

## ON HOOLEY'S THEOREM WITH WEIGHTS

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**Abstract.** We adapt Hooley's proof that the Generalized Riemann Hypothesis implies the Artin Conjecture for primitive roots to various other problems. We consider the sum  $\sum_{p \leq x} f(i_p)$  where  $i_p$  is the index of 2 modulo  $p$  and  $f$  is a given function. In various cases we establish asymptotic formulas for such a sum and analyse the constants. While we claim no originality, we outline the method to approach this problem in a fairly general case.

### 1. Introduction

For a fixed prime number  $p$ , we denote by  $i_p$  the index of 2 (mod  $p$ ). For a function  $f : \mathbb{N} \rightarrow \mathbb{C}$ , we consider the sum

$$\sum_{p \leq x} f(i_p).$$

We will establish various estimates for such a sum. If  $f(1) = 1$  and  $f(x) = 0$  for  $x \neq 1$ , then the famous Artin Conjecture for primitive roots states that

$$(1) \quad \#\{p \leq x \mid i_p = 1\} \sim \delta \pi(x),$$

where  $\delta$  is the Artin constant,

$$\delta = \prod_{l \text{ prime}} \left(1 - \frac{1}{l(l-1)}\right) = 0.373955813619202\dots$$

In 1967, C. Hooley (see [5]) proved the Artin Conjecture as a consequence of the Generalized Riemann Hypothesis.

The weaker form of the Artin Conjecture states that *any fixed integer  $b > 1$  that is not a perfect square is a primitive root for infinitely many primes*. Heath-Brown [4], Gupta and Murty [3] (see also [9]) solved this form of the Artin conjecture for a very large class of

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numbers  $b$  but the asymptotic formula in (1) is still to be proven. For a clear exposition on the Artin Conjecture and its “quasi-resolution” we refer to [8].

Let us set

$$\pi(x, n) = \#\{p \leq x \mid n \mid i_p\}.$$

The intuition of Artin and the subsequent proof of Hooley is based on the identity

$$(2) \quad \#\{p \leq x \mid i_p = 1\} = \sum_{n=1}^{\infty} \mu(n)\pi(x, n),$$

which is just the inclusion–exclusion principle.

A natural generalization of (2) is the identity

$$\sum_{p \leq x} f(i_p) = \sum_{n=1}^{\infty} g(n)\pi(x, n),$$

where, by Möbius inversion,  $g(n) = \sum_{d \mid n} \mu(n/d)f(d)$  and  $f(m) = \sum_{n \mid m} g(n)$ .

If  $n$  is a positive integer, we set  $K_n = \mathbb{Q}(\zeta_n, 2^{1/n})$ , so that

$$k_n = [K_n : \mathbb{Q}] = \begin{cases} n\varphi(n) & \text{if } 8 \nmid n \\ n\varphi(n)/2 & \text{if } 8 \mid n. \end{cases}$$

It is a criterion due to Dedekind that an odd prime  $p$  splits completely in  $K_n$  if and only if  $n$  divides  $i_p$ . Therefore  $\pi(x, n)$  equals the number of primes up to  $x$  that are unramified and split completely in  $K_n$ .

The Chebotarev Density Theorem provides us with an asymptotic formula for  $\pi(x, n)$ . The following result is due to Lagarias and Odlyzko [6].

**CHEBOTAREV DENSITY THEOREM.** *Suppose that the Generalized Riemann Hypothesis (GRH) holds for the Dedekind zeta function of  $K_n$ . Then*

$$\pi(x, n) = \frac{1}{k_n} \text{Li}(x) + O\left(x^{1/2} \log xn\right).$$

*Unconditionally, there exists an absolute constant  $A$  such that if  $n \leq (\log x)^{1/7}$  then*

$$\pi(x, n) = \frac{1}{k_n} \text{Li}(x) + O\left(x \exp(-A\sqrt{\log x/n})\right).$$

From now on we will suppose that

$$(3) \quad \sum_{n=1}^{\infty} \frac{|g(n)|}{k_n} < \infty.$$

The generalized Artin problem is to establish the asymptotic formula

$$\sum_{p \leq x} f(i_p) \sim \sum_{n=1}^{\infty} \frac{g(n)}{k_n} \pi(x).$$

We adopt the following standard notation:  $F(x) \lesssim G(x)$  means that for every  $\epsilon > 0$  there exists  $x_\epsilon$  such that if  $x > x_\epsilon$ , then  $F(x) \leq (1 + \epsilon)G(x)$ .

We have the following

THEOREM 1.

(a) Suppose that  $g(n) \geq 0$ . Then we have

$$\sum_{n=1}^{\infty} g(n) \pi(x, n) \gtrsim \sum_{n=1}^{\infty} \frac{g(n)}{k_n} \pi(x).$$

(b) Suppose that the series  $\sum_{n=1}^{\infty} |g(n)|/\varphi(n)$  converges and that  $\sum_{n > z} |g(n)|/n = o(\log^{-1} z)$ . Then

$$\sum_{n=1}^{\infty} g(n) \pi(x, n) \sim \sum_{n=1}^{\infty} \frac{g(n)}{k_n} \pi(x).$$

Let us write  $H_m(x) = \#\{p \leq x \mid i_p = m\}$ , so that

$$\sum_{p \leq x} f(i_p) = \sum_{m=1}^{\infty} f(m) H_m(x).$$

The function  $H_m(x)$  has been studied by L. Murata in [7] where he proved

THEOREM (MURATA). GRH implies that for every  $\epsilon > 0$ ,

$$H_m(x) = \delta_m \pi(x) + O\left(\frac{m^\epsilon x \log \log x}{\log^2 x}\right)$$

where the implied constant depends only on  $\epsilon$ . Furthermore

$$\delta_m = \sum_{n=1}^{\infty} \frac{\mu(n)}{k_{nm}} = \frac{r_m}{m^2} \delta \prod_{\substack{l \text{ prime} \\ l|m}} \frac{l^2 - 1}{l^2 - l - 1}$$

with  $r_m = 1$  if  $4 \nmid m$ ,  $r_m = 2/3$  if  $4 \parallel m$ ,  $r_m = 2$  if  $8 \mid m$  and  $\delta$  is the Artin constant.

From now on we will also suppose that

$$\sum_{m=1}^{\infty} |f(m)| \delta_m < \infty.$$

Note that since  $\delta_m \ll (\log \log m)/m^2$  we may assume that  $f(m) = O(m^2)$ . By exchanging the order of summation in absolutely convergent series, we have that

$$\sum_{n=1}^{\infty} \frac{g(n)}{k_n} = \sum_{m=1}^{\infty} f(m) \sum_{n=1}^{\infty} \frac{\mu(n)}{k_{nm}} = \sum_{m=1}^{\infty} f(m) \delta_m.$$

We have the following

THEOREM 2.

- (a) Suppose that the series  $\sum_{m=1}^{\infty} |f(m)|/\varphi(m)$  converges and that  $\max_{m \leq y} \{|f(m)|\} \ll y^{1-\tau}$  for some  $\tau > 0$ . Then

$$\sum_{m=1}^{\infty} f(m)H_m(x) \lesssim \sum_{m=1}^{\infty} f(m)\delta_m\pi(x).$$

- (b) Assume GRH and suppose that  $f(m) \geq 0$ . Then

$$\sum_{m=1}^{\infty} f(m)H_m(x) \gtrsim \sum_{m=1}^{\infty} f(m)\delta_m\pi(x).$$

- (c) Assume GRH and suppose that  $\max_{m \leq x} \{|f(m)|\} \ll (\log x)^C$ . Then

$$\begin{aligned} & \sum_{m=1}^{\infty} f(m)H_m(x) = \\ & = \sum_{m=1}^{\infty} f(m)\delta_m\pi(x) + O\left(\sum_{\frac{\sqrt{x}}{\log^{C+5} x} \leq m \leq \sqrt{x} \log^{(C+1)/2} x} \frac{|f(m)|}{\varphi(m)} \pi(x)\right). \end{aligned}$$

**2. Proofs of Theorems 1 and 2**

*Proof of Theorem 1.* We start by the inequality  $\sum_{n=1}^{\infty} g(n)\pi(x, n) \geq \sum_{n \leq (\log x)^{1/\tau}} g(n)\pi(x, n)$ . Applying the unconditional version of the Chebotarev Density Theorem, we have that the previous sum equals

$$\begin{aligned} & \left(\sum_{n=1}^{\infty} g(n) \frac{\pi(x)}{k_n}\right) - O\left(\sum_{n > \log^{1/\tau} x} \frac{|g(n)|}{n\varphi(n)} \pi(x) + (\log x)^{3/7} x \exp\left(-A(\log x)^{5/15}\right)\right) = \\ & = \left(\left(\sum_{n=1}^{\infty} \frac{g(n)}{k_n}\right) - o(1)\right) \pi(x) \end{aligned}$$

since  $g(n) \ll n^2$  by hypothesis (3). The statement in (a) follows immediately.

To prove (b) it suffices to show that

$$\sum_{n > (\log x)^{1/7}} g(n)\pi(x, n) = o(\pi(x)).$$

We split the above sum into the sum for  $n > \sqrt{x}$  plus the sum for  $n \leq \sqrt{x}$ . Now

$$\sum_{n > \sqrt{x}} g(n)\pi(x, n) \leq \sum_{n > \sqrt{x}} |g(n)| \#\{a \leq x \mid n|a - 1\} \ll \sum_{n > \sqrt{x}} |g(n)| \frac{x}{n} = o(\pi(x)),$$

while, by the Brun-Titchmarsh Theorem,

$$\sum_{(\log x)^{1/7} \leq n \leq \sqrt{x}} g(n)\pi(x, n) \ll \sum_{(\log x)^{1/7} \leq n \leq \sqrt{x}} |g(n)| \frac{x}{\varphi(n) \log(x/n)} = o(\pi(x)).$$

This completes the proof. ■

The result of Murata of Section 1 gives a conditional asymptotic formula for  $H_m$  uniform in the range  $m < (\log x)^{1/2-\epsilon}$ , which is not enough for some of our applications. We can easily prove the following

LEMMA 3. *Let  $c < 1/7$ . For  $m \leq \log^c x$  we have unconditionally the upper bound*

$$H_m(x) \leq \left( \delta_m + o\left(\frac{1}{m\varphi(m)}\right) \right) \pi(x).$$

If we assume GRH, then

$$H_m(x) \leq \delta_m \pi(x) + O\left(\frac{x}{m\varphi(m) \log x \log \log x} + x^{1/2} \log^2 x \log m\right).$$

*Proof of Lemma 3.* Let  $z$  be a parameter to be chosen later and let  $P(z)$  be the product of the primes up to  $z$ . We start from the inequality

$$H_m(x) \leq \sum_{n|P(z)} \mu(n)\pi(x, nm).$$

Provided that  $nm < \log^{1/7} x$ , we can apply the Chebotarev Density Theorem. So the previous sum is

$$\begin{aligned} & \sum_{n|P(z)} \mu(n) \left\{ \frac{1}{k_{mn}} \text{Li}(x) + O\left(x \exp(-A\sqrt{\log x/nm})\right) \right\} = \\ & = \sum_{n=1}^{\infty} \frac{\mu(n)}{k_{nm}} \pi(x) + O\left(\sum_{n \geq z} \frac{1}{k_{nm}} \pi(x)\right) + O\left(2^{\pi(z)} x \exp(-A\sqrt{\log x/2^z m})\right) = \end{aligned}$$

$$= \delta_m \pi(x) + O\left(\frac{x}{m\varphi(m)z \log x} + 2^{\pi(z)} x \exp(-A\sqrt{\log x}/2^z m)\right)$$

and the result follows by choosing  $z = \log \log \log x$ , say. The estimate assuming GRH is proven in a similar way, therefore we omit it. ■

*Proof of Theorem 2.* (a) We write

$$\sum_{m=1}^{\infty} f(m)H_m(x) = \sum_{m \leq z} f(m)H_m(x) + \sum_{z < m < y} f(m)H_m(x) + \sum_{m \geq y} f(m)H_m(x).$$

First note that since  $H_m(x) = 0$  if  $m > 0$ ,

$$\sum_{m \geq y} f(m)H_m(x) \ll x^{1-\tau} \# \left\{ p \mid \frac{p-1}{i_p} \leq \frac{x}{y} \right\} \ll x^{1-\tau} \frac{x^2}{y^2 \log(x/y)}$$

by a similar argument as in the Theorem of Hooley ([5], pages 211-212). So, if we choose  $y = x^{1-\tau/3}$ , we get that the above sum is  $\ll x^{1-\tau/3}$ .

Further, if  $z$  goes to infinity as  $x$  goes to infinity,

$$\sum_{z < m < y} f(m)H_m(x) \leq \sum_{z < m < y} |f(m)|\pi(x, m, 1) \ll \sum_{m > z} |f(m)| \frac{x}{\varphi(m) \log x} = o(\pi(x))$$

by the Brun-Titchmarsh Theorem and the hypothesis that  $\sum |f(m)|/\varphi(m)$  converges. Finally

$$\begin{aligned} \sum_{m \leq z} f(m)H_m(x) &\leq \sum_{m \leq z} f(m) \left\{ \delta_m \pi(x) + o\left(\frac{\pi(x)}{m\varphi(m)}\right) \right\} = \\ &= \sum_{m=1}^{\infty} f(m)\delta_m \pi(x) + o(\pi(x)) \end{aligned}$$

by Lemma 1, choosing  $z = \log^{1/8} x$ . This completes the proof of (a).

(b) Note that since  $f(n) \geq 0$ ,

$$\sum_{m=1}^{\infty} f(m)H_m(x) \geq \sum_{m \leq (\log x)^{1/4}} f(m)H_m(x).$$

Now we apply the result of Murata and deduce that the previous sum is

$$\begin{aligned} &\sum_{m \leq (\log x)^{1/4}} f(m) \left( \delta_m - O\left(\frac{m^\epsilon \log \log x}{\log x}\right) \right) \pi(x) \\ &= \left( \sum_{n=1}^{\infty} f(n)\delta_n - o(1) \right) \pi(x) - O\left(\frac{x \log \log x}{\log^2 x} \sum_{m \leq (\log x)^{1/4}} f(m)m^\epsilon\right) \end{aligned}$$

and this completes the proof since  $\sum_{m \leq t} f(m)m^\epsilon \ll t^{3+2\epsilon}$ .

(c) As we did in the proof of (a) we split the sum

$$(4) \quad \sum_{m=1}^{\infty} f(m)H_m(x) = \sum_{m \leq z} f(m)H_m(x) + \sum_{z < m < y} f(m)H_m(x) + \sum_{m \geq y} f(m)H_m(x).$$

By Lemma 3

$$\begin{aligned} & \sum_{m \leq z} f(m)H_m(x) \leq \\ & \leq \sum_{m \leq z} f(m) \left( \delta_m \pi(x) + O\left( \frac{x}{m\varphi(m) \log x \log \log x} + x^{1/2} \log^2 x \log m \right) \right) \\ & = \sum_{m=1}^{\infty} f(m) \delta_m \pi(x) + o(\pi(x)) + O\left( z \log^{C+1} z x^{1/2} \log^2 x \right). \end{aligned}$$

If we set  $z = x^{1/2} / \log^{5+C} x$ , the error term in the above sum is  $o(\pi(x))$ .

To deal with the last sum in (4) we proceed as we did in the proof of (a) and we get

$$\sum_{m \geq y} f(m)H_m(x) \leq \max_{m \geq y} |f(m)| \frac{x^2}{y^2 \log(x/y)} \ll \log^C x \frac{x^2}{y^2 \log(x/y)}$$

which is  $o(\pi(x))$  if we set  $y = x^{1/2} \log^{(C+1)/2} x$ .

Finally the middle sum in (4) is

$$\sum_{z < m < y} f(m)H_m(x) \leq \sum_{z < m < y} |f(m)| \pi(x, m, 1) \ll \sum_{z < m < y} \frac{|f(m)|}{\varphi(m)} \pi(x)$$

by the hypothesis on  $f$  and the Brun-Titchmarsh Theorem. This completes the proof. ■

## 2. Applications

Suppose  $S \subset \mathbb{N}$  and  $\chi_S$  is the characteristic function of  $S$ . If we let

$$\pi_S(x) = \#\{p \leq x \mid i_p \in S\} = \sum_{m=1}^{\infty} \chi_S(m)H_m(x),$$

then by the Möbius inversion formula,

$$\pi_S(x) = \sum_{n=1}^{\infty} \tilde{\chi}_S(n) \pi(x, n)$$

where

$$\tilde{\chi}_S(n) = \sum_{m|n} \mu(n/m) \chi_S(m) = \sum_{m|n, m \in S} \mu(n/m).$$

If we assume GRH then, by Theorem 2.b, we have the lower bound

$$\pi_S(x) \gtrsim \sum_{n=1}^{\infty} \frac{\tilde{\chi}_S(n)}{k_n} \pi(x).$$

If the sum over  $s \in S$  of  $1/\varphi(s)$  converges, we have, by Theorem 2.a, the (unconditional) upper bound

$$\pi_S(x) \lesssim \sum_{n=1}^{\infty} \frac{\tilde{\chi}_S(n)}{k_n} \pi(x).$$

EXAMPLE 1. Suppose  $S = \mathbb{P}$  is the **set of all rational primes**. Then, if  $\nu(n)$  is the number of prime divisors of  $n$ ,

$$\tilde{\chi}_{\mathbb{P}}(n) = \sum_{l|n, l \text{ prime}} \mu(n/l) = \begin{cases} -\mu(n)\nu(n) & \text{if } n \text{ is square free} \\ \mu(n) & \text{if } \exists! p \in \mathbb{P} \text{ with } p^2 || n \\ 0 & \text{otherwise} \end{cases}$$

Applying Theorem 2.b we get that (on GRH)

$$\pi_{\mathbb{P}}(x) \geq (\delta_{\mathbb{P}} + o(1)) \pi(x),$$

where a quick calculation shows that

$$\delta_{\mathbb{P}} = \sum_{n=1}^{\infty} \frac{\sum_{l|n} \mu(n/l)}{k_n} = \delta \sum_{l \text{ prime}} \frac{l^2 - 1}{l^4 - l^3 - l^2}.$$

Furthermore, note that by Mertens' Theorem

$$\sum_{\sqrt{x}(\log x)^{-C-5} \leq p \leq \sqrt{x}(\log x)^{(C+1)/2}} \frac{1}{p-1} \ll \frac{\log \log x}{\log x}.$$

Therefore, applying Theorem 2.c, we have the following

COROLLARY 4. *Assume GRH. Then  $\pi_{\mathbb{P}}(x) \sim \delta_{\mathbb{P}} \pi(x)$ .*

The PARI 1.37 command (see [2])

```
A=0.;forprime(l=2,100000,q=l^2;A=A+(q-1)/(q*(q-1)));A*0.3739558
```

gives an approximation for  $\delta_{\mathbb{P}}$

```
%1 = 0.3870025833660499182018950757
```

while the PARI 1.37 command

```
C=0;forprime(l=3,200000,if(isprime((l-1)/order(mod(2,l))),C=C+1,));C
```

calculates the number of odd primes up to 200000 such that  $i_p$  is prime and its output is

```
%2 = 7019
```



Since  $\pi(200000) = 17984$ , we have

$$\frac{\pi_{\mathbb{P}}(200000)}{\pi(200000)} = 0.3902913701067615658362989323$$

EXAMPLE 2. Suppose that  $S$  is a set of natural numbers such that  $\chi_S(n)$  is a multiplicative function. Then

$$\tilde{\chi}_S(l^a) = \begin{cases} 1 & \text{if } a = 0 \\ \chi_S(l^a) - \chi_S(l^{a-1}) & \text{otherwise.} \end{cases}$$

Applying Theorem 2.b we get that (on GRH)  $\pi_S(x) \gtrsim \delta_S \pi(x)$ , where a quick calculation shows that

$$\begin{aligned} \delta_S &= \sum_{n=1}^{\infty} \frac{\tilde{\chi}_S(n)}{k_n} = \\ &= \left( \frac{1}{2} - \frac{3\chi_S(2) + \chi_S(4)}{8} + 3 \sum_{\substack{i \geq 1 \\ 2^i \in S}} \frac{1}{2^{2^i}} \right) \prod_{l \text{ odd prime}} \left( 1 - \frac{1}{l(l-1)} + \frac{l+1}{l} \sum_{\substack{i \geq 1 \\ l^{2^i} \in S}} \frac{1}{l^{2^i}} \right). \end{aligned}$$

i) If  $F_k$  is the set of  $k$ -free numbers then

$$\delta_{F_k} = \left( \rho_k + 1 - \frac{1}{4^{k-1}} \right) \prod_{l \text{ odd prime}} \left( 1 - \frac{1}{l^{2k-1}(l-1)} \right),$$

where

$$\rho_k = \begin{cases} 1/2 & \text{if } k = 1 \\ 1/8 & \text{if } k = 2 \\ 0 & \text{if } k \geq 3. \end{cases}$$

In this case we note that  $\tilde{\chi}_{F_k}(n) = \mu(m)$  if  $n = m^k$  and 0 otherwise. Hence the series

$$\sum_{n=1}^{\infty} \frac{\tilde{\chi}_{F_k}(n)}{n} = \sum_{m=1}^{\infty} \frac{\mu(m)}{m^k}$$

converges. Therefore, by Theorem 1.b, we have the following

COROLLARY 5. *The asymptotic formula  $\pi_{F_k}(x) \sim \delta_{F_k} \pi(x)$  holds unconditionally.*

The PARI 1.37 command

```
F=0.875;forprime(l=3,100000,F=F*(1-1/((l-1)*l^3)));F
```

gives an approximation for  $\delta_{F_2}$

```
%3 = 0.8565404448535421984682105482
```

while the PARI 1.37 command

```
A=0;forprime(l=3,200000,if(issqfree((l-1)/order(mod(2,l))),A=A+1,));A
```

calculates the number of odd primes up to 200000 such that  $i_p$  is square-free and its output is

$$\%4 = 15430$$

Since  $\pi(200000) = 17984$ , we have

$$\frac{\pi_{F_2}(200000)}{\pi(200000)} = 0.8579848754448398576512455515.$$

ii) If  $G_k$  is the set of  $k$ -full numbers then

$$\delta_{G_k} = \left( \tau_k + \frac{1}{4^{k-1}} \right) \prod_{l \text{ odd prime}} \left( 1 - \frac{l^{2k-2} - 1}{l^{2k-1}(l-1)} \right),$$

where

$$\tau_k = \begin{cases} 0 & \text{if } k = 1 \\ 3/8 & \text{if } k = 2 \\ 1/2 & \text{if } k \geq 3. \end{cases}$$

Since the sum of the reciprocals of  $k$ -full numbers converges (for  $k \geq 2$ ), by Theorem 2.a we have

COROLLARY 6. We have  $\pi_{G_k}(x) \gtrsim \delta_{G_k} \pi(x)$  on GRH, and  $\pi_{G_k}(x) \lesssim \delta_{G_k} \pi(x)$  unconditionally.

iii) If  $P_k$  is the set of  $k$ -powers ( $k \geq 1$ ) then

$$\delta_{P_k} = \left( \tau_k + \frac{3}{4^k - 1} \right) \prod_{l \text{ odd prime}} \left( 1 - \frac{l^{2k-1} - l}{(l^{2k} - 1)(l-1)} \right)$$

where  $\tau$  is as above. Since the sum of the reciprocals of  $k$ -powers converges (for  $k \geq 2$ ), by Theorem 2.b we have

COROLLARY 7. We have  $\pi_{P_k}(x) \gtrsim \delta_{P_k} \pi(x)$  on GRH, and  $\pi_{P_k}(x) \lesssim \delta_{P_k} \pi(x)$  unconditionally.

The PARI 1.37 command

```
G=0.575;forprime(l=3,100000,G=G*(1-(l^2+1)/(l^4-1)));G
```

gives an approximation for  $\delta_{G_2}$

$$\%5 = 0.4398154555775779797707734332$$

while the PARI 1.37 command

```
B=0;forprime(l=3,200000,if(issquare((l-1)/order(mod(2,l))),B=B+1,));B
```

calculates the number of odd primes up to 200000 such that  $i_p$  is a perfect square and its output is

$$\%6 = 7898$$

Since  $\pi(200000) = 17984$ , we have

$$\frac{\pi_{G_2}(200000)}{\pi(200000)} = 0.4391681494661921708185053380.$$

EXAMPLE 3. Suppose that  $S(b, a)$  is the **arithmetic progression**  $\{a, a + b, a + 2b, \dots\}$  where for simplicity we assume  $a$  and  $b$  coprime. By Theorem 2.b, we have on GRH the lower bound

$$\#\{p \leq x \mid i_p \equiv a \pmod{b}\} \gtrsim \delta_{a,b} \pi(x)$$

where

$$\delta_{a,b} = \sum_{\substack{m=1, \\ m \equiv a \pmod{b}}}^{\infty} \delta_m.$$

To deduce the upper bound it suffices to write

$$\begin{aligned} & \#\{p \leq x \mid i_p \equiv a \pmod{b}\} \\ &= \pi(x) - 1 - \sum_{\substack{c \pmod{b}, (c,b)=1, c \neq b}} \#\{p \leq x \mid i_p \equiv c \pmod{b}\} - \sum_{d|b} \pi(x, d) \end{aligned}$$

and apply Theorem 2.b and the Chebotarev Density Theorem to the right hand side. Hence, performing the computation, we can deduce the following

COROLLARY 8. *On GRH we have the asymptotic formula*

$$\#\{p \leq x \mid i_p \equiv a \pmod{b}\} \sim \delta_{a,b} \pi(x) \quad \text{with} \quad \delta_{a,b} = \frac{1}{\varphi(b)} \sum_{\chi \pmod{b}} \overline{\chi(a)} \cdot \delta_\chi,$$

where the sum is extended to all the Dirichlet characters  $(\text{mod } b)$  and

$$\delta_\chi = \left( \frac{1}{2} - \frac{\chi(2)(\chi^2(2) - \chi(2) + 12)}{8(4 - \chi(2))} \right) \prod_{l \text{ odd prime}} \left( 1 - \frac{l(1 - \chi(l))}{(l-1)(l^2 - \chi(l))} \right).$$

Note that if  $\chi_0$  is the principal character  $(\text{mod } b)$ , then

$$\delta_{\chi_0} = \prod_{l|b} \left( 1 - \frac{1}{l(l-1)} \right).$$

If  $b = 3$  then  $\delta_{\chi_0} = 5/6$  and the non-principal character is

$$\chi_1(n) = \begin{cases} 0 & \text{if } 3|n \\ 1 & \text{if } n \equiv 1 \pmod{3} \\ -1 & \text{if } n \equiv 2 \pmod{3} \end{cases}$$

so that

$$\delta_{\chi_1} = \frac{3}{20} \prod_{l \text{ odd prime } l \equiv 2 \pmod{3}} \left( 1 - \frac{2l}{(l-1)(l^2+1)} \right).$$

Finally

$$\delta_{1,3} = \frac{5}{12} + \frac{1}{2}\delta_{\chi_1} \quad \text{and} \quad \delta_{2,3} = \frac{5}{12} - \frac{1}{2}\delta_{\chi_1}.$$

Using PARI we get approximations

$$\delta_{1,3} = 0.4819 \quad \text{and} \quad \delta_{2,3} = 0.3514$$

while

$$\frac{\pi_{S(3,1)}(200000)}{\pi(200000)} \sim 0.4693 \quad \text{and} \quad \frac{\pi_{S(3,2)}(200000)}{\pi(200000)} \sim 0.3645.$$

EXAMPLE 4. Suppose that  $f(n) = \log n$ . E. Bach, R. Lukes, J. Shallit and H. C. Williams in [1] consider the sum  $\sum_{p \leq x} \log i_p$ .

Since  $\sum_{d|n} \mu(d) \log(n/d)$  is the von Mangoldt function  $\Lambda(n)$ , by Theorem 1.a we have unconditionally the lower bound

$$(5) \quad \sum_{p \leq x} \log i_p \gtrsim \delta_B \pi(x)$$

where

$$\delta_B = \sum_{n=1}^{\infty} \frac{\Lambda(n)}{k_n} = \sum_{m=1}^{\infty} (\log m) \delta_m.$$

Furthermore, note that

$$\sum_{n=1}^{\infty} \frac{\Lambda(n)}{k_n} = \sum_{q \text{ prime}} \frac{q \log q}{(q-1)(q^2-1)} + \frac{1}{24} \log 2$$

as predicted in [1].

We are unable to deduce an upper bound of the type  $c\pi(x)$  for the sum in (5) even on GRH. However

PROPOSITION 9. *Assume GRH. Then*

$$\sum_{p \leq x} \log i_p \ll \frac{x \log \log x}{\log x}.$$

*Proof.* We have seen that

$$\begin{aligned} \sum_{p \leq x} \log i_p &= \sum_{n \leq x} \Lambda(n) \pi(x, n) = \\ &= \sum_{n \leq \sqrt{x}/\log^3 x} \Lambda(n) \pi(x, n) + \sum_{\sqrt{x}/\log^3 x < n \leq x} \Lambda(n) \pi(x, n). \end{aligned}$$

By the Chebotarev Density Theorem the first sum above is (on GRH)

$$\ll \sum_{n \leq \sqrt{x}/\log^3 x} \log n \left\{ \frac{x}{n^2 \log x} + O(\sqrt{x} \log xn) \right\} \ll \pi(x),$$

while the second sum equals

$$(6) \quad \sum_{q > \sqrt{x}/\log^3 x} \log q \pi(x, q) + \sum_{q^\alpha > \sqrt{x}/\log^3 x, \alpha > 1} \log q \pi(x, q^\alpha).$$

The second sum in (6) is

$$\leq \sum_{q^\alpha > \sqrt{x}/\log^3 x, \alpha > 1} \log q \frac{x}{q^\alpha} \ll x \sum_{q > \sqrt{x}/\log^3 x} \frac{\log q}{q^2} \ll \pi(x),$$

while the first sum in (6) equals

$$(7) \quad \sum_{\sqrt{x}/\log^3 x < q \leq \sqrt{x} \log x} \log q \pi(x, q) + \sum_{q > \sqrt{x} \log x} \log q \pi(x, q).$$

We bound the second sum in (7) with

$$\# \left\{ q \mid q \text{ divides } \prod_{m \leq \sqrt{x}/\log x} (2^m - 1) \right\} \cdot \log x \ll \pi(x).$$

Finally the first sum in (7), by the Brun-Titchmarsh Theorem and the Mertens' formula, is

$$\leq \sum_{\sqrt{x}/\log^3 x < q \leq \sqrt{x} \log x} \log q \pi(x, q, 1) \ll \frac{x}{\log x} \sum_{\sqrt{x}/\log^3 x < q \leq \sqrt{x} \log x} \frac{\log q}{q} \ll \frac{x \log \log x}{\log x}$$

and this ends the proof. ■

We mention that Theorem 2.c implies that if  $f(m) = o(\log^{-1}(m))$ , then (on GRH)

$$\sum_{p \leq x} f(i_p) \sim \left( \sum_{m=1}^{\infty} f(m) \delta_m \right) \pi(x).$$

### 3. Conclusion

The results in the present paper can be generalized to the case where  $i_p = \text{ind}_p(a)$  with  $a$  any integer. The computation would be affected by the corresponding formula for  $K_n = [\mathbb{Q}(\zeta_n, a^{1/n}), \mathbb{Q}]$ .

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