathbf{a} = (0.5, 0.3, 0.1)$	S	OBC	no	$0.86 = \lambda_2 _{obc}$
	S	PBC	$0.86 = \lambda_2 _{obc}$	0.74
	BW	OBC	no	$0.86 = \lambda_2 _{obc}$
	BW	PBC	$0.86 = \lambda_2 _{obc}$	0.73
XXZ e.g. $\mathbf{a} = (1, 1, 0.5)$	S	OBC	$\frac{2}{3} = \lambda_2 _{Spbc}$	0.45
	S	PBC	no	$\frac{2}{3} = \lambda_2 _{Spbc}$
	BW	OBC	$\frac{2}{3} = \lambda_2 _{Spbc}$	0.45
	BW	PBC	$\frac{2}{3} = \lambda_2 _{Spbc}$	$\frac{4}{9} = \lambda_2 _{BWpbc}$