



FINITE FIELDS, PERMUTATION POLYNOMIALS. COMPUTATIONAL ASPECTS WITH APPLICATIONS TO PUBLIC KEY CRYPTOGRAPHY

King Fahd University of Petroleum and Minerals

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WORKSHOP ON INDUSTRIAL MATHEMATICS

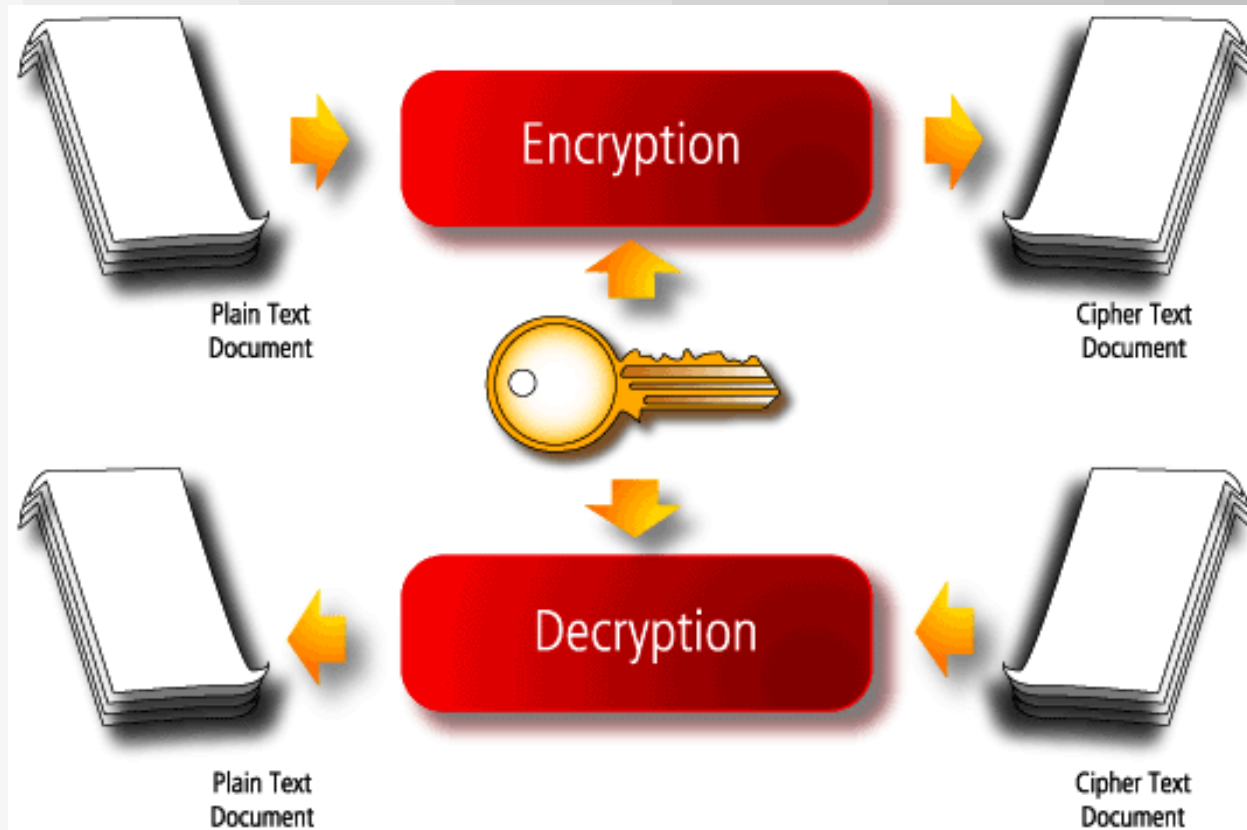
MARCH 1, 2004



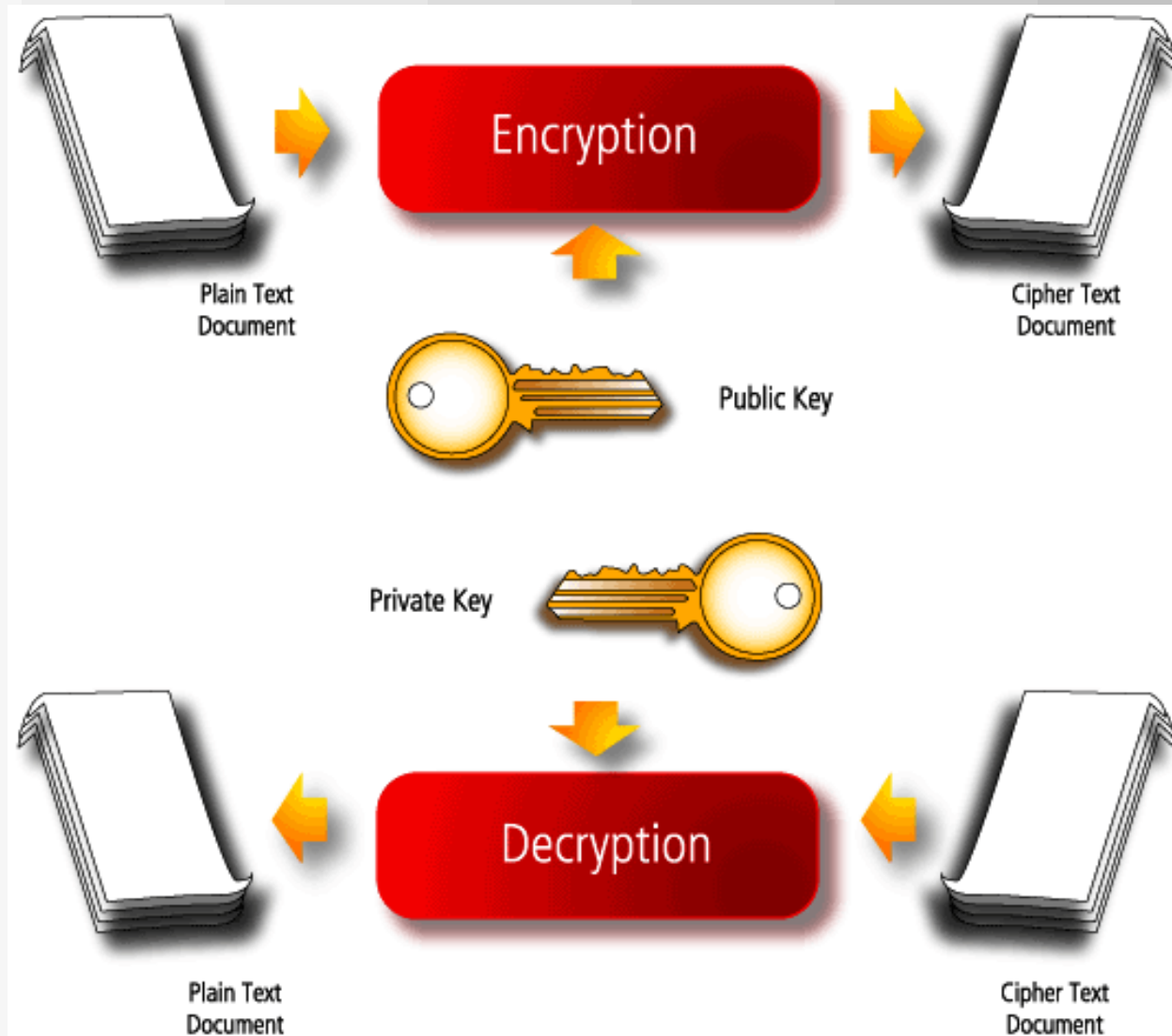
Private key *versus* Public Key



Private key versus Public Key



Private key versus Public Key



Classical General Examples of PKC

①

②

③



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① (1976) Diffie Hellmann Key exchange protocol

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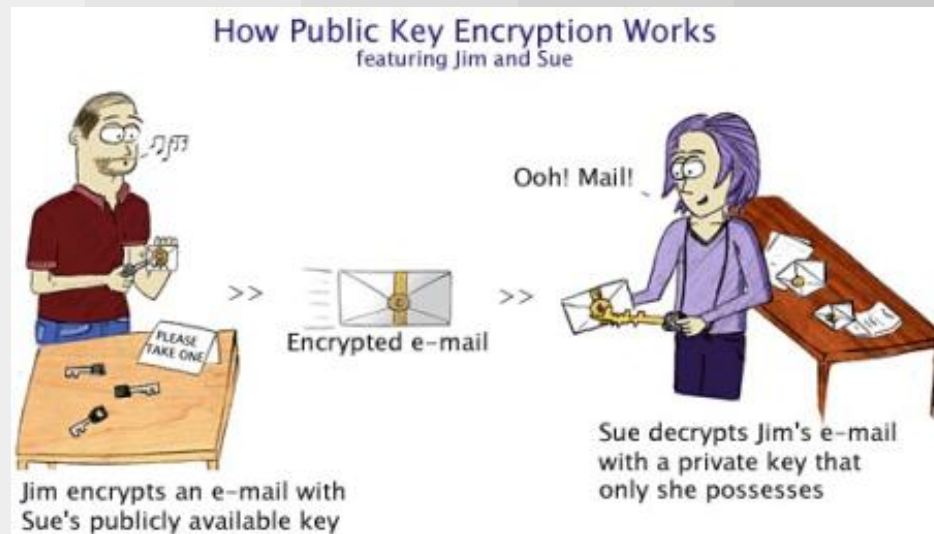
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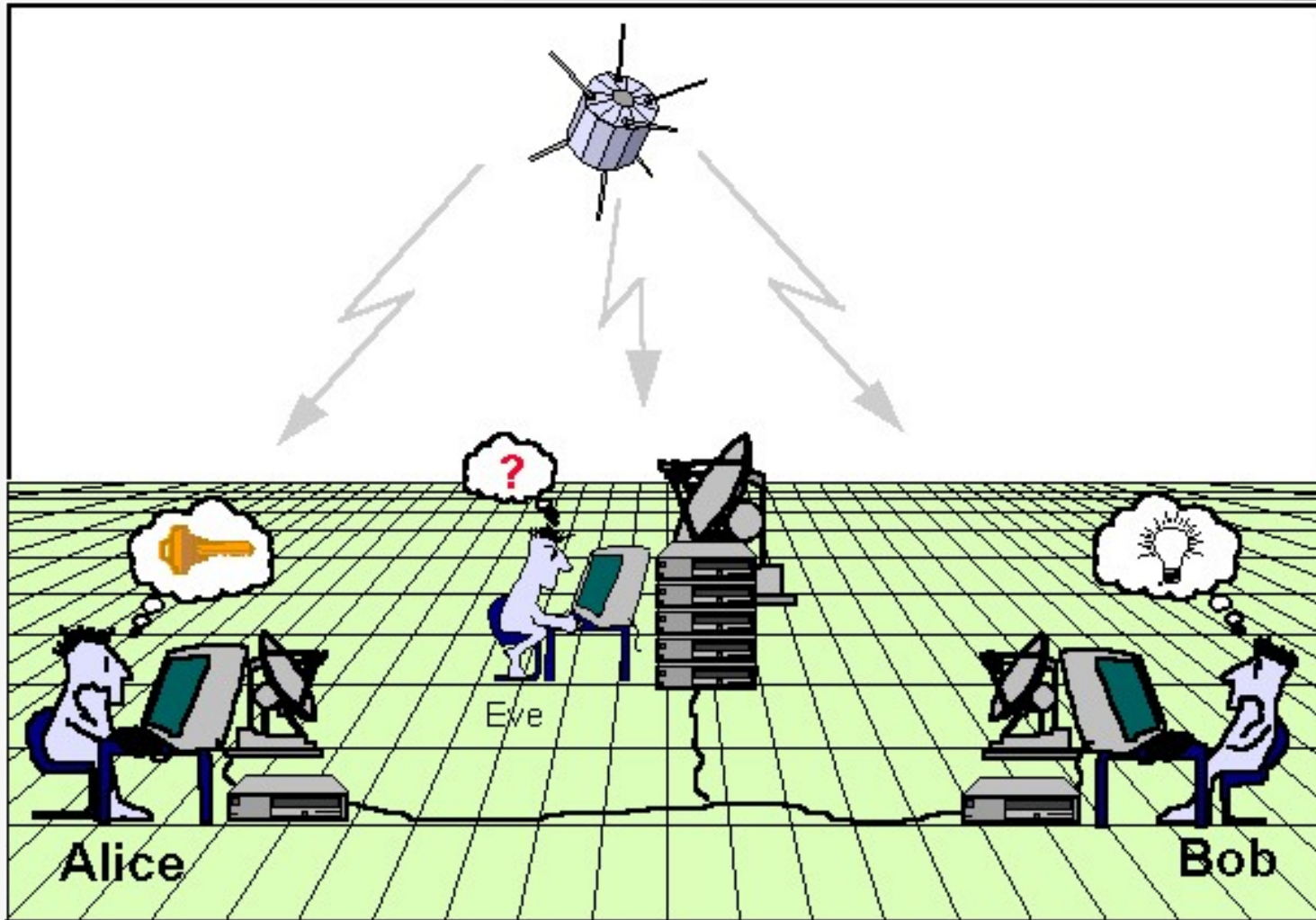


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Diffie-Hellmann key exchange 1/5



Diffie–Hellmann key exchange 2/5



Diffie–Hellmann key exchange 2/5

- 1
- 2
- 3
- 4
- 5



Diffie–Hellmann key exchange 2/5

- 1 Alice and Bob agree on a prime p and a generator g in $\mathbb{Z}/p\mathbb{Z}$
- 2
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Diffie–Hellmann key exchange 2/5

- ① Alice and Bob agree on a prime p and a generator g in $\mathbb{Z}/p\mathbb{Z}$
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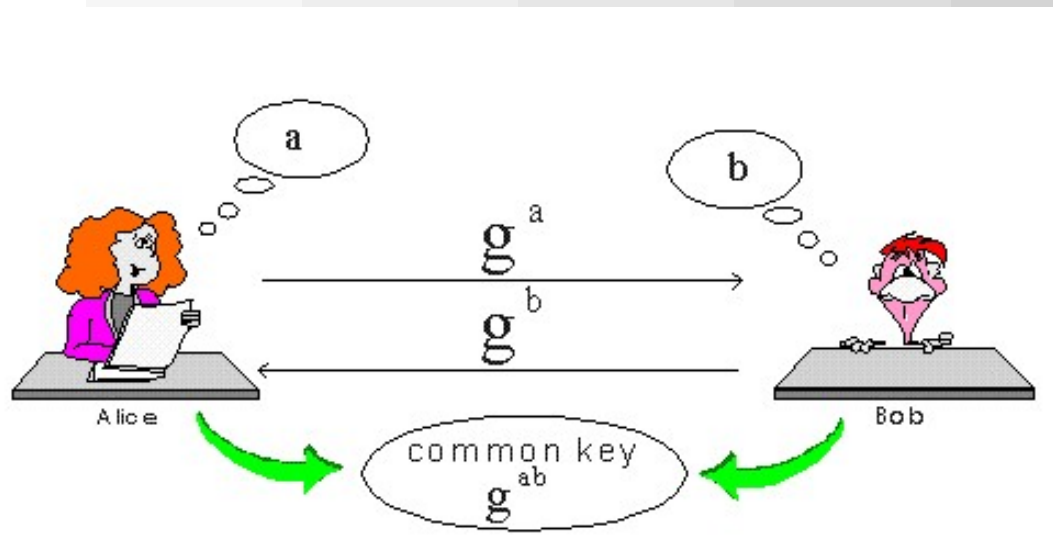
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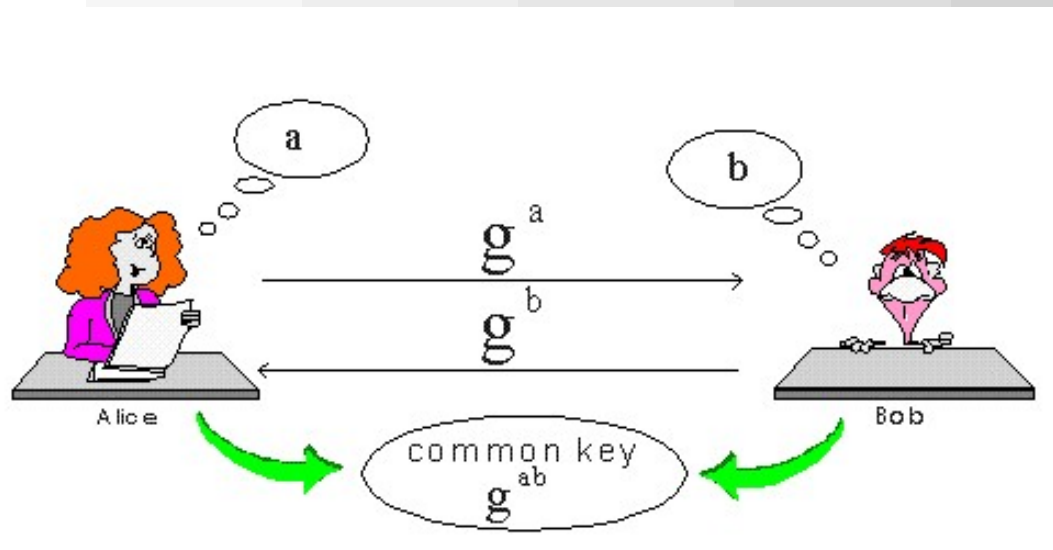
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what is a generator of $\mathbb{Z}/p\mathbb{Z}$?

Diffie–Hellmann key exchange 3/5



Diffie–Hellmann key exchange 3/5

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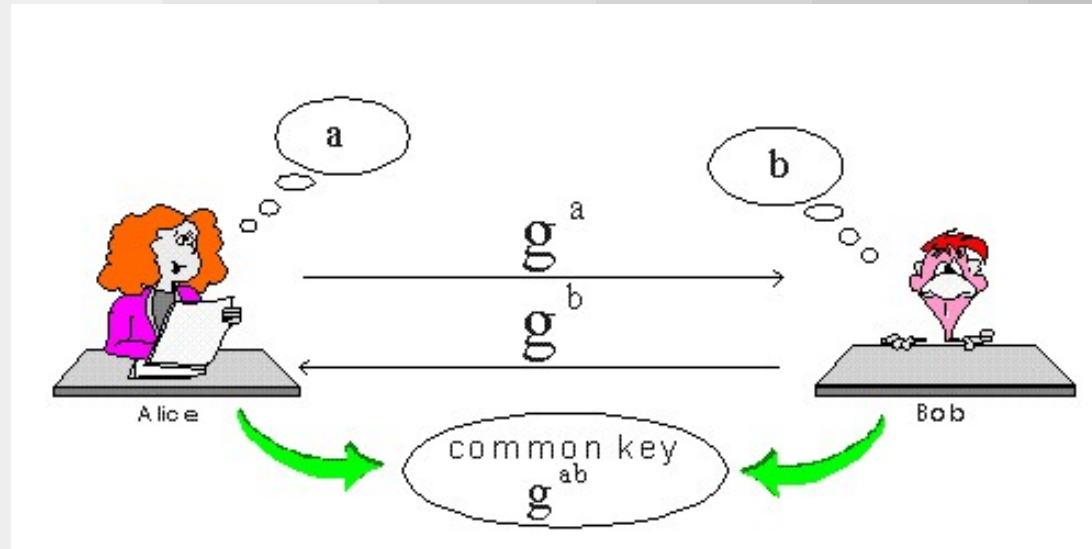


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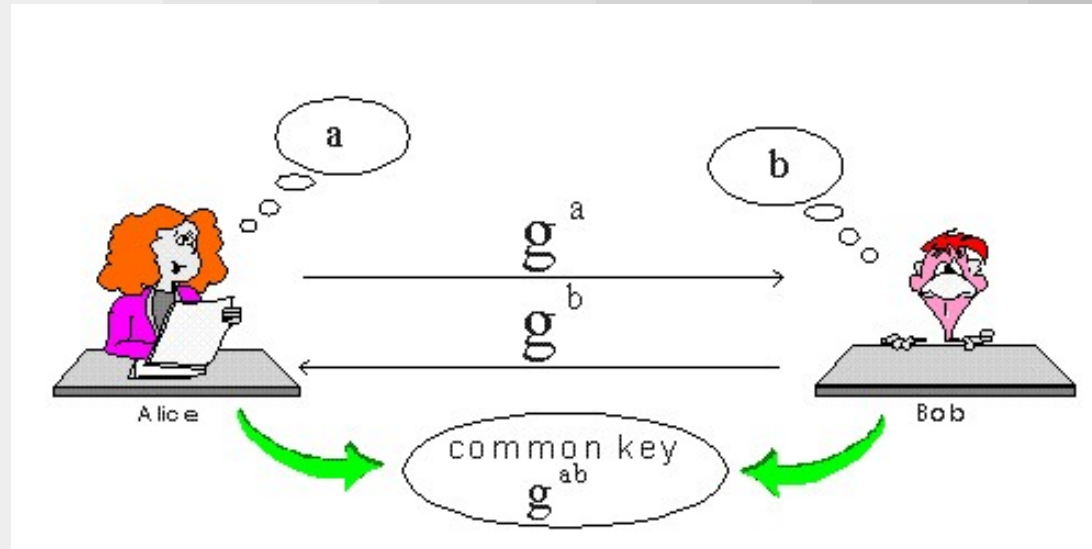
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- In other words: for all $b \in \mathbb{Z}/p\mathbb{Z}, b \neq 0$, there exists an exponent $i \in \{0, 1, \dots, p - 1\}$ such that $b = g^i \bmod p$
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- Computing discrete logs appears infeasible in general



Diffie-Hellmann key exchange 4/5

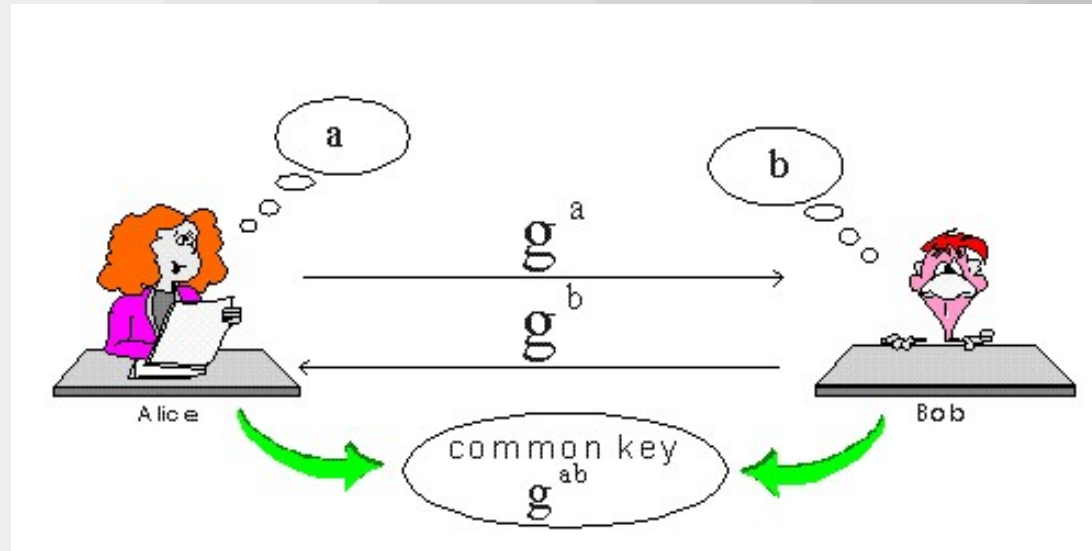


Diffie-Hellmann key exchange 4/5



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Diffie–Hellmann key exchange 4/5

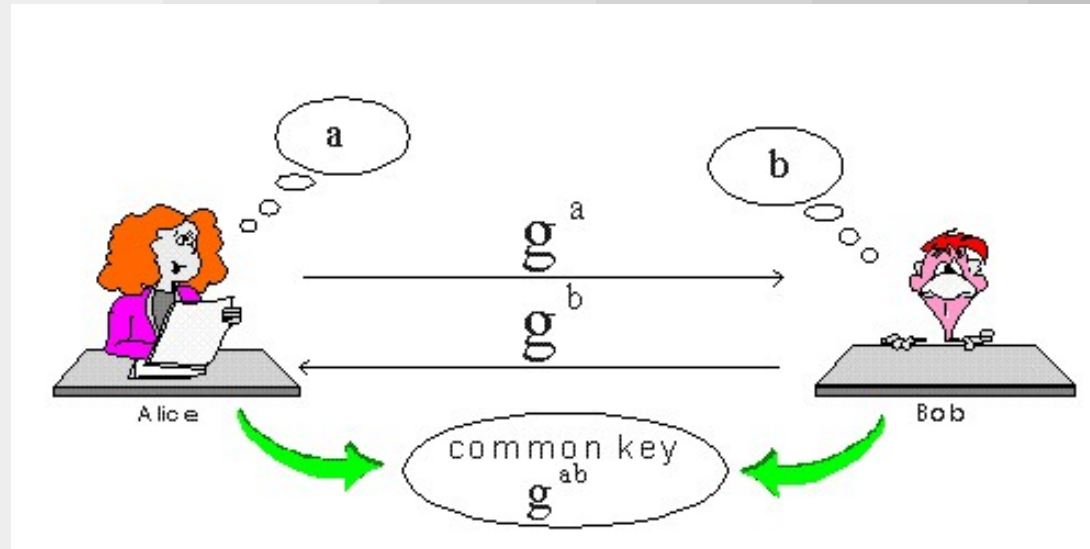


☞ Eve knows g^a , g^b but would like to compute g^{ab} ;

☞

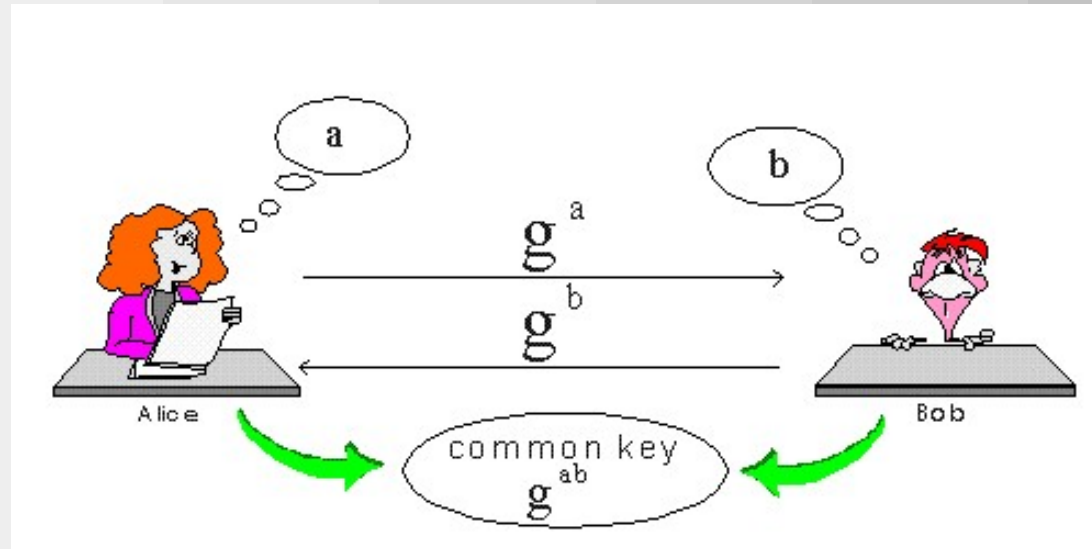
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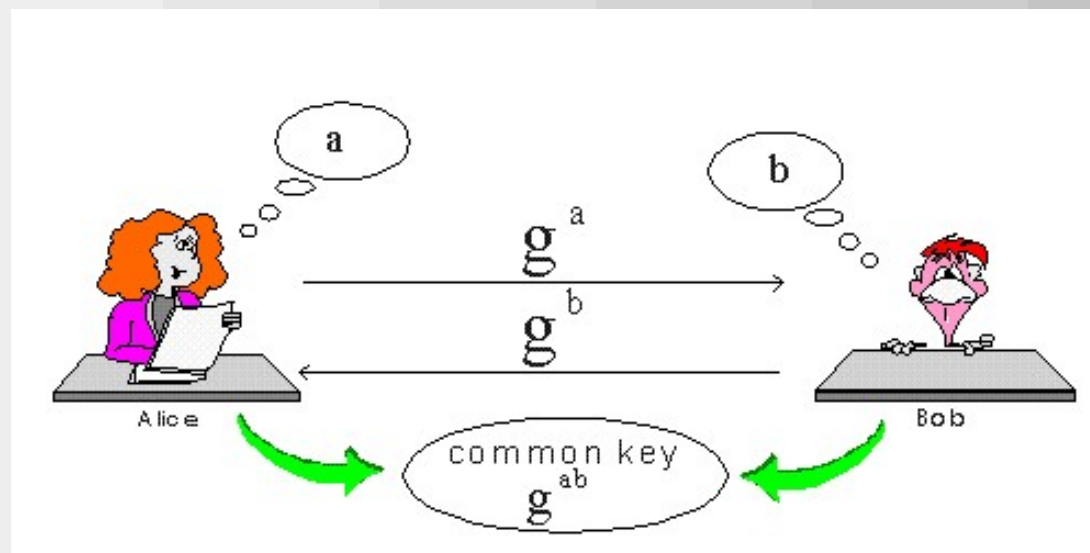
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$$g^X \equiv \alpha \pmod{p}$$

Diffie–Hellmann key exchange 5/5



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A “cryptographically meaningful size” example:



Diffie–Hellmann key exchange 5/5

A “cryptographically meaningful size” example:

$p = 370273307460967425842481081357528298315386585184169353328410050632472746552261503118421027658$
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$b = 202628627712040976052737350793757540205242681192017941068774728007392912193775762330719406560$
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 $18479146351026737882783508710913577680$

$5^b = 287293760357523957032946092556813694596882586743260552838382768832192594422702357607546631218$
 $64001485395789301444617793223201594706097398360331195161213836214741498824201098331045762$
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$5^{ab} = 36674172125349300306071275329964633749875664216293811088694156172838197865927916343627669411$
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Discrete Logarithms Computation



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Some classical algorithms:



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NOTE: The last two are "very special" for $\mathbb{Z}/p\mathbb{Z}$



Discrete Logarithms computation Records 1/2

A. Joux et R. Lercier, 1998.



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Discrete Logarithms computation Records 1/2

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$$\begin{aligned} p &= \lfloor 10^{89} \pi \rfloor + 156137 \\ &= 314159265358979323846264338327950288419716939937510582097494459230781640628620899862959619, \\ g &= 2, \end{aligned}$$

$$\begin{aligned} y &= \lfloor 10^{89} e \rfloor \\ &= 271828182845904523536028747135266249775724709369995957496696762772407663035354759457138217 \end{aligned}$$

$$2^X \equiv y \pmod{p}$$

$$y = g^{1767138072114216962732048234071620272302057952449914157493844716677918658538374188101093},$$

$$y + 1 = g^{31160419870582697488207880919786823820449120001421617617058468654271221802926927230033421},$$



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 y + 2 &= g^{308988329335044525333827764914501407237168034577534227927033783999866774252739278678837301}, \\
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 \end{aligned}$$

It took 4.5 months... on a Pentium PRO 180 MHz



Discrete Logarithms computation Records 2/2



Discrete Logarithms computation Records 2/2

A. Joux et R. Lercier (CNRS / Ecole Polytechnique)



Discrete Logarithms computation Records 2/2

A. Joux et R. Lercier (CNRS / Ecole Polytechnique)

①

②

③



Discrete Logarithms computation Records 2/2

A. Joux et R. Lercier (CNRS / Ecole Polytechnique)

① 1999 $p \cong 10^{100}$

②

③



Discrete Logarithms computation Records 2/2

A. Joux et R. Lercier (CNRS / Ecole Polytechnique)

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500MHz quadri-processors Dec Alpha Server

②

③



Discrete Logarithms computation Records 2/2

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$$p = \lfloor 10^{119} \pi \rfloor + 207819, g = 2$$



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$$y = \lfloor 10^{119} \rfloor$$

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ElGamal Cryptosystem 1/2

Alice wants to send a message $x \in \mathbb{Z}/p\mathbb{Z}$ to Bob



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- 2



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- ②
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$$E(x) = (\alpha, \gamma) \in \mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/p\mathbb{Z}$$



ElGamal Cryptosystem 2/2



ElGamal Cryptosystem 2/2

DECRYPTION: (Bob)



ElGamal Cryptosystem 2/2

DECRYPTION: (Bob)

①

②



ElGamal Cryptosystem 2/2

DECRYPTION: (Bob)

① **Bob** computes

②



ElGamal Cryptosystem 2/2

DECRYPTION: (Bob)

① **Bob** computes

$$D(\alpha, \gamma) = \gamma \cdot \alpha^{p-1-b} \bmod p$$

②



ElGamal Cryptosystem 2/2

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ElGamal Cryptosystem 2/2

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since $g^{k(p-1)} \bmod p = 1$ by



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Eve can decrypt the message if he can compute the discrete logarithm X ,



ElGamal Cryptosystem 2/2

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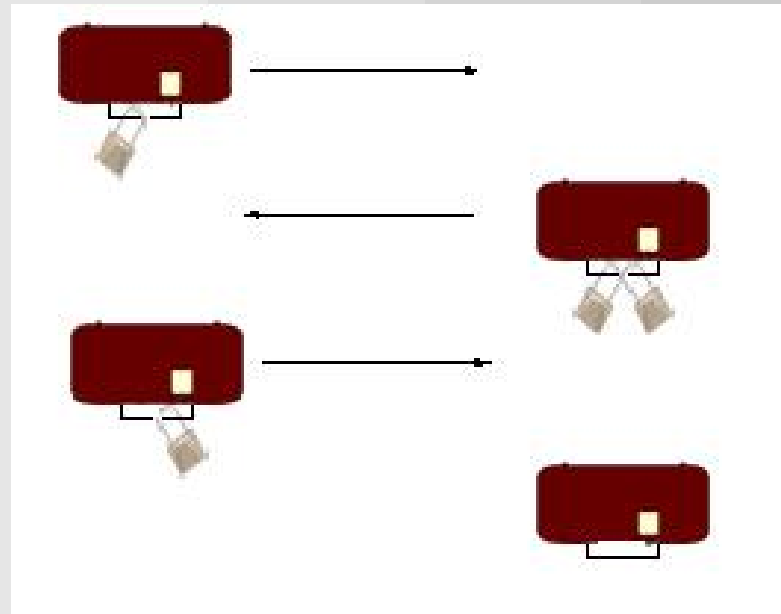
$$\beta = g^X \bmod p$$



Massey Omura 1/2



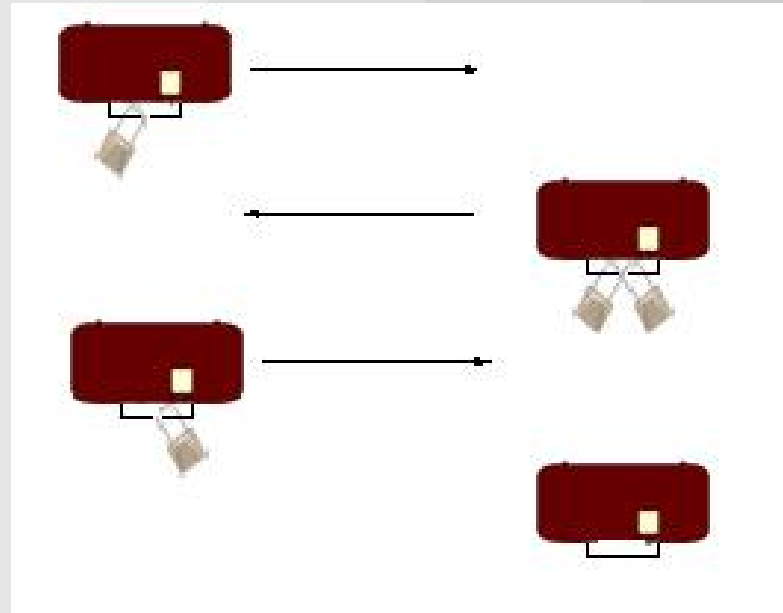
Massey Omura 1/2



Alice

Bob

Massey Omura 1/2

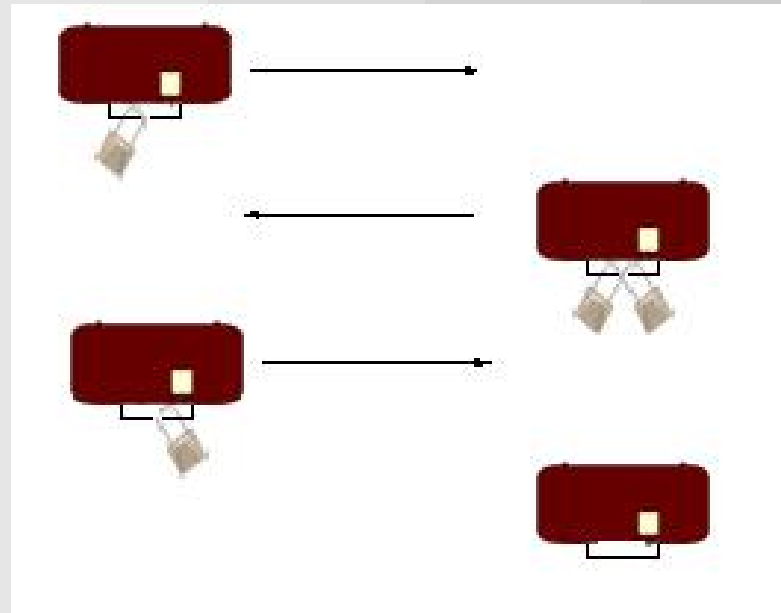


Alice

Bob

- ①
- ②
- ③
- ④
- ⑤

Massey Omura 1/2

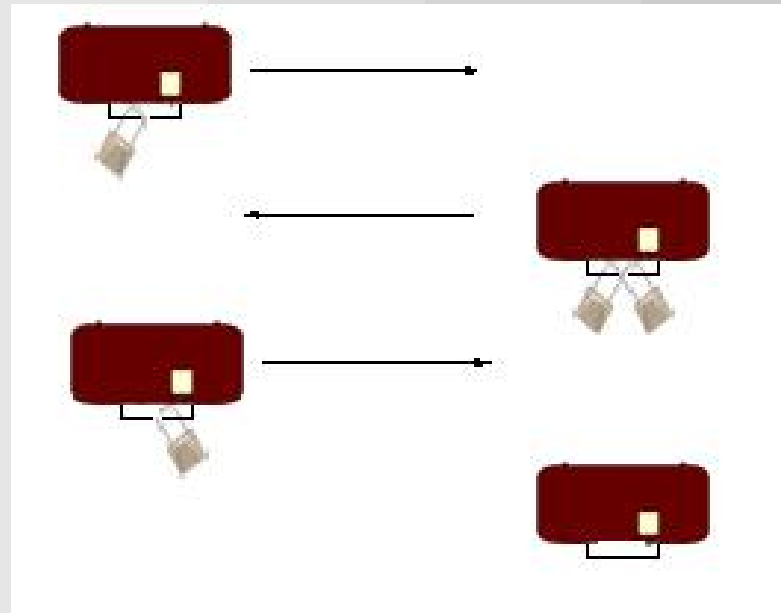


Alice

Bob

- ① Alice and Bob each picks a secret key $k_A, k_B \in \{1, \dots, p-1\}$
- ②
- ③
- ④
- ⑤

Massey Omura 1/2

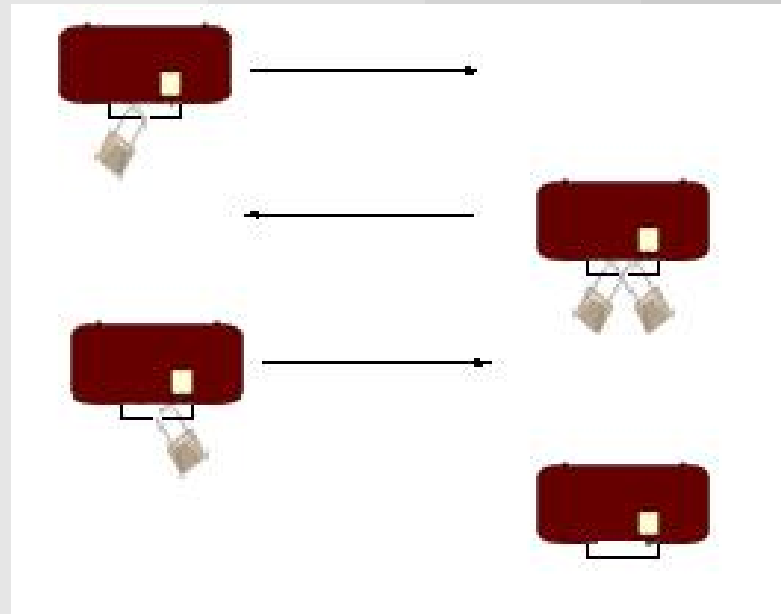


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- ① Alice and Bob each picks a secret key $k_A, k_B \in \{1, \dots, p-1\}$
- ② They compute $l_A, l_B \in \{1, \dots, p-1\}$ such that
- ③
- ④
- ⑤

Massey Omura 1/2

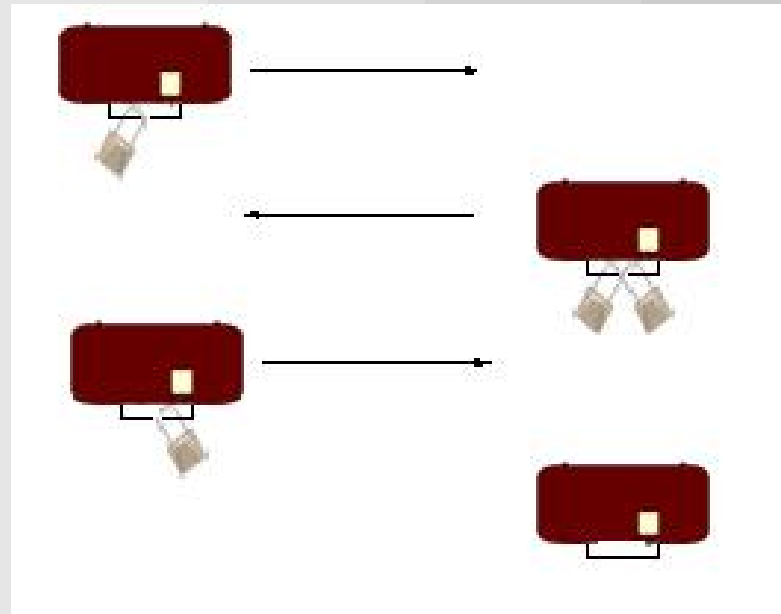


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Massey Omura 1/2

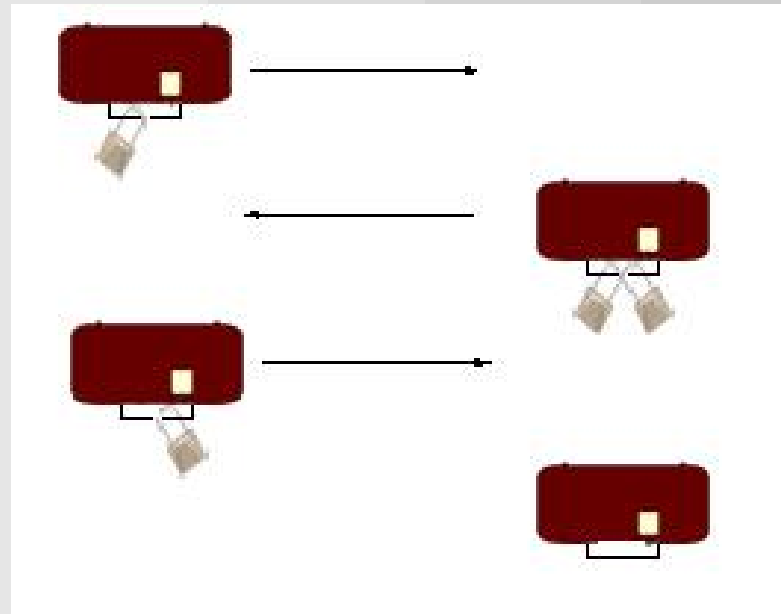


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- ③ $k_A l_A = 1 \pmod{p-1}$ and $k_B l_B = 1 \pmod{p-1}$
- ④ **Alice** key is (k_A, l_A) (k_A to lock and l_A to unlock)
- ⑤

Massey Omura 1/2

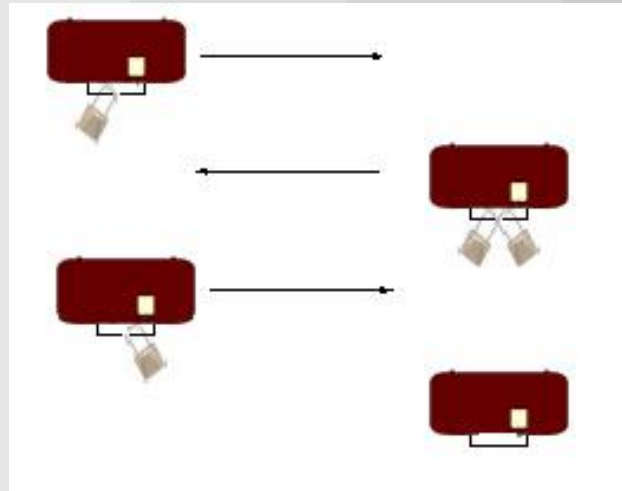


Alice

Bob

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- ⑤ **Bob** key is (k_B, l_B) (k_B to lock and l_B to unlock)

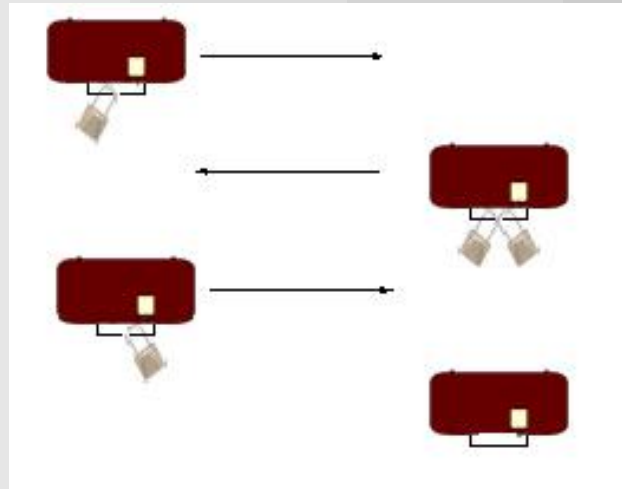
Massey Omura 2/2



Alice (k_A, l_A)

Bob (k_B, l_B)

Massey Omura 2/2



Alice (k_A, l_A)

Bob (k_B, l_B)

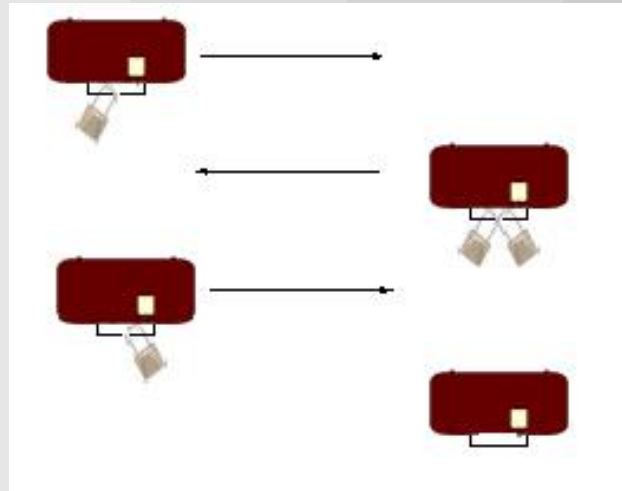
①

②

③

④

Massey Omura 2/2



Alice (k_A, l_A)

Bob (k_B, l_B)

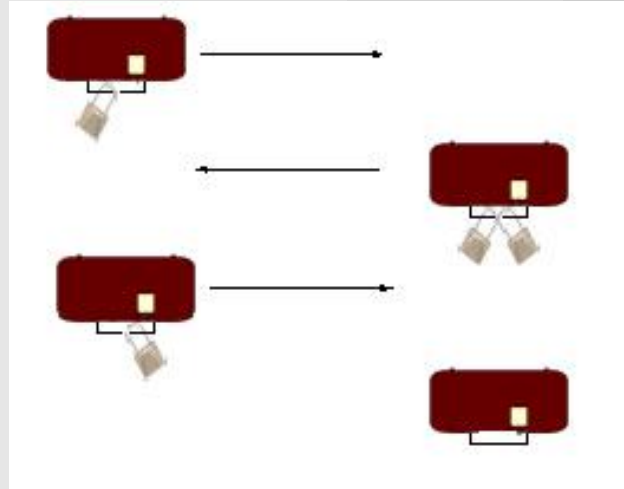
① To send the message P , Alice computes and sends $M = P^{k_A} \bmod p$

②

③

④

Massey Omura 2/2

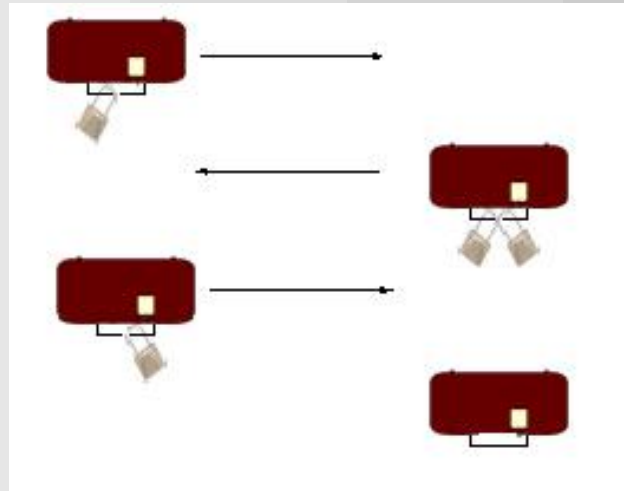


Alice (k_A, l_A)

Bob (k_B, l_B)

- ① To send the message P , Alice computes and sends $M = P^{k_A} \bmod p$
- ② Bob computes and sends back $N = M^{k_B} \bmod p$
- ③
- ④

Massey Omura 2/2

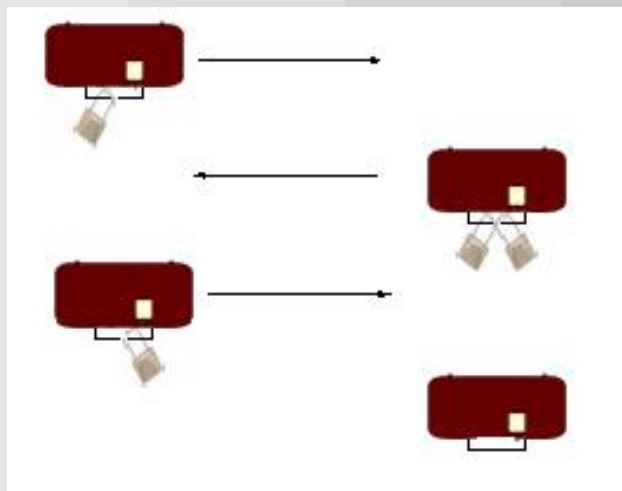


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- ③ Alice computes $L = N^{l_A} \pmod p$ and sends it back to Bob
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Massey Omura 2/2

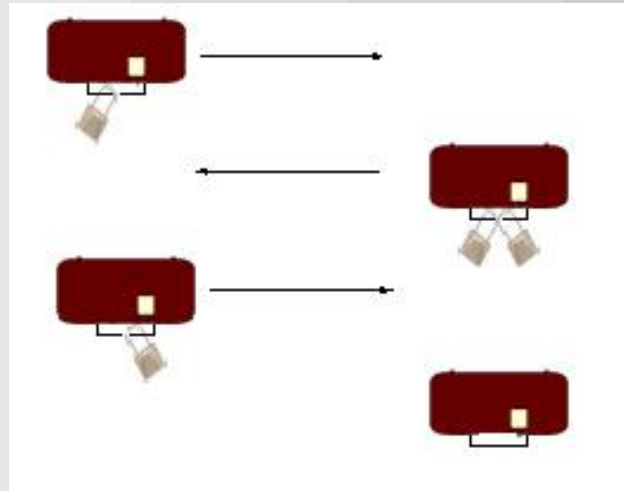


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Massey Omura 2/2



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It works: $P = L^{l_B} = N^{l_A l_B} = M^{k_B l_A l_B} = P^{k_A k_B l_A l_B}$ by Fermat Little Theorem

From $\mathbb{Z}/p\mathbb{Z}$ to cyclic groups



From $\mathbb{Z}/p\mathbb{Z}$ to cyclic groups

We can substitute $\mathbb{Z}/p\mathbb{Z}$ with a set G where it is possible to compute powers P^a and there is a generator (there is $g \in G$ such that for each $\alpha \in G$, $\alpha = g^i$ for a suitable i); cyclic groups



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➤ $\mathbb{F}_{p^m}^* = \mathbb{F}_{p^m} \setminus \{0\}$ is a cyclic group under multiplication



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➡ Good to find f sparse



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More on interpolation in \mathbb{F}_q



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Better if they are \rightsquigarrow Permutation polynomials



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Problem. Find new classes of PP



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(S. Konyagin, FP – 2002) $M_q = \{\sigma \in \mathcal{S}(\mathbb{F}_q) \mid \partial f_\sigma < q-2\}$

$$|\#M_q - (q-1)!| \leq \sqrt{2e/\pi} q^{q/2}$$



A recent result



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Theorem *S. Konyagin, FP – 2003*

Let $\alpha = (e - 2)/3e = 0.08808\dots$ and $d < \alpha q$. Then

$$\left| \mathcal{N}_d - \frac{q!}{q^d} \right| \leq 2^d d q^{2+q-d} \binom{q}{d} \left(\frac{2d}{q-d} \right)^{(q-d)/2}.$$

It follows that

$$\mathcal{N}_d \sim \frac{q!}{q^d}$$

if $d \leq \alpha q$ and $\alpha < 0.03983$



Other ways of counting

If $\sigma \in \mathcal{S}(\mathbb{F}_q)$,

$$c_\sigma = \#\{a \in \mathbb{F}_q \mid \sigma(a) = a\}$$

$$\sigma \neq id \Rightarrow q - c_\sigma \leq \partial f_\sigma \leq q - 2$$

(since $f_\sigma(x) - x$ has at least $q - c_\sigma$ roots)

Consequences.

- ☞ 2-cycles have degree $q - 2$
- ☞ 3-cycles have degree $q - 2$ or $q - 3$
- ☞ k -cycles have degree in $[q - k, q - 2]$

$$(Wells) \quad \#\{\sigma \in 3\text{-cyle}, \partial(f_\sigma) = q - 3\} = \begin{cases} \frac{2}{3}q(q - 1) & q \equiv 1 \pmod{3} \\ 0 & q \equiv 0 \pmod{3} \\ \frac{1}{3}q(q - 1) & q \equiv 2 \pmod{3} \end{cases}$$



More enumeration functions

- ☞ σ_1, σ_2 conjugated $\Rightarrow c_{\sigma_1} = c_{\sigma_2}$
- ☞ \mathcal{C} conjugation class of permutations
- ☞ $c_{\mathcal{C}} = \#\{\text{elements} \in \mathbb{F}_q \text{ moved by any } \sigma \in \mathcal{C}\}$
(i.e. $c_{\mathcal{C}} = c_{\sigma}$ for any $\sigma \in \mathcal{C}$ $q - c_{\mathcal{C}} \leq f_{\sigma}$)
- ☞ $\mathcal{C} = [k] = k\text{-cycles} \Rightarrow c_{[k]} = k$
- ☞ Natural enumeration functions:
 - ✗ $m_{\mathcal{C}}(q) = \#\{\sigma \in \mathcal{C}, \partial f_{\sigma} = q - c_{\mathcal{C}}\}$ (minimal degree)
 - ✗ $M_{\mathcal{C}}(q) = \#\{\sigma \in \mathcal{C}, \partial f_{\sigma} < q - 2\}$ (non-maximal degree)



Permutation Classes with non maximal degree

Let $\mathcal{C} = (m_1, \dots, m_t)$ be the class of permutations with m_1 1-cycles, \dots , m_t t -cycles. The number $c_{\mathcal{C}}$ of elements in \mathbb{F}_q moved by any element of \mathcal{C} is

$$c_{\mathcal{C}} = 2m_2 + 3m_3 + \dots + tm_t$$

$$M_{\mathcal{C}}(q) = \#\{\sigma \in \mathcal{C}, \partial f_{\sigma} < q - 2\}$$

THEOREM 1 (C. Malvenuto, FP - 2002). $\exists N = N_{\mathcal{C}} \in \mathbb{N}$, $f_1, \dots, f_N \in \mathbb{Z}[x]$, f_i monic, $\partial f_i = c_{\mathcal{C}} - 3$ such that if $q \equiv a \pmod{N}$, then

$$M_{\mathcal{C}}(q) = \frac{q(q-1)}{m_2! 2^{m_2} \dots m_t! t^{m_t}} f_a(q)$$



k -cycles with minimal degree

$$m_{[k]}(q) = \#\{\sigma \text{ } k\text{-cycle}, \partial f_\sigma = q - k\}$$

THEOREM 2 (C. Malvenuto, FP - 2003).

• If $q \equiv 1 \pmod k \Rightarrow$

$$m_{[k]}(q) \geq \frac{\varphi(k)}{k} q(q-1).$$

• If $q = p^f$, $p \geq 2 \cdot 3^{\lfloor k/3 \rfloor - 1} \Rightarrow$

$$m_{[k]}(q) \leq \frac{(k-1)!}{k} q(q-1).$$



Consequences of Theorem 1

$$\boxtimes \frac{M_{\mathcal{C}}(q)}{\#\mathcal{C}} = \frac{1}{q} + O\left(\frac{1}{q^2}\right)$$

\boxtimes If \mathcal{C} is fixed,

$$\text{Prob}(\partial f_{\sigma} < q - 2 \mid \sigma \in \mathcal{C}) \sim \frac{1}{q}$$

\boxtimes If $q = 2^r$, \mathcal{C}_r is the conjugation class of r transposition,

$$M_{\mathcal{C}_r}(q) = \frac{q!}{r!2^r(q-2r+1)!} - \frac{q-2(r-1)(2r-1)}{2r} M_{\mathcal{C}_{r-1}}(q)$$

\boxtimes One can compute $M_{\mathcal{C}}(q)$ for $c_{\mathcal{C}} \leq 6$



Table 1. $\#c_c \leq 6$, (q odd)

$$\times \quad M_{[4]}(q) = \frac{1}{4}q(q-1)(q-5-2\eta(-1)-4\eta(-3))$$

$$\times \quad M_{[2 \ 2]}(q) = \frac{1}{8}q(q-1)(q-4)\{1+\eta(-1)\}$$

$$\times \quad M_{[5]}(q) = \frac{1}{5}q(q-1)(q^2 - (9 - \eta(5) - 5\eta(-1) + 5\eta(-9))q + \\ + 26 + 5\eta(-7) + 15\eta(-3) + 15\eta(-1))$$

$$\times \quad M_{[2 \ 3]}(q) = \frac{1}{6}q(q-1)(q^2 - (9 + \eta(-3) + 3\eta(-1))q + \\ + (24 + 6\eta(-3) + 18\eta(-1) + 6\eta(-7))) + \\ \eta(-1)(1 - \eta(9))q(q-5).$$



Table 2. $\#c_c \leq 6$, (q even)

$$\text{✂} \quad M_{[4]}(2^n) = \frac{1}{4} 2^n (2^n - 1)(2^n - 4)(1 + (-1)^n)$$

$$\text{✂} \quad M_{[2 \ 2]}(2^n) = \frac{1}{8} 2^n (2^n - 1)(2^n - 2)$$

$$\text{✂} \quad M_{[5]}(2^n) = \frac{1}{5} 2^n (2^n - 1)(2^n - 3 - (-1)^n)(2^n - 6 - 3(-1)^n)$$

$$\text{✂} \quad M_{[2 \ 3]}(2^n) = \frac{1}{6} 2^n (2^n - 1)(2^n - 3 - (-1)^n)(2^n - 6).$$

Table 3. $\#c_c = 6$, (q odd, $3 \nmid q$)

$$M_{[6]}(q) = \frac{q(q-1)}{6} \{q^3 - 14q^2 + [68 - 6\eta(5) - 6\eta(50)]q - [154 + 66\eta(-3) + 93\eta(-1) + 12\eta(-2) + 54\eta(-7)]\}$$

$$M_{[4 \ 2]}(q) = \frac{q(q-1)}{8} (q^3 - [14 - \eta(2)]q^2 + [71 + 12\eta(-1) + \eta(-2) + 4\eta(-3) - 8\eta(50)]q - [148 + 100\eta(-1) + 24\eta(-2) + 44\eta(-3) + 40\eta(-7)])$$

$$M_{[3 \ 3]}(q) = \frac{q(q-1)}{18} (q^3 - 13q^2 + [62 + 9\eta(-1) + 4\eta(-3)]q - [150 + 99\eta(-1) + 42\eta(-3) + 72\eta(-7)])$$

$$M_{[2 \ 2 \ 2]}(q) = \frac{q(q-1)}{48} (q^3 - [14 + 3\eta(-1)]q^2 + [70 + 36\eta(-1) + 6\eta(-2)]q - [136 + 120\eta(-1) + 48\eta(-2) + 8\eta(-3)])$$



Table 4. $\#c_c = 6$

$$M_{[6]}(3^n) = \frac{3^n(3^n-1)}{6} \{3^{3n} - [14 + 2(-1)^n]3^{2n} + [71 + 39(-1)^n]3^n - [162 + 147(-1)^n]\}$$

$$M_{[4 \ 2]}(3^n) = \frac{3^n(3^n-1)}{8} \{3^{3n} - [14 + 3(-1)^n]3^{2n} + [72 + 40(-1)^n]3^n - [164 + 140(-1)^n]\}$$

$$M_{[3 \ 3]}(3^n) = \frac{3^n(3^n-1)}{18} \{(1 + (-1)^n)3^{3n} - [14 + 15(-1)^n]3^{2n} + [71 + 81(-1)^n]3^n - [150 + 171(-1)^n]\}$$

$$M_{[2 \ 2 \ 2]}(3^n) = \frac{3^n(3^n-1)}{48} \{3^{3n} - [14 + 3(-1)^n]3^{2n} + [76 + 36(-1)^n]3^n - [168 + 120(-1)^n]\}$$



Table 5. $\#c_C = 6$

$$M_{[6]}(2^n) = \frac{2^n(2^n-1)}{6} \{(2^n - 3 - (-1)^n)(2^{2n} - (11 - (-1)^n)2^n + (41 + 7(-1)^n))\}$$

$$M_{[4 \ 2]}(2^n) = \frac{2^n(2^n-1)}{8} \{(2^n - 3 - (-1)^n)(2^{2n} - 11 \cdot 2^n + 37 + (-1)^n)\}$$

$$M_{[3 \ 3]}(2^n) = \frac{2^n(2^n-1)}{18} \{(2^n - 3 - (-1)^n)(2^{2n} - (10 - (-1)^n)2^n + 45 - 3(-1)^n)\}$$

$$M_{[2 \ 2 \ 2]}(2^n) = \frac{2^n(2^n-1)}{48} \{(2^n - 2)(2^n - 4)(2^n - 8)\}$$



Sketch of the Proof of Theorem 2. (1/3)

STEP 1. Translate the problem into one on counting points of an algebraic varieties

$$m_k(q) = \frac{q(q-1)}{k} n_k(q)$$

where $n_k(q) = \{\sigma \in [k] \mid \partial f_\sigma = q - k, \sigma(0) = 1\}$.

Need to show $|n_k(q)| \leq (k-1)!$. Now

$$f_\sigma(x) = \sum_{c \in \mathbb{F}_q} \sigma(c) (1 - (x - c)^{q-1}) = A_1 x^{q-2} + A_2 x^{q-3} + \dots + A_{q-1}.$$

$$\text{with } A_j = \sum_{c \in \mathbb{F}_q} \sigma(c) c^j = \sum_{c \in \mathbb{F}_q} \sigma(c) (c^j - c^{j-1}) = \sum_{\substack{c \in \mathbb{F}_q \\ \sigma(c) \neq c}} (\sigma(c) - c) c^j.$$



Sketch of the Proof of Theorem 2. (2/3)

If $\sigma = (0, 1, x_1, x_2, \dots, x_{k-2}) \in \mathcal{S}(\mathbb{F}_q)$,

$$A_j(\sigma) = (1 - x_1) + (x_1 - x_2)x_1^j + \dots + (x_{k-2} - x_{k-2})x_{k-3}^j + x_{k-2}^{j+1}.$$

Def. (Affine k -th Silvia set)

$$\mathcal{A}_k : \begin{cases} (1 - x_1) + x_1(x_1 - x_2) + \dots + x_{k-3}(x_{k-3} - x_{k-2}) + x_{k-2}^2 & = 0 \\ (1 - x_1) + x_1^2(x_1 - x_2) + \dots + x_{k-3}^2(x_{k-3} - x_{k-2}) + x_{k-2}^3 & = 0 \\ \vdots & \\ (1 - x_1) + x_1^{k-2}(x_1 - x_2) + \dots + x_{k-3}^{k-2}(x_{k-3} - x_{k-2}) + x_{k-2}^{k-1} & = 0 \end{cases}$$

$$n_k(q) = \#\{\underline{x} = (x_1, \dots, x_{k-2}) \in \mathbb{F}_q^{k-2} \mid \underline{x} \in \mathcal{A}_k(\mathbb{F}_q), x_i \neq x_j\} \leq \#\mathcal{A}_k(\mathbb{F}_q)$$

$$\dim_{\overline{\mathbb{F}_q}} \mathcal{A}_k = 0 \quad \xRightarrow{\text{Bezout Thm.}} \quad \#\mathcal{A}(\mathbb{F}_q) \leq (k-1)!$$



Sketch of the Proof of Theorem 2. (3/3)

STEP 2.

Theorem. If \mathbf{K} is an algebraically closed field,

$$\text{char}(\mathbf{K}) = \begin{cases} 0 \\ > 2 \cdot 3^{\lfloor k/3 \rfloor - 1}. \end{cases} \quad \text{or}$$

Then

$$\dim_{\mathbf{K}} \mathcal{A}_k = 0.$$

NOTE.

- ✎ Proof is based on finding projective hyperplanes disjoint from \mathcal{A}_k
- ✎ There are examples of small values of q with $\dim_{\mathbf{K}} \mathcal{A}_k > 0$

